

Six-functor formalisms, and a modern approach to Fourier–Mukai transforms

Gabriel Ribeiro

July 16, 2026

ETH Zürich

Categorical introduction

From categories to ∞ -categories

In usual category theory, the category $\mathbf{Set}$ plays a distinguished role:

- For two objects X, Y in a category $\mathcal{C}$, the collection of maps $\mathbf{Hom}_{\mathcal{C}}(X, Y)$ is a set. (Up to possible size issues.)

In an ∞ -category, we have a notion of “morphism between morphisms” and now composition of maps is only unique up to isomorphism.

Correspondingly, in ∞ -category theory, the category $\mathbf{Set}$ is replaced by the ∞ -category $\mathbf{Ani}$ of **anima**. (Also called ∞ -groupoids, spaces, ...)

From categories to ∞ -categories

In usual category theory, the category $\mathbf{Set}$ plays a distinguished role:

- For two objects X, Y in a category $\mathcal{C}$, the collection of maps $\mathrm{Hom}_{\mathcal{C}}(X, Y)$ is a set. (Up to possible size issues.)

In an ∞ -category, we have a notion of “morphism between morphisms” and now composition of maps is only unique up to isomorphism.

Correspondingly, in ∞ -category theory, the category $\mathbf{Set}$ is replaced by the ∞ -category $\mathbf{Ani}$ of anima. (Also called ∞ -groupoids, spaces, ...)

From categories to ∞ -categories

In usual category theory, the category $\mathbf{Set}$ plays a distinguished role:

- For two objects X, Y in a category $\mathcal{C}$, the collection of maps $\mathbf{Hom}_{\mathcal{C}}(X, Y)$ is a set. (Up to possible size issues.)

In an ∞ -category, we have a notion of “morphism between morphisms” and now composition of maps is only unique up to isomorphism.

Correspondingly, in ∞ -category theory, the category $\mathbf{Set}$ is replaced by the ∞ -category $\mathbf{Ani}$ of **anima**. (Also called ∞ -groupoids, spaces, ...)

How to think about anima?

- Description 1: Ani is something like $D^{\leq 0}(\text{Set})$.

We have functors $\pi_0: \text{Ani} \rightarrow \text{Set}$ and $\pi_i: \text{Ani} \rightarrow \text{Grp}$ for $i > 0$, similar to cohomology groups. An anima X is a set iff $\pi_i(X) = 0$ for all $i > 0$.

An anima X satisfying $\pi_i(X) = 0$ for $i > 1$ is equivalent to a groupoid; hence sheaves of anima generalize stacks.

Description 2: Ani is to Set what Sch is to Var.

Given a scheme X , its reduced subscheme X_{red} has the same topological space; we picture X as X_{red} with some fuzz on top.

You can imagine an anima X in a similar way: as a set $\pi_0(X)$ with some soul on top.

How to think about anima?

- Description 1: Ani is something like $D^{\leq 0}(\text{Set})$.

We have functors $\pi_0: \text{Ani} \rightarrow \text{Set}$ and $\pi_i: \text{Ani} \rightarrow \text{Grp}$ for $i > 0$, similar to cohomology groups. An anima X is a set iff $\pi_i(X) = 0$ for all $i > 0$.

An anima X satisfying $\pi_i(X) = 0$ for $i > 1$ is equivalent to a groupoid; hence sheaves of anima generalize stacks.

- Description 2: Ani is to Set what Sch is to Var.

Given a scheme X , its reduced subscheme X_{red} has the same topological space; we picture X as X_{red} with some fuzz on top.

You can imagine an anima X in a similar way: as a set $\pi_0(X)$ with some stuff on top.

How to think about anima?

- Description 1: Ani is something like $D^{\leq 0}(\text{Set})$.

We have functors $\pi_0: \text{Ani} \rightarrow \text{Set}$ and $\pi_i: \text{Ani} \rightarrow \text{Grp}$ for $i > 0$, similar to cohomology groups. An anima X is a set iff $\pi_i(X) = 0$ for all $i > 0$.

An anima X satisfying $\pi_i(X) = 0$ for $i > 1$ is equivalent to a groupoid; hence sheaves of anima generalize stacks.

- Description 2: Ani is to Set what Sch is to Var.

Given a scheme X , its reduced subscheme X_{red} has the same topological space, we picture X as X_{red} with some fuzz on top. You can imagine an anima X in a similar way, as a set with some fuzz on top.

How to think about anima?

- Description 1: Ani is something like $D^{\leq 0}(\text{Set})$.

We have functors $\pi_0: \text{Ani} \rightarrow \text{Set}$ and $\pi_i: \text{Ani} \rightarrow \text{Grp}$ for $i > 0$, similar to cohomology groups. An anima X is a set iff $\pi_i(X) = 0$ for all $i > 0$.

An anima X satisfying $\pi_i(X) = 0$ for $i > 1$ is equivalent to a groupoid; hence sheaves of anima generalize stacks.

- Description 2: Ani is to Set what Sch is to Var.

Given a scheme X , its reduced subscheme X_{red} has the same topological space; we picture X as X_{red} with some fuzz on top.

You can imagine an anima X in a similar way: as a set $\pi_0(X)$ with some soul on top.

How to think about anima?

- Description 1: Ani is something like $D^{\leq 0}(\text{Set})$.

We have functors $\pi_0: \text{Ani} \rightarrow \text{Set}$ and $\pi_i: \text{Ani} \rightarrow \text{Grp}$ for $i > 0$, similar to cohomology groups. An anima X is a set iff $\pi_i(X) = 0$ for all $i > 0$.

An anima X satisfying $\pi_i(X) = 0$ for $i > 1$ is equivalent to a groupoid; hence sheaves of anima generalize stacks.

- Description 2: Ani is to Set what Sch is to Var.

Given a scheme X , its reduced subscheme X_{red} has the same topological space; we picture X as X_{red} with some fuzz on top.

You can imagine an anima X in a similar way: as a set $\pi_0(X)$ with some soul on top.

How to think about anima?

- Description 1: Ani is something like $D^{\leq 0}(\text{Set})$.

We have functors $\pi_0: \text{Ani} \rightarrow \text{Set}$ and $\pi_i: \text{Ani} \rightarrow \text{Grp}$ for $i > 0$, similar to cohomology groups. An anima X is a set iff $\pi_i(X) = 0$ for all $i > 0$.

An anima X satisfying $\pi_i(X) = 0$ for $i > 1$ is equivalent to a groupoid; hence sheaves of anima generalize stacks.

- Description 2: Ani is to Set what Sch is to Var.

Given a scheme X , its reduced subscheme X_{red} has the same topological space; we picture X as X_{red} with some fuzz on top.

You can imagine an anima X in a similar way: as a set $\pi_0(X)$ with some soul on top.

How to think about anima?

- Description 1: Ani is something like $D^{\leq 0}(\text{Set})$.

We have functors $\pi_0: \text{Ani} \rightarrow \text{Set}$ and $\pi_i: \text{Ani} \rightarrow \text{Grp}$ for $i > 0$, similar to cohomology groups. An anima X is a set iff $\pi_i(X) = 0$ for all $i > 0$.

An anima X satisfying $\pi_i(X) = 0$ for $i > 1$ is equivalent to a groupoid; hence sheaves of anima generalize stacks.

- Description 2: Ani is to Set what Sch is to Var.

Given a scheme X , its reduced subscheme X_{red} has the same topological space; we picture X as X_{red} with some fuzz on top.

You can imagine an anima X in a similar way: as a set $\pi_0(X)$ with some soul on top.

What can I *do* with ∞ -categories?

Every usual category is also an ∞ -category: ∞ -category theory generalizes usual category theory.

Basically always, “operations” in ∞ -category generalize their usual counterparts. Simple example: given two categories C and D , you can do their product in ∞ -category land and obtain the product category $C \times D$ you all know and love.

There's one key exception: if you localize a category in ∞ -category land you may obtain a truly ∞ -category. In particular, for a scheme X , there exists a usual category $D_{qc}(X)$ and an ∞ -category that deserves the same notation.

What can I *do* with ∞ -categories?

Every usual category is also an ∞ -category: ∞ -category theory generalizes usual category theory.

Basically always, “operations” in ∞ -category generalize their usual counterparts. Simple example: given two categories C and D , you can do their product in ∞ -category land and obtain the product category $C \times D$ you all know and love.

There's one key exception: if you localize a category in ∞ -category land you may obtain a truly ∞ -category. In particular, for a scheme X , there exists a usual category $D_{qc}(X)$ and an ∞ -category that deserves the same notation.

What can I *do* with ∞ -categories?

Every usual category is also an ∞ -category: ∞ -category theory generalizes usual category theory.

Basically always, “operations” in ∞ -category generalize their usual counterparts. Simple example: given two categories C and D , you can do their product in ∞ -category land and obtain the product category $C \times D$ you all know and love.

There's one key exception: if you localize a category in ∞ -category land you may obtain a truly ∞ -category. In particular, for a scheme X , there exists a usual category $D_{qc}(X)$ and an ∞ -category that deserves the same notation.

Algebraic geometers love to glue stuff, right?

From now on, $D_{qc}(X)$ will always denote this ∞ -category. If needed, we'll denote the usual derived category by $hD_{qc}(X)$.

The ∞ -category $D_{qc}(X)$ has a key advantage from $hD_{qc}(X)$: if $\{U_i\}$ is a Zariski (or even fpqc) cover of X , you can recover $D_{qc}(X)$ from the $D_{qc}(U_i)$.

This is false for $hD_{qc}(X)$!

Algebraic geometers love to glue stuff, right?

From now on, $D_{qc}(X)$ will always denote this ∞ -category. If needed, we'll denote the usual derived category by $hD_{qc}(X)$.

The ∞ -category $D_{qc}(X)$ has a key advantage from $hD_{qc}(X)$: if $\{U_i\}$ is a Zariski (or even fpqc) cover of X , you can recover $D_{qc}(X)$ from the $D_{qc}(U_i)$.

This is false for $hD_{qc}(X)$!

Algebraic geometers love to glue stuff, right?

From now on, $D_{qc}(X)$ will always denote this ∞ -category. If needed, we'll denote the usual derived category by $hD_{qc}(X)$.

The ∞ -category $D_{qc}(X)$ has a key advantage from $hD_{qc}(X)$: if $\{U_i\}$ is a Zariski (or even fpqc) cover of X , you can recover $D_{qc}(X)$ from the $D_{qc}(U_i)$.

This is false for $hD_{qc}(X)$!

Correspondences and six-functor formalisms

The *yoga* of six operations

For a long time, six-functor formalisms had no rigorous definition; **you knew one when you saw it**. Here's what happens in all examples:

- You have a certain (possibly ∞ -)category of spaces $\mathcal{C}$.
- For every space X in $\mathcal{C}$, there is a closed symmetric monoidal ∞ -category $D(X)$ (i.e., we have $\otimes$ and Hom functors, which are adjoint).
- For every morphism $f: X \rightarrow Y$ in $\mathcal{C}$, we have a symmetric monoidal functor $f^*: D(Y) \rightarrow D(X)$ that admits a right adjoint f_* .
- There exists a collection $\mathcal{I}$ of morphisms in $\mathcal{C}$ such that, for every morphism $f: X \rightarrow Y$ in $\mathcal{I}$, we have adjoint functors

$$f^*: D(Y) \rightleftarrows D(X)$$

The *yoga* of six operations

For a long time, six-functor formalisms had no rigorous definition; *you knew one when you saw it*. Here's what happens in all examples:

- You have a certain (possibly ∞ -)category of spaces C .
- For every space X in C , there is a closed symmetric monoidal ∞ -category $D(X)$ (i.e., we have $\otimes$ and $\underline{\text{Hom}}$ functors, which are adjoint).
- For every morphism $f: X \rightarrow S$ in C , we have a symmetric monoidal functor $f^*: D(S) \rightarrow D(X)$ that admits a right adjoint f_* .
- There exists a collection E of morphisms in C such that, for every morphism $f: X \rightarrow S$ in E , we have adjoint functors

$$f_!: D(X) \rightleftarrows D(S) : f^!$$

The *yoga* of six operations

For a long time, six-functor formalisms had no rigorous definition; *you knew one when you saw it*. Here's what happens in all examples:

- You have a certain (possibly ∞ -)category *of spaces* $\mathcal{C}$.
- For every space X in $\mathcal{C}$, there is a closed symmetric monoidal ∞ -category $D(X)$ (i.e., we have $\otimes$ and Hom functors, which are adjoint).
- For every morphism $f: X \rightarrow S$ in $\mathcal{C}$, we have a symmetric monoidal functor $f^*: D(S) \rightarrow D(X)$ that admits a right adjoint f_* .
- There exists a collection E of morphisms in $\mathcal{C}$ such that, for every morphism $f: X \rightarrow S$ in E , we have adjoint functors

$$f_!: D(X) \rightleftarrows D(S) : f^!$$

The *yoga* of six operations

For a long time, six-functor formalisms had no rigorous definition; *you knew one when you saw it*. Here's what happens in all examples:

- You have a certain (possibly ∞ -)category *of spaces* $\mathcal{C}$.
- For every space X in $\mathcal{C}$, there is a closed symmetric monoidal ∞ -category $D(X)$ (i.e., we have $\otimes$ and Hom functors, which are adjoint).
- For every morphism $f: X \rightarrow S$ in $\mathcal{C}$, we have a symmetric monoidal functor $f^*: D(S) \rightarrow D(X)$ that admits a right adjoint f_* .
- There exists a collection E of morphisms in $\mathcal{C}$ such that, for every morphism $f: X \rightarrow S$ in E , we have adjoint functors

$$f_!: D(X) \rightleftarrows D(S) : f^!$$

The *yoga* of six operations

For a long time, six-functor formalisms had no rigorous definition; *you knew one when you saw it*. Here's what happens in all examples:

- You have a certain (possibly ∞ -)category *of spaces* $\mathcal{C}$.
- For every space X in $\mathcal{C}$, there is a closed symmetric monoidal ∞ -category $D(X)$ (i.e., we have $\otimes$ and Hom functors, which are adjoint).
- For every morphism $f: X \rightarrow S$ in $\mathcal{C}$, we have a symmetric monoidal functor $f^*: D(S) \rightarrow D(X)$ that admits a right adjoint f_* .
- There exists a collection E of morphisms in $\mathcal{C}$ such that, for every morphism $f: X \rightarrow S$ in E , we have adjoint functors

$$f_!: D(X) \rightleftarrows D(S) : f^!$$

Base change and the projection formula

These functors should satisfy certain compatibilities. Notably:

- (Projection formula) For f in E , there exists a natural isomorphism

$$f_!(- \otimes -) \simeq f_!(- \otimes f^* -).$$

- (Base change) Given a cartesian diagram in $\mathcal{C}$

$$\begin{array}{ccc} X' & \xrightarrow{g'} & X \\ f' \downarrow & & \downarrow f \\ S' & \xrightarrow{g} & S, \end{array}$$

with f and f' in E , we have a natural isomorphism

$$g^* f_! \simeq f'_! g'^*.$$

Base change and the projection formula

These functors should satisfy certain compatibilities. Notably:

- (Projection formula) For f in E , there exists a natural isomorphism

$$f_! - \otimes - \simeq f_!(- \otimes f^* -).$$

- (Base change) Given a cartesian diagram in $\mathcal{C}$

$$\begin{array}{ccc} X' & \xrightarrow{g'} & X \\ f' \downarrow & & \downarrow f \\ S' & \xrightarrow{g} & S, \end{array}$$

with f and f' in E , we have a natural isomorphism

$$g^* f_! \simeq f'_! g'^*.$$

How to define a six-functor formalism? Property vs data

Suppose we want to build a six-functor formalism from scratch: what **data** do we need to provide?

- The so-categories $D(X)$, with their symmetric monoidal structures. (Admitting a inner Hom is a property)
- Functors $f^*: D(S) \rightarrow D(X)$, as well as their symmetric monoidal structures. (Admitting a right adjoint f_* is a property)
- Functors $f_*: D(X) \rightarrow D(S)$. (Admitting a right adjoint f^* is a property)
- The projection formula: $f_* f^* \rightarrow \text{id} \rightarrow f^* f_*$
- The base change formula: $f^* g_* \rightarrow g_* f^*$

How to define a six-functor formalism? Property vs data

Suppose we want to build a six-functor formalism from scratch: what **data** do we need to provide?

- The ∞ -categories $D(X)$, with their symmetric monoidal structures. (Admitting a inner Hom is a property.)
- Functors $f^*: D(S) \rightarrow D(X)$, as well as their symmetric monoidal structures. (Admitting a right adjoint f_* is a property.)
- Functors $f_!: D(X) \rightarrow D(S)$. (Admitting a right adjoint $f^!$ is a property.)
- The projection formula $f_! \otimes - \rightarrow f_!(- \otimes f^* -)$.
- The base change isomorphisms $g^* f_! \rightarrow f'_! g'^* -$.

How to define a six-functor formalism? Property vs data

Suppose we want to build a six-functor formalism from scratch: what **data** do we need to provide?

- The ∞ -categories $D(X)$, with their symmetric monoidal structures. (Admitting a inner Hom is a property.)
- Functors $f^*: D(S) \rightarrow D(X)$, as well as their symmetric monoidal structures. (Admitting a right adjoint f_* is a property.)
- Functors $f_!: D(X) \rightarrow D(S)$. (Admitting a right adjoint $f^!$ is a property.)
- The projection formula $f_! \otimes - \rightarrow f_!(- \otimes f^* -)$.
- The base change isomorphisms $g^* f_! \rightarrow f'_! g'^* -$.

How to define a six-functor formalism? Property vs data

Suppose we want to build a six-functor formalism from scratch: what **data** do we need to provide?

- The ∞ -categories $D(X)$, with their symmetric monoidal structures. (Admitting a inner Hom is a property.)
- Functors $f^*: D(S) \rightarrow D(X)$, as well as their symmetric monoidal structures. (Admitting a right adjoint f_* is a property.)
- Functors $f_!: D(X) \rightarrow D(S)$. (Admitting a right adjoint $f^!$ is a property.)
- The projection formula $f_! \otimes - \rightarrow f_!(- \otimes f^* -)$.
- The base change isomorphisms $g^* f_! \rightarrow f'_! g'^*$.

How to define a six-functor formalism? Property vs data

Suppose we want to build a six-functor formalism from scratch: what **data** do we need to provide?

- The ∞ -categories $D(X)$, with their symmetric monoidal structures. (Admitting a inner Hom is a property.)
- Functors $f^*: D(S) \rightarrow D(X)$, as well as their symmetric monoidal structures. (Admitting a right adjoint f_* is a property.)
- Functors $f_!: D(X) \rightarrow D(S)$. (Admitting a right adjoint $f^!$ is a property.)
- The projection formula $f_! \otimes - \rightarrow f_!(- \otimes f^* -)$.
- The base change isomorphisms $g^* f_! \rightarrow f'_! g'^*$.

How to define a six-functor formalism? Property vs data

Suppose we want to build a six-functor formalism from scratch: what **data** do we need to provide?

- The ∞ -categories $D(X)$, with their symmetric monoidal structures. (Admitting a inner Hom is a property.)
- Functors $f^*: D(S) \rightarrow D(X)$, as well as their symmetric monoidal structures. (Admitting a right adjoint f_* is a property.)
- Functors $f_!: D(X) \rightarrow D(S)$. (Admitting a right adjoint $f^!$ is a property.)
- The projection formula $f_! \otimes - \rightarrow f_!(- \otimes f^* -)$.
- The base change isomorphisms $g^* f_! \rightarrow f'_! g'^*$.

This is not enough!

- If $h = g \circ f$, we should provide natural isomorphisms $h^* \rightarrow f^*g^*$ and $h_! \rightarrow g_!f_!$.
- These isomorphisms should be compatible with the projection formula:

$$\begin{array}{ccccc}
 h_!(- \otimes -) & \longrightarrow & h_!(- \otimes h^*-) & & \\
 \downarrow & & \downarrow & \searrow & \\
 g_!f_!(- \otimes -) & & g_!f_!(- \otimes h^*-) & & h_!(- \otimes f^*g^*-). \\
 \downarrow & & \downarrow & \swarrow & \\
 g_!(f_!(- \otimes g^*-)) & \longrightarrow & g_!f_!(- \otimes f^*g^*-) & &
 \end{array}$$

This is not enough!

- If $h = g \circ f$, we should provide natural isomorphisms $h^* \rightarrow f^*g^*$ and $h_! \rightarrow g_!f_!$.
- These isomorphisms should be compatible with the projection formula:

$$\begin{array}{ccccc}
 h_!(- \otimes -) & \longrightarrow & h_!(- \otimes h^*-) & & \\
 \downarrow & & \downarrow & \searrow & \\
 g_!f_!(- \otimes -) & & g_!f_!(- \otimes h^*-) & & h_!(- \otimes f^*g^*-). \\
 \downarrow & & \downarrow & \swarrow & \\
 g_!(f_!(- \otimes g^*-)) & \longrightarrow & g_!f_!(- \otimes f^*g^*-) & &
 \end{array}$$

Not even this is enough!

- The composition isomorphisms should be compatible with the base change isomorphisms. (I'll spare you the diagram...)
- The base change isomorphisms should be compatible with the projection formula.
- All of the above has to be compatible with the symmetric monoidal structures.
- ...

Note that, since we are working with ∞ -categories, commuting is not a **property** that some diagram may satisfy; it is extra **data** that has to be provided.

This is a truly unfathomable amount of data.

Not even this is enough!

- The composition isomorphisms should be compatible with the base change isomorphisms. (I'll spare you the diagram...)
- The base change isomorphisms should be compatible with the projection formula.
- All of the above has to be compatible with the symmetric monoidal structures.
- ...

Note that, since we are working with ∞ -categories, commuting is not a **property** that some diagram may satisfy; it is extra **data** that has to be provided.

This is a truly unfathomable amount of data.

Not even this is enough!

- The composition isomorphisms should be compatible with the base change isomorphisms. (I'll spare you the diagram...)
- The base change isomorphisms should be compatible with the projection formula.
- All of the above has to be compatible with the symmetric monoidal structures.
- ...

Note that, since we are working with ∞ -categories, commuting is not a **property** that some diagram may satisfy; it is extra **data** that has to be provided.

This is a truly unfathomable amount of data.

Not even this is enough!

- The composition isomorphisms should be compatible with the base change isomorphisms. (I'll spare you the diagram...)
- The base change isomorphisms should be compatible with the projection formula.
- All of the above has to be compatible with the symmetric monoidal structures.
- ...

Note that, since we are working with ∞ -categories, commuting is not a **property** that some diagram may satisfy; it is extra **data** that has to be provided.

This is a truly unfathomable amount of data.

Not even this is enough!

- The composition isomorphisms should be compatible with the base change isomorphisms. (I'll spare you the diagram...)
- The base change isomorphisms should be compatible with the projection formula.
- All of the above has to be compatible with the symmetric monoidal structures.
- ...

Note that, since we are working with ∞ -categories, commuting is not a **property** that some diagram may satisfy; it is extra **data** that has to be provided.

This is a truly unfathomable amount of data.

Not even this is enough!

- The composition isomorphisms should be compatible with the base change isomorphisms. (I'll spare you the diagram...)
- The base change isomorphisms should be compatible with the projection formula.
- All of the above has to be compatible with the symmetric monoidal structures.
- ...

Note that, since we are working with ∞ -categories, commuting is not a **property** that some diagram may satisfy; it is extra **data** that has to be provided.

This is a truly unfathomable amount of data.

Not even this is enough!

- The composition isomorphisms should be compatible with the base change isomorphisms. (I'll spare you the diagram...)
- The base change isomorphisms should be compatible with the projection formula.
- All of the above has to be compatible with the symmetric monoidal structures.
- ...

Note that, since we are working with ∞ -categories, commuting is not a **property** that some diagram may satisfy; it is extra **data** that has to be provided.

This is a truly unfathomable amount of data.

Lurie's brilliant idea: correspondences

Suppose that $\mathcal{C}$ is a category admitting fiber products and that E is stable under composition and base change. We will construct a ∞ -category $\mathrm{Span}(\mathcal{C}, E)$ of **correspondences**.

The objects of $\mathrm{Span}(\mathcal{C}, E)$ are the same as the objects of $\mathcal{C}$. A morphism $X \rightarrow Y$ in $\mathrm{Span}(\mathcal{C}, E)$ amounts to a diagram



where $W \rightarrow Y$ lies in E .

Lurie's brilliant idea: correspondences

Suppose that $\mathcal{C}$ is a category admitting fiber products and that E is stable under composition and base change. We will construct a ∞ -category $\mathrm{Span}(\mathcal{C}, E)$ of **correspondences**.

The objects of $\mathrm{Span}(\mathcal{C}, E)$ are the same as the objects of $\mathcal{C}$. A morphism $X \rightarrow Y$ in $\mathrm{Span}(\mathcal{C}, E)$ amounts to a diagram

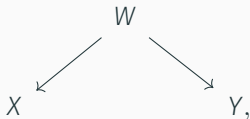


where $W \rightarrow Y$ lies in E .

Lurie's brilliant idea: correspondences

Suppose that $\mathcal{C}$ is a category admitting fiber products and that E is stable under composition and base change. We will construct a ∞ -category $\mathrm{Span}(\mathcal{C}, E)$ of **correspondences**.

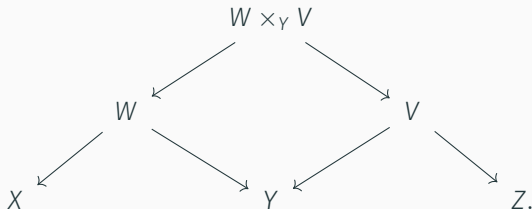
The objects of $\mathrm{Span}(\mathcal{C}, E)$ are the same as the objects of $\mathcal{C}$. A morphism $X \rightarrow Y$ in $\mathrm{Span}(\mathcal{C}, E)$ amounts to a diagram



where $W \rightarrow Y$ lies in E .

Correspondences II

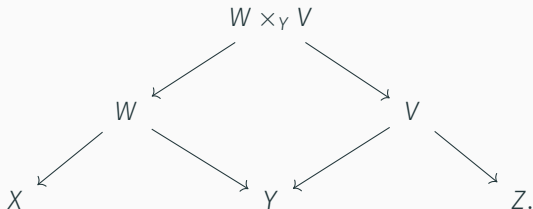
To compose two morphisms $X \leftarrow W \rightarrow Y$ and $Y \leftarrow V \rightarrow Z$, we take a fiber product:



Note that, since fiber products are only unique up to unique isomorphism, the composition is not uniquely defined. As a result, $\text{Span}(\mathcal{C}, E)$ is only a ∞ -category.

Correspondences II

To compose two morphisms $X \leftarrow W \rightarrow Y$ and $Y \leftarrow V \rightarrow Z$, we take a fiber product:



Note that, since fiber products are only unique up to unique isomorphism, the composition is not uniquely defined. As a result, $\text{Span}(\mathcal{C}, E)$ is only a ∞ -category.

The modern definition of three-functor formalisms

The category $\text{Span}(\mathcal{C}, E)$ has a symmetric monoidal structure, where the tensor product of two spaces X and Y is their cartesian product $X \times Y$. Similarly, the ∞ -category Cat_∞ admits a cartesian symmetric monoidal structure.

Definition (Lurie, Liu-Zheng)

A **three-functor formalism** is a lax symmetric monoidal functor

$$D: \text{Span}(\mathcal{C}, E) \rightarrow \text{Cat}_\infty.$$

Here, the adjective **lax** means that instead of having isomorphisms $D(X) \times D(Y) \simeq D(X \times Y)$, we only have a natural transformation

$$\boxtimes: D(-) \times D(-) \rightarrow D(- \times -).$$

The modern definition of three-functor formalisms

The category $\text{Span}(\mathcal{C}, E)$ has a symmetric monoidal structure, where the tensor product of two spaces X and Y is their cartesian product $X \times Y$. Similarly, the ∞ -category Cat_∞ admits a cartesian symmetric monoidal structure.

Definition (Lurie, Liu-Zheng)

A **three-functor formalism** is a lax symmetric monoidal functor

$$D: \text{Span}(\mathcal{C}, E) \rightarrow \text{Cat}_\infty.$$

Here, the adjective **lax** means that instead of having isomorphisms $D(X) \times D(Y) \simeq D(X \times Y)$, we only have a natural transformation

$$\boxtimes: D(-) \times D(-) \rightarrow D(- \times -).$$

The modern definition of three-functor formalisms

The category $\text{Span}(\mathcal{C}, E)$ has a symmetric monoidal structure, where the tensor product of two spaces X and Y is their cartesian product $X \times Y$. Similarly, the ∞ -category Cat_∞ admits a cartesian symmetric monoidal structure.

Definition (Lurie, Liu-Zheng)

A **three-functor formalism** is a lax symmetric monoidal functor

$$D: \text{Span}(\mathcal{C}, E) \rightarrow \text{Cat}_\infty.$$

Here, the adjective **lax** means that instead of having isomorphisms $D(X) \times D(Y) \simeq D(X \times Y)$, we only have a natural transformation

$$\boxtimes: D(-) \times D(-) \rightarrow D(- \times -).$$

The modern definition of three-functor formalisms

The category $\text{Span}(\mathcal{C}, E)$ has a symmetric monoidal structure, where the tensor product of two spaces X and Y is their cartesian product $X \times Y$. Similarly, the ∞ -category Cat_∞ admits a cartesian symmetric monoidal structure.

Definition (Lurie, Liu-Zheng)

A **three-functor formalism** is a lax symmetric monoidal functor

$$D: \text{Span}(\mathcal{C}, E) \rightarrow \text{Cat}_\infty.$$

Here, the adjective **lax** means that instead of having isomorphisms $D(X) \times D(Y) \simeq D(X \times Y)$, we only have a natural transformation

$$\boxtimes: D(-) \times D(-) \rightarrow D(- \times -).$$

Let's unpack this definition

Given a three-functor formalism $D: \text{Span}(\mathcal{C}, E) \rightarrow \text{Cat}_\infty$, we define:

- $f^*: D(Y) \rightarrow D(X)$ as the image of $Y \xleftarrow{f} X = X$ by D ;
- $f_!: D(X) \rightarrow D(Y)$ as the image of $X = X \xrightarrow{f} Y$ by D ;
- $\otimes$ as the composition

$$D(X) \times D(X) \xrightarrow{\boxtimes} D(X \times X) \xrightarrow{\Delta_X^*} D(X),$$

where $\Delta_X: X \rightarrow X \times X$ is the diagonal map.

Finally...

Definition (Lurie, Liu-Zheng)

A **six-functor formalism** is a three-functor formalism in which the functors f^* , $f_!$ and $\otimes$ admit right adjoints.

Let's unpack this definition

Given a three-functor formalism $D: \text{Span}(\mathcal{C}, E) \rightarrow \text{Cat}_\infty$, we define:

- $f^*: D(Y) \rightarrow D(X)$ as the image of $Y \xleftarrow{f} X = X$ by D ;
- $f_!: D(X) \rightarrow D(Y)$ as the image of $X = X \xrightarrow{f} Y$ by D ;
- $\otimes$ as the composition

$$D(X) \times D(X) \xrightarrow{\boxtimes} D(X \times X) \xrightarrow{\Delta_X^*} D(X),$$

where $\Delta_X: X \rightarrow X \times X$ is the diagonal map.

Finally...

Definition (Lurie, Liu-Zheng)

A **six-functor formalism** is a three-functor formalism in which the functors f^* , $f_!$ and $\otimes$ admit right adjoints.

Let's unpack this definition

Given a three-functor formalism $D: \text{Span}(\mathcal{C}, E) \rightarrow \text{Cat}_\infty$, we define:

- $f^*: D(Y) \rightarrow D(X)$ as the image of $Y \xleftarrow{f} X = X$ by D ;
- $f_!: D(X) \rightarrow D(Y)$ as the image of $X = X \xrightarrow{f} Y$ by D ;
- $\otimes$ as the composition

$$D(X) \times D(X) \xrightarrow{\boxtimes} D(X \times X) \xrightarrow{\Delta_X^*} D(X),$$

where $\Delta_X: X \rightarrow X \times X$ is the diagonal map.

Finally...

Definition (Lurie, Liu-Zheng)

A **six-functor formalism** is a three-functor formalism in which the functors f^* , $f_!$ and $\otimes$ admit right adjoints.

Let's unpack this definition

Given a three-functor formalism $D: \text{Span}(\mathcal{C}, E) \rightarrow \text{Cat}_\infty$, we define:

- $f^*: D(Y) \rightarrow D(X)$ as the image of $Y \xleftarrow{f} X = X$ by D ;
- $f_!: D(X) \rightarrow D(Y)$ as the image of $X = X \xrightarrow{f} Y$ by D ;
- $\otimes$ as the composition

$$D(X) \times D(X) \xrightarrow{\boxtimes} D(X \times X) \xrightarrow{\Delta_X^*} D(X),$$

where $\Delta_X: X \rightarrow X \times X$ is the diagonal map.

Finally...

Definition (Lurie, Liu-Zheng)

A **six-functor formalism** is a three-functor formalism in which the functors f^* , $f_!$ and $\otimes$ admit right adjoints.

Let's unpack this definition

Given a three-functor formalism $D: \text{Span}(C, E) \rightarrow \text{Cat}_\infty$, we define:

- $f^*: D(Y) \rightarrow D(X)$ as the image of $Y \xleftarrow{f} X = X$ by D ;
- $f_!: D(X) \rightarrow D(Y)$ as the image of $X = X \xrightarrow{f} Y$ by D ;
- $\otimes$ as the composition

$$D(X) \times D(X) \xrightarrow{\boxtimes} D(X \times X) \xrightarrow{\Delta_X^*} D(X),$$

where $\Delta_X: X \rightarrow X \times X$ is the diagonal map.

Finally...

Definition (Lurie, Liu-Zheng)

A **six-functor formalism** is a three-functor formalism in which the functors f^* , $f_!$ and $\otimes$ admit right adjoints.

How this encodes the compatibilities

This elegant definition contains **all the data** previously described.

To give an example, consider a cartesian diagram as in the base change axiom and fit it into a composition of correspondences:

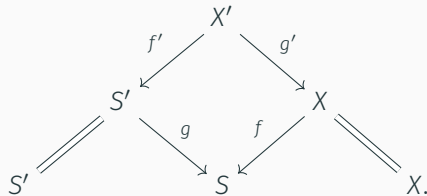


The functor D maps this composition to $f^*g_!$.

How this encodes the compatibilities

This elegant definition contains **all the data** previously described.

To give an example, consider a cartesian diagram as in the base change axiom and fit it into a composition of correspondences:

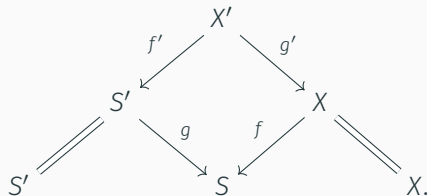


The functor D maps this composition to $f^*g_!$.

How this encodes the compatibilities

This elegant definition contains **all the data** previously described.

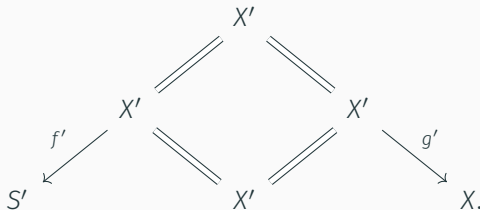
To give an example, consider a cartesian diagram as in the base change axiom and fit it into a composition of correspondences:



The functor D maps this composition to $f^*g_!$.

Base change

On the other hand, we may write the large roof $S' \xleftarrow{f'} X' \xrightarrow{g'} X$ as the composition

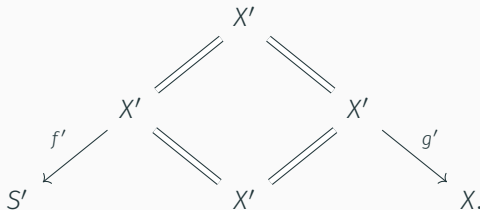


Hence, the functor D maps it to $g'_! f'^*$. This encodes the base change isomorphism.

All other compatibilities arise from simple manipulations of correspondences!

Base change

On the other hand, we may write the large roof $S' \xleftarrow{f'} X' \xrightarrow{g'} X$ as the composition

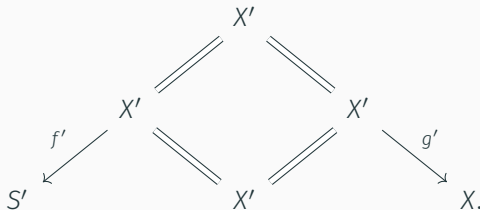


Hence, the functor D maps it to $g'_! f'^*$. This encodes the base change isomorphism.

All other compatibilities arise from simple manipulations of correspondences!

Base change

On the other hand, we may write the large roof $S' \xleftarrow{f'} X' \xrightarrow{g'} X$ as the composition

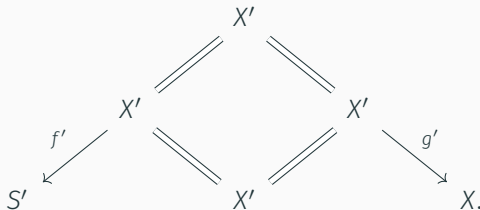


Hence, the functor D maps it to $g'_! f'^*$. This encodes the base change isomorphism.

All other compatibilities arise from simple manipulations of correspondences!

Base change

On the other hand, we may write the large roof $S' \xleftarrow{f'} X' \xrightarrow{g'} X$ as the composition



Hence, the functor D maps it to $g'_! f'^*$. This encodes the base change isomorphism.

All other compatibilities arise from simple manipulations of correspondences!

Deligne's idea to the rescue

Since a functor $D: \text{Span}(\mathcal{C}, \mathcal{E}) \rightarrow \text{Cat}_\infty$ encodes all the data on a six-functor formalism... constructing it must be impossible, right?

It's often simple to construct a lax symmetric monoidal functor $\mathcal{C}^{\text{op}} \rightarrow \text{Cat}_\infty$ encoding the functors f^* and $\otimes$.

Suppose there exist classes of morphisms $J, P \subset \mathcal{E}$ such that every map $f \in \mathcal{E}$ factors as $f = p \circ j$, for some $j \in J$ and $p \in P$. Then, we may try to construct $p_!$ and $j_!$ separately.

- Since we expect that $p_! \simeq p_*$, the functor $p_!$ should be right adjoint to p^* .

- Similarly, since we expect $j^* \simeq j_*$, the functor $j_!$ should be left adjoint to j^* .

And existence of adjoints is a property, not data that has to be provided.

Deligne's idea to the rescue

Since a functor $D: \text{Span}(\mathcal{C}, E) \rightarrow \text{Cat}_\infty$ encodes all the data on a six-functor formalism... constructing it must be impossible, right?

It's often simple to construct a lax symmetric monoidal functor $\mathcal{C}^{\text{op}} \rightarrow \text{Cat}_\infty$ encoding the functors f^* and $\otimes$.

Suppose there exist classes of morphisms $J, P \subset E$ such that every map $f \in E$ factors as $f = p \circ j$, for some $j \in J$ and $p \in P$. Then, we may try to construct $p_!$ and $j_!$ separately.

- Since we expect that $p_! \simeq p_*$, the functor $p_!$ should be right adjoint to p^* .
- Similarly, since we expect $j^* \simeq j_!$, the functor $j_!$ should be left adjoint to j^* .

And existence of adjoints is a property, not data that has to be provided.

Deligne's idea to the rescue

Since a functor $D: \text{Span}(\mathcal{C}, E) \rightarrow \text{Cat}_\infty$ encodes all the data on a six-functor formalism... constructing it must be impossible, right?

It's often simple to construct a lax symmetric monoidal functor $\mathcal{C}^{\text{op}} \rightarrow \text{Cat}_\infty$ encoding the functors f^* and $\otimes$.

Suppose there exist classes of morphisms $J, P \subset E$ such that every map $f \in E$ factors as $f = p \circ j$, for some $j \in J$ and $p \in P$. Then, we may try to construct $p_!$ and $j_!$ separately.

- Since we expect that $p_! \simeq p_*$, the functor $p_!$ should be right adjoint to p^* .
- Similarly, since we expect $j^* \simeq j^!$, the functor $j_!$ should be left adjoint to j^* .

And existence of adjoints is a property, not data that has to be provided.

Deligne's idea to the rescue

Since a functor $D: \text{Span}(\mathcal{C}, E) \rightarrow \text{Cat}_\infty$ encodes all the data on a six-functor formalism... constructing it must be impossible, right?

It's often simple to construct a lax symmetric monoidal functor $\mathcal{C}^{\text{op}} \rightarrow \text{Cat}_\infty$ encoding the functors f^* and $\otimes$.

Suppose there exist classes of morphisms $J, P \subset E$ such that every map $f \in E$ factors as $f = p \circ j$, for some $j \in J$ and $p \in P$. Then, we may try to construct $p_!$ and $j_!$ separately.

- Since we expect that $p_! \simeq p_*$, the functor $p_!$ should be right adjoint to p^* .
- Similarly, since we expect $j^* \simeq j^!$, the functor $j_!$ should be left adjoint to j^* .

And existence of adjoints is a property, not data that has to be provided.

Deligne's idea to the rescue

Since a functor $D: \text{Span}(\mathcal{C}, E) \rightarrow \text{Cat}_\infty$ encodes all the data on a six-functor formalism... constructing it must be impossible, right?

It's often simple to construct a lax symmetric monoidal functor $\mathcal{C}^{\text{op}} \rightarrow \text{Cat}_\infty$ encoding the functors f^* and $\otimes$.

Suppose there exist classes of morphisms $J, P \subset E$ such that every map $f \in E$ factors as $f = p \circ j$, for some $j \in J$ and $p \in P$. Then, we may try to construct $p_!$ and $j_!$ separately.

- Since we expect that $p_! \simeq p_*$, the functor $p_!$ should be right adjoint to p^* .
- Similarly, since we expect $j^* \simeq j^!$, the functor $j_!$ should be left adjoint to j^* .

And existence of adjoints is a property, not data that has to be provided.

Deligne's idea to the rescue

Since a functor $D: \text{Span}(\mathcal{C}, E) \rightarrow \text{Cat}_\infty$ encodes all the data on a six-functor formalism... constructing it must be impossible, right?

It's often simple to construct a lax symmetric monoidal functor $\mathcal{C}^{\text{op}} \rightarrow \text{Cat}_\infty$ encoding the functors f^* and $\otimes$.

Suppose there exist classes of morphisms $J, P \subset E$ such that every map $f \in E$ factors as $f = p \circ j$, for some $j \in J$ and $p \in P$. Then, we may try to construct $p_!$ and $j_!$ separately.

- Since we expect that $p_! \simeq p_*$, the functor $p_!$ should be right adjoint to p^* .
- Similarly, since we expect $j^* \simeq j^!$, the functor $j_!$ should be left adjoint to j^* .

And existence of adjoints is a property, not data that has to be provided.

Did base change and the projection formula become *properties*?

In general, base change and the projection formula are relations between the functors $f_!$ and f^* , which need not be adjoint in any direction. When they are, the adjunction provides natural maps that can be checked to be isomorphisms.

For example, if $j_!$ is left adjoint to j^* , the unit $\eta: \text{id} \rightarrow j^*j_!$ induces a map

$$\text{Hom}(M \otimes j^*N, M \otimes j^*N) \rightarrow \text{Hom}(M \otimes j^*N, j^*j_!M \otimes j^*N)$$

and

$$\begin{aligned} \text{Hom}(M \otimes j^*N, j^*j_!M \otimes j^*N) &\simeq \text{Hom}(M \otimes j^*N, j^*(j_!M \otimes N)) \\ &\simeq \text{Hom}(j_!(M \otimes j^*N), j_!M \otimes N). \end{aligned}$$

Did base change and the projection formula become *properties*?

In general, base change and the projection formula are relations between the functors $f_!$ and f^* , which need not be adjoint in any direction. When they are, the adjunction provides natural maps that can be checked to be isomorphisms.

For example, if $j_!$ is left adjoint to j^* , the unit $\eta: \text{id} \rightarrow j^*j_!$ induces a map

$$\text{Hom}(M \otimes j^*N, M \otimes j^*N) \rightarrow \text{Hom}(M \otimes j^*N, j^*j_!M \otimes j^*N)$$

and

$$\begin{aligned} \text{Hom}(M \otimes j^*N, j^*j_!M \otimes j^*N) &\simeq \text{Hom}(M \otimes j^*N, j^*(j_!M \otimes N)) \\ &\simeq \text{Hom}(j_!(M \otimes j^*N), j_!M \otimes N). \end{aligned}$$

Did base change and the projection formula become *properties*?

In general, base change and the projection formula are relations between the functors $f_!$ and f^* , which need not be adjoint in any direction. When they are, the adjunction provides natural maps that can be checked to be isomorphisms.

For example, if $j_!$ is left adjoint to j^* , the unit $\eta: \text{id} \rightarrow j^*j_!$ induces a map

$$\text{Hom}(M \otimes j^*N, M \otimes j^*N) \rightarrow \text{Hom}(M \otimes j^*N, j^*j_!M \otimes j^*N)$$

and

$$\begin{aligned} \text{Hom}(M \otimes j^*N, j^*j_!M \otimes j^*N) &\simeq \text{Hom}(M \otimes j^*N, j^*(j_!M \otimes N)) \\ &\simeq \text{Hom}(j_!(M \otimes j^*N), j_!M \otimes N). \end{aligned}$$

The construction theorem

This leads to the following definition.

Definition (Ayoub, Liu–Zheng, Mann)

A **three-functor seed** is a lax symmetric monoidal functor

$D: C^{\text{op}} \rightarrow \text{Cat}_{\infty}$ such that:

- For $j \in J$, the functor j^* admits a left adjoint $j_!$ satisfying base change and the projection formula.
- For $p \in P$, the functor p^* admits a right adjoint $p_!$ satisfying base change and the projection formula.

Theorem (Ayoub, Cisinski–Déglise, Liu–Zheng, Gaitsgory–Rozenblyum, Mann, Dauser–Kuijper, Cossen–Lenz–Linskens)

Every three-functor seed extends uniquely to a three-functor formalism. (And similarly for six-functor formalisms.)

The construction theorem

This leads to the following definition.

Definition (Ayoub, Liu–Zheng, Mann)

A **three-functor seed** is a lax symmetric monoidal functor

$D: C^{\text{op}} \rightarrow \text{Cat}_{\infty}$ such that:

- For $j \in J$, the functor j^* admits a left adjoint $j_!$ satisfying base change and the projection formula.
- For $p \in P$, the functor p^* admits a right adjoint $p_!$ satisfying base change and the projection formula.

Theorem (Ayoub, Cisinski–Déglise, Liu–Zheng, Gaitsgory–Rozenblyum, Mann, Dauser–Kuijper, Clossen–Lenz–Linskens)

Every three-functor seed extends uniquely to a three-functor formalism. (And similarly for six-functor formalisms.)

The extension theorem

Suppose that C is endowed with a subcanonical Grothendieck topology and that D is a six-functor formalism such that

$$C^{\text{op}} \rightarrow \text{Span}(C, E) \xrightarrow{D} \text{Cat}_{\infty}$$

is a sheaf. In this case we say that D is **sheafy**.

Theorem (Liu–Zheng, Mann, Scholze, Heyer–Mann)

There exists a “large” collection of maps E' in $X = \text{Sh}(C; \text{Ani})$, containing E , such that every sheafy six-functor formalism on (C, E) extends uniquely to (X, E') .

The extension theorem

Suppose that C is endowed with a subcanonical Grothendieck topology and that D is a six-functor formalism such that

$$C^{\text{op}} \rightarrow \text{Span}(C, E) \xrightarrow{D} \text{Cat}_{\infty}$$

is a sheaf. In this case we say that D is **sheafy**.

Theorem (Liu–Zheng, Mann, Scholze, Heyer–Mann)

There exists a “large” collection of maps E' in $X = \text{Sh}(C; \text{Ani})$, containing E , such that every sheafy six-functor formalism on (C, E) extends uniquely to (X, E') .

Not the end of the talk, but time for a pause.
Questions?

The six-functor formalism of quasi-coherent sheaves

Proper base change for quasi-coherent sheaves

Consider the following cartesian diagram of affine schemes:

$$\begin{array}{ccc} \mathrm{Spec} A \otimes_B B' & \xrightarrow{g'} & \mathrm{Spec} A \\ f' \downarrow & & \downarrow f \\ \mathrm{Spec} B' & \xrightarrow{g} & \mathrm{Spec} B. \end{array}$$

In order to have a six-functor formalism of quasi-coherent sheaves, one must have a natural isomorphism

$$Lg^* Rf_! \simeq Rf'_! Lg'^*: D(A) \rightarrow D(B').$$

There's no natural functor $Rf_!$ for quasi-coherent sheaves, but we could **define** $Rf_!$ to be Rf_* and see what happens...

Proper base change for quasi-coherent sheaves

Consider the following cartesian diagram of affine schemes:

$$\begin{array}{ccc} \mathrm{Spec} A \otimes_B B' & \xrightarrow{g'} & \mathrm{Spec} A \\ f' \downarrow & & \downarrow f \\ \mathrm{Spec} B' & \xrightarrow{g} & \mathrm{Spec} B. \end{array}$$

In order to have a six-functor formalism of quasi-coherent sheaves, one must have a natural isomorphism

$$\mathrm{L}g^* \mathrm{R}f_! \simeq \mathrm{R}f'_! \mathrm{L}g'^*: D(A) \rightarrow D(B').$$

There's no natural functor $\mathrm{R}f_!$ for quasi-coherent sheaves, but we could **define** $\mathrm{R}f_!$ to be $\mathrm{R}f_*$ and see what happens...

Proper base change for quasi-coherent sheaves

Consider the following cartesian diagram of affine schemes:

$$\begin{array}{ccc} \mathrm{Spec} A \otimes_B B' & \xrightarrow{g'} & \mathrm{Spec} A \\ f' \downarrow & & \downarrow f \\ \mathrm{Spec} B' & \xrightarrow{g} & \mathrm{Spec} B. \end{array}$$

In order to have a six-functor formalism of quasi-coherent sheaves, one must have a natural isomorphism

$$\mathrm{L}g^* \mathrm{R}f_! \simeq \mathrm{R}f'_! \mathrm{L}g'^*: D(A) \rightarrow D(B').$$

There's no natural functor $\mathrm{R}f_!$ for quasi-coherent sheaves, but we could **define** $\mathrm{R}f_!$ to be $\mathrm{R}f_*$ and see what happens...

Proper base change for quasi-coherent sheaves II

$$\begin{array}{ccc}
 M \otimes_A^L (A \otimes_B B') & \xleftarrow{\hspace{10em}} & M \\
 \downarrow & & \downarrow \\
 M \otimes_A^L (A \otimes_B B') & & M \\
 & \begin{array}{ccc}
 \operatorname{Spec} A \otimes_B B' & \xrightarrow{g'} & \operatorname{Spec} A \\
 f' \downarrow & & \downarrow f \\
 \operatorname{Spec} B' & \xrightarrow{g} & \operatorname{Spec} B
 \end{array} & \\
 & & \\
 & M \otimes_B^L B' \xleftarrow{\hspace{10em}} M &
 \end{array}$$

It's now clear that the desired isomorphism

$$M \otimes_A^L (A \otimes_B B') \simeq M \otimes_B^L B'$$

holds if either A or B' is a flat B -algebra; then $A \otimes_B B' \simeq A \otimes_B^L B'$.

Proper base change for quasi-coherent sheaves II

$$\begin{array}{ccc}
 M \otimes_A^{\mathbb{L}} (A \otimes_B B') & \xleftarrow{\hspace{10em}} & M \\
 \downarrow & & \downarrow \\
 M \otimes_A^{\mathbb{L}} (A \otimes_B B') & & M \\
 & \text{Spec } A \otimes_B B' \xrightarrow{g'} \text{Spec } A & \\
 & \downarrow f' \quad \downarrow f & \\
 & \text{Spec } B' \xrightarrow{g} \text{Spec } B & \\
 & M \otimes_B^{\mathbb{L}} B' \xleftarrow{\hspace{10em}} M &
 \end{array}$$

It's now clear that the desired isomorphism

$$M \otimes_A^{\mathbb{L}} (A \otimes_B B') \simeq M \otimes_B^{\mathbb{L}} B'$$

holds if either A or B' is a flat B -algebra; then $A \otimes_B B' \simeq A \otimes_B^{\mathbb{L}} B'$.

Derived geometry to the rescue!

In general, the solution is to *replace* the naive tensor product $A \otimes_B B'$ by $A \otimes_B^L B'$. However, to *do* algebraic geometry with the latter, it best be some sort of **ring**. ("Classically", we can see $A \otimes_B^L B'$ as an object of either $D(A)$ or $D(B')$. Either way, it's some sort of *module*; not a ring.)

This is precisely the problem that *derived algebraic geometry* solves: we study a notion of **animated commutative rings**, which generalize commutative rings in the same way that objects of $D(\mathbb{Z})$ generalize abelian groups.

Derived geometry to the rescue!

In general, the solution is to *replace* the naive tensor product $A \otimes_B B'$ by $A \otimes_B^L B'$. However, to *do* algebraic geometry with the latter, it best be some sort of **ring**. ("Classically", we can see $A \otimes_B^L B'$ as an object of either $D(A)$ or $D(B')$. Either way, it's some sort of *module*; not a ring.)

This is precisely the problem that *derived algebraic geometry* solves: we study a notion of **animated commutative rings**, which generalize commutative rings in the same way that objects of $D(\mathbb{Z})$ generalize abelian groups.

Derived geometry to the rescue!

In general, the solution is to *replace* the naive tensor product $A \otimes_B B'$ by $A \otimes_B^L B'$. However, to *do* algebraic geometry with the latter, it best be some sort of **ring**. ("Classically", we can see $A \otimes_B^L B'$ as an object of either $D(A)$ or $D(B')$. Either way, it's some sort of *module*; not a ring.)

This is precisely the problem that *derived algebraic geometry* solves: we study a notion of **animated commutative rings**, which generalize commutative rings in the same way that objects of $D(\mathbb{Z})$ generalize abelian groups.

Derived geometry to the rescue!

In general, the solution is to *replace* the naive tensor product $A \otimes_B B'$ by $A \otimes_B^L B'$. However, to *do* algebraic geometry with the latter, it best be some sort of **ring**. ("Classically", we can see $A \otimes_B^L B'$ as an object of either $D(A)$ or $D(B')$. Either way, it's some sort of *module*; not a ring.)

This is precisely the problem that *derived algebraic geometry* solves: we study a notion of **animated commutative rings**, which generalize commutative rings in the same way that objects of $D(\mathbb{Z})$ generalize abelian groups.

Derived geometry to the rescue II

Our previous observation shows that the assignment $A \mapsto D(A)$ satisfies base change. Proving the projection formula is just as easy; we obtain a six-functor seed on

$$\mathcal{C} = \mathbf{AniRing}^{\mathrm{op}}, \quad P = E = \{\mathrm{all}\}, \quad J = \{\mathrm{isomorphisms}\}.$$

The construction theorem + the extension theorem then give:

Theorem

There exists a six-functor formalism D_{qc} on derived stacks¹.

¹sheaves of anima on $\mathbf{AniRing}$

Derived geometry to the rescue II

Our previous observation shows that the assignment $A \mapsto D(A)$ satisfies base change. Proving the projection formula is just as easy; we obtain a six-functor seed on

$$\mathcal{C} = \mathbf{AniRing}^{\mathrm{op}}, \quad P = E = \{\mathrm{all}\}, \quad J = \{\mathrm{isomorphisms}\}.$$

The construction theorem + the extension theorem then give:

Theorem

There exists a six-functor formalism D_{qc} on derived stacks¹.

¹sheaves of anima on $\mathbf{AniRing}$

Commutative group stacks and 1-motives

Abelian group objects

Definition

Denote by Lat the full subcategory of Ab whose objects are free abelian groups of finite rank. An **abelian group object** G in an ∞ -category $\mathcal{C}$ is a functor

$$G: \text{Lat}^{\text{op}} \rightarrow \mathcal{C}$$

that commutes with finite products. We denote by $\text{Ab}(\mathcal{C})$ the ∞ -category of such functors.

We think of $G(\mathbb{Z})$ as being the underlying object of G in $\mathcal{C}$. The addition in G is induced by the addition in $\mathbb{Z}$:

$$G(\mathbb{Z}) \times G(\mathbb{Z}) \simeq G(\mathbb{Z} \times \mathbb{Z}) \xrightarrow{G(\text{add})} G(\mathbb{Z}).$$

Abelian group objects

Definition

Denote by Lat the full subcategory of Ab whose objects are free abelian groups of finite rank. An **abelian group object** G in an ∞ -category $\mathcal{C}$ is a functor

$$G: \text{Lat}^{\text{op}} \rightarrow \mathcal{C}$$

that commutes with finite products. We denote by $\text{Ab}(\mathcal{C})$ the ∞ -category of such functors.

We think of $G(\mathbb{Z})$ as being the underlying object of G in $\mathcal{C}$. The addition in G is induced by the addition in $\mathbb{Z}$:

$$G(\mathbb{Z}) \times G(\mathbb{Z}) \simeq G(\mathbb{Z} \times \mathbb{Z}) \xrightarrow{G(\text{add})} G(\mathbb{Z}).$$

Abelian group objects

Definition

Denote by Lat the full subcategory of Ab whose objects are free abelian groups of finite rank. An **abelian group object** G in an ∞ -category $\mathcal{C}$ is a functor

$$G: \text{Lat}^{\text{op}} \rightarrow \mathcal{C}$$

that commutes with finite products. We denote by $\text{Ab}(\mathcal{C})$ the ∞ -category of such functors.

We think of $G(\mathbb{Z})$ as being the underlying object of G in $\mathcal{C}$. The addition in G is induced by the addition in $\mathbb{Z}$:

$$G(\mathbb{Z}) \times G(\mathbb{Z}) \simeq G(\mathbb{Z} \times \mathbb{Z}) \xrightarrow{G(\text{add})} G(\mathbb{Z}).$$

The Dold–Kan correspondence

This definition generalizes every example you might imagine:

$$\mathrm{Ab}(\mathrm{Set}) \simeq \mathrm{Ab}, \quad \mathrm{Ab}(\mathrm{Sch}) \simeq \{\text{commutative group schemes}\}, \dots$$

Theorem (Dold–Kan, Quillen, Lurie)

We have an equivalence of ∞ -categories

$$\mathrm{Ab}(\mathrm{Ani}) \simeq D^{\leq 0}(\mathbb{Z}).$$

Under this equivalence, π_i corresponds to H^{-i} .

In particular, this restricts to $\mathrm{Ab}(\mathrm{Set}) \simeq \mathrm{Ab}$ and

$$\begin{aligned} \{\text{two-term complexes in } D(\mathbb{Z})\} &\xrightarrow{\sim} \mathrm{Ab}(\mathrm{Grpd}) \\ [H \rightarrow G] &\longmapsto [G/H] \end{aligned}$$

The Dold–Kan correspondence

This definition generalizes every example you might imagine:

$$\mathrm{Ab}(\mathrm{Set}) \simeq \mathrm{Ab}, \quad \mathrm{Ab}(\mathrm{Sch}) \simeq \{\text{commutative group schemes}\}, \dots$$

Theorem (Dold–Kan, Quillen, Lurie)

We have an equivalence of ∞ -categories

$$\mathrm{Ab}(\mathrm{Ani}) \simeq D^{\leq 0}(\mathbb{Z}).$$

Under this equivalence, π_i corresponds to H^{-i} .

In particular, this restricts to $\mathrm{Ab}(\mathrm{Set}) \simeq \mathrm{Ab}$ and

$$\begin{aligned} \{\text{two-term complexes in } D(\mathbb{Z})\} &\xrightarrow{\sim} \mathrm{Ab}(\mathrm{Grpd}) \\ [H \rightarrow G] &\longmapsto [G/H] \end{aligned}$$

The Dold–Kan correspondence

This definition generalizes every example you might imagine:

$$\mathrm{Ab}(\mathrm{Set}) \simeq \mathrm{Ab}, \quad \mathrm{Ab}(\mathrm{Sch}) \simeq \{\text{commutative group schemes}\}, \dots$$

Theorem (Dold–Kan, Quillen, Lurie)

We have an equivalence of ∞ -categories

$$\mathrm{Ab}(\mathrm{Ani}) \simeq D^{\leq 0}(\mathbb{Z}).$$

Under this equivalence, π_i corresponds to H^{-i} .

In particular, this restricts to $\mathrm{Ab}(\mathrm{Set}) \simeq \mathrm{Ab}$ and

$$\begin{aligned} \{\text{two-term complexes in } D(\mathbb{Z})\} &\xrightarrow{\sim} \mathrm{Ab}(\mathrm{Grpd}) \\ [H \rightarrow G] &\longmapsto [G/H] \end{aligned}$$

“1-motives = commutative group stacks”

Definition

We define a **stack** as an fppf sheaf of groupoids on Ring , and we denote their ∞ -category as Stk . A **commutative group stack** is an object of $\text{Ab}(\text{Stk})$.

Recall that a **derived stack** is an fppf sheaf of anima on AniRing ; hence Stk is naturally a full subcategory of dStk .

Corollary from Dold–Kan (first proved by Deligne)

Abusing notation, denote by $\text{Ab}(\text{Ring})$ the category of fppf abelian sheaves on Ring . We have an equivalence:

$$\begin{aligned} \{\text{two-term complexes in } D(\text{Ab}(\text{Ring}))\} &\xrightarrow{\sim} \text{Ab}(\text{Stk}) \\ [\mathcal{H} \rightarrow \mathcal{G}] &\longmapsto [\mathcal{G} / \mathcal{H}]. \end{aligned}$$

“1-motives = commutative group stacks”

Definition

We define a **stack** as an fppf sheaf of groupoids on Ring , and we denote their ∞ -category as Stk . A **commutative group stack** is an object of $\text{Ab}(\text{Stk})$.

Recall that a **derived stack** is an fppf sheaf of anima on AniRing ; hence Stk is naturally a full subcategory of dStk .

Corollary from Dold–Kan (first proved by Deligne)

Abusing notation, denote by $\text{Ab}(\text{Ring})$ the category of fppf abelian sheaves on Ring . We have an equivalence:

$$\begin{aligned} \{\text{two-term complexes in } D(\text{Ab}(\text{Ring}))\} &\xrightarrow{\sim} \text{Ab}(\text{Stk}) \\ [\mathcal{H} \rightarrow \mathcal{G}] &\longmapsto [\mathcal{G} / \mathcal{H}]. \end{aligned}$$

“1-motives = commutative group stacks”

Definition

We define a **stack** as an fppf sheaf of groupoids on Ring , and we denote their ∞ -category as Stk . A **commutative group stack** is an object of $\text{Ab}(\text{Stk})$.

Recall that a **derived stack** is an fppf sheaf of anima on AniRing ; hence Stk is naturally a full subcategory of dStk .

Corollary from Dold–Kan (first proved by Deligne)

Abusing notation, denote by $\text{Ab}(\text{Ring})$ the category of fppf abelian sheaves on Ring . We have an equivalence:

$$\begin{aligned} \{\text{two-term complexes in } D(\text{Ab}(\text{Ring}))\} &\xrightarrow{\sim} \text{Ab}(\text{Stk}) \\ [\mathcal{H} \rightarrow \mathcal{G}] &\longmapsto [\mathcal{G}/\mathcal{H}]. \end{aligned}$$

The classifying stack of line bundles

$\mathrm{Ab}(\mathrm{Stk})$ has a distinguished object: the **classifying stack** BG_m .
(Denoting by $(-)^{\circ}$ the inverse equivalence, this is $[\mathbb{G}_m \rightarrow 0]^{\circ}$.)

For a stack $\mathcal{X}$, a map of stacks $\mathcal{X} \rightarrow \mathrm{BG}_m$ amounts to a line bundle on $\mathcal{X}$. Similarly, for a commutative group stack $\mathcal{G}$ with group law $m: \mathcal{G} \times \mathcal{G} \rightarrow \mathcal{G}$, we have:

$$\left\{ \begin{array}{l} \text{maps of commutative} \\ \text{group stacks } \mathcal{G} \rightarrow \mathrm{BG}_m \end{array} \right\} \simeq \left\{ \begin{array}{l} \text{pairs } (L, \alpha) \text{ of a line bundle} \\ L \text{ on } \mathcal{G} \text{ and an isomorphism} \\ \alpha: m^*L \rightarrow L \boxtimes L \text{ making} \\ \text{two diagrams commute} \end{array} \right\}.$$

The classifying stack of line bundles

$\mathrm{Ab}(\mathrm{Stk})$ has a distinguished object: the **classifying stack** $\mathrm{B}\mathbb{G}_m$.
(Denoting by $(-)^{\circ}$ the inverse equivalence, this is $[\mathbb{G}_m \rightarrow 0]^{\circ}$.)

For a stack $\mathcal{X}$, a map of stacks $\mathcal{X} \rightarrow \mathrm{B}\mathbb{G}_m$ amounts to a line bundle on $\mathcal{X}$. Similarly, for a commutative group stack $\mathcal{G}$ with group law $m: \mathcal{G} \times \mathcal{G} \rightarrow \mathcal{G}$, we have:

$$\left\{ \begin{array}{l} \text{maps of commutative} \\ \text{group stacks } \mathcal{G} \rightarrow \mathrm{B}\mathbb{G}_m \end{array} \right\} \simeq \left\{ \begin{array}{l} \text{pairs } (L, \alpha) \text{ of a line bundle} \\ L \text{ on } \mathcal{G} \text{ and an isomorphism} \\ \alpha: m^*L \rightarrow L \boxtimes L \text{ making} \\ \text{two diagrams commute} \end{array} \right\}.$$

The stacky Cartier dual

This discussion leads to the following definition:

Definition

We define the **stacky Cartier dual** $\mathcal{G}^\vee$ of a commutative group stack $\mathcal{G}$ as $\underline{\mathrm{Hom}}(\mathcal{G}, \mathrm{B}\mathbb{G}_m)$.

This is a commutative group stack whose associated two-term complex is

$$(\mathcal{G}^\vee)^\circ \simeq \tau_{\leq 0} \mathrm{R}\underline{\mathrm{Hom}}(\mathcal{G}^\circ, \mathbb{G}_m[1]).$$

The stacky Cartier dual

This discussion leads to the following definition:

Definition

We define the **stacky Cartier dual** $\mathcal{G}^\vee$ of a commutative group stack $\mathcal{G}$ as $\underline{\mathrm{Hom}}(\mathcal{G}, \mathbb{B}\mathbb{G}_m)$.

This is a commutative group stack whose associated two-term complex is

$$(\mathcal{G}^\vee)^\circ \simeq \tau_{\leq 0} \mathrm{R}\underline{\mathrm{Hom}}(\mathcal{G}^\circ, \mathbb{G}_m[1]).$$

The stacky Cartier dual

This discussion leads to the following definition:

Definition

We define the **stacky Cartier dual** $\mathcal{G}^\vee$ of a commutative group stack $\mathcal{G}$ as $\underline{\mathrm{Hom}}(\mathcal{G}, \mathbb{B}\mathbb{G}_m)$.

This is a commutative group stack whose associated two-term complex is

$$(\mathcal{G}^\vee)^\circ \simeq \tau_{\leq 0} \mathrm{R}\underline{\mathrm{Hom}}(\mathcal{G}^\circ, \mathbb{G}_m[1]).$$

You may recall this functor from Laumon's 85' preprint.

Théorème 7.3. Le foncteur

$$D(-) = \tau_{\leq 0} \mathrm{R}\underline{\mathrm{Hom}}(-, \mathbb{G}_m[1])$$

induit une dualité parfaite sur la catégorie M_k , qui sera dite de Cartier.

de Rham spaces to the rescue!

This $\mathcal{G}^\vee$ is a moduli space of “multiplicative” line bundles on $\mathcal{G}$. How do we go from line bundles to line bundles **with connection**?

Theorem (Simpson, '96)

Let X be a smooth algebraic variety. There exists a stack X_{dR} such that

$$\left\{ \begin{array}{c} \text{Line bundles} \\ \text{on } X_{\mathrm{dR}} \end{array} \right\} = \left\{ \begin{array}{c} \text{Line bundles with} \\ \text{flat connection on } X \end{array} \right\}.$$

For a commutative algebraic group G , one can prove that

$$G_{\mathrm{dR}} \simeq [G/\widehat{G}],$$

where $\widehat{G}$ is the formal completion of G at the identity. (I.e., G_{dR} is the stack associated to the 1-motive $[\widehat{G} \rightarrow G]$.)

de Rham spaces to the rescue!

This $\mathcal{G}^\vee$ is a moduli space of “multiplicative” line bundles on $\mathcal{G}$. How do we go from line bundles to line bundles **with connection**?

Theorem (Simpson, '96)

Let X be a smooth algebraic variety. There exists a stack X_{dR} such that

$$\left\{ \begin{array}{c} \text{Line bundles} \\ \text{on } X_{\mathrm{dR}} \end{array} \right\} = \left\{ \begin{array}{c} \text{Line bundles with} \\ \text{flat connection on } X \end{array} \right\}.$$

For a commutative algebraic group G , one can prove that

$$G_{\mathrm{dR}} \simeq [G/\widehat{G}],$$

where $\widehat{G}$ is the formal completion of G at the identity. (I.e., G_{dR} is the stack associated to the 1-motive $[\widehat{G} \rightarrow G]$.)

de Rham spaces to the rescue!

This $\mathcal{G}^\vee$ is a moduli space of “multiplicative” line bundles on $\mathcal{G}$. How do we go from line bundles to line bundles **with connection**?

Theorem (Simpson, '96)

Let X be a smooth algebraic variety. There exists a stack X_{dR} such that

$$\left\{ \begin{array}{c} \text{Line bundles} \\ \text{on } X_{\mathrm{dR}} \end{array} \right\} = \left\{ \begin{array}{c} \text{Line bundles with} \\ \text{flat connection on } X \end{array} \right\}.$$

For a commutative algebraic group G , one can prove that

$$G_{\mathrm{dR}} \simeq [G/\widehat{G}],$$

where $\widehat{G}$ is the formal completion of G at the identity. (I.e., G_{dR} is the stack associated to the 1-motive $[\widehat{G} \rightarrow G]$.)

de Rham spaces to the rescue!

This $\mathcal{G}^\vee$ is a moduli space of “multiplicative” line bundles on $\mathcal{G}$. How do we go from line bundles to line bundles **with connection**?

Theorem (Simpson, '96)

Let X be a smooth algebraic variety. There exists a stack X_{dR} such that

$$\left\{ \begin{array}{c} \text{Line bundles} \\ \text{on } X_{\mathrm{dR}} \end{array} \right\} = \left\{ \begin{array}{c} \text{Line bundles with} \\ \text{flat connection on } X \end{array} \right\}.$$

For a commutative algebraic group G , one can prove that

$$G_{\mathrm{dR}} \simeq [G/\widehat{G}],$$

where $\widehat{G}$ is the formal completion of G at the identity. (I.e., G_{dR} is the stack associated to the 1-motive $[\widehat{G} \rightarrow G]$.)

Computing the stacky Cartier dual

The map $\widehat{G} \rightarrow G$ is injective, and so G_{dR} is a usual abelian sheaf. Also, it satisfies $\underline{\mathrm{Hom}}(G_{\mathrm{dR}}, \mathbb{G}_m) = 0$; simplifying the computation of $G_{\mathrm{dR}}^\vee$.

Easy lemma

Let $\mathcal{G}$ be an abelian sheaf.

- If $\underline{\mathrm{Hom}}(\mathcal{G}, \mathbb{G}_m) = 0$, then $\mathcal{G}^\vee \simeq \underline{\mathrm{Ext}}^1(\mathcal{G}, \mathbb{G}_m)$.
- If $\underline{\mathrm{Ext}}^1(\mathcal{G}, \mathbb{G}_m) = 0$, then $\mathcal{G}^\vee \simeq \mathrm{B}\underline{\mathrm{Hom}}(\mathcal{G}, \mathbb{G}_m)$.

Examples

- For an abelian variety A , we have that $A^\vee$ is the dual abelian variety and $A_{\mathrm{dR}}^\vee \simeq A^\natural$.
- For a torus T with character group X , we have $T^\vee \simeq \mathrm{B}X$ and $T_{\mathrm{dR}}^\vee \simeq \Omega_T/X$.

Computing the stacky Cartier dual

The map $\widehat{G} \rightarrow G$ is injective, and so G_{dR} is a usual abelian sheaf. Also, it satisfies $\underline{\mathrm{Hom}}(G_{\mathrm{dR}}, \mathbb{G}_m) = 0$; simplifying the computation of $G_{\mathrm{dR}}^\vee$.

Easy lemma

Let $\mathcal{G}$ be an abelian sheaf.

- If $\underline{\mathrm{Hom}}(\mathcal{G}, \mathbb{G}_m) = 0$, then $\mathcal{G}^\vee \simeq \underline{\mathrm{Ext}}^1(\mathcal{G}, \mathbb{G}_m)$.
- If $\underline{\mathrm{Ext}}^1(\mathcal{G}, \mathbb{G}_m) = 0$, then $\mathcal{G}^\vee \simeq \mathrm{B}\underline{\mathrm{Hom}}(\mathcal{G}, \mathbb{G}_m)$.

Examples

- For an abelian variety A , we have that $A^\vee$ is the dual abelian variety and $A_{\mathrm{dR}}^\vee \simeq A^\natural$.
- For a torus T with character group X , we have $T^\vee \simeq \mathrm{B}X$ and $T_{\mathrm{dR}}^\vee \simeq \Omega_T/X$.

Computing the stacky Cartier dual

The map $\widehat{G} \rightarrow G$ is injective, and so G_{dR} is a usual abelian sheaf. Also, it satisfies $\underline{\mathrm{Hom}}(G_{\mathrm{dR}}, \mathbb{G}_m) = 0$; simplifying the computation of $G_{\mathrm{dR}}^\vee$.

Easy lemma

Let $\mathcal{G}$ be an abelian sheaf.

- If $\underline{\mathrm{Hom}}(\mathcal{G}, \mathbb{G}_m) = 0$, then $\mathcal{G}^\vee \simeq \underline{\mathrm{Ext}}^1(\mathcal{G}, \mathbb{G}_m)$.
- If $\underline{\mathrm{Ext}}^1(\mathcal{G}, \mathbb{G}_m) = 0$, then $\mathcal{G}^\vee \simeq \mathrm{B}\underline{\mathrm{Hom}}(\mathcal{G}, \mathbb{G}_m)$.

Examples

- For an abelian variety A , we have that $A^\vee$ is the dual abelian variety and $A_{\mathrm{dR}}^\vee \simeq A^\natural$.
- For a torus T with character group X , we have $T^\vee \simeq \mathrm{B}X$ and $T_{\mathrm{dR}}^\vee \simeq \Omega_T/X$.

The unipotent case

One would naturally expect the stacky Cartier dual of $\mathbb{G}_{a,\mathrm{dR}}$ to be itself. This holds if and only if

$$\underline{\mathrm{Ext}}^1(\mathbb{G}_a, \mathbb{G}_m) = 0.$$

The unipotent case

One would naturally expect the stacky Cartier dual of $\mathbb{G}_{a,\mathrm{dR}}$ to be itself. This holds if and only if

$$\underline{\mathrm{Ext}}^1(\mathbb{G}_a, \mathbb{G}_m) = 0.$$

Theorem (R.-Rosengarten, Gabber)

Let R be a $\mathbb{Q}$ -algebra. Then there is a functorial isomorphism:

$$\underline{\mathrm{Ext}}^1(\mathbb{G}_a, \mathbb{G}_m)(R) \simeq \mathrm{Pic}(\mathbb{G}_{a,R_{\mathrm{red}}})_{\mathrm{mult}},$$

where the right-hand side denotes the group of multiplicative line bundles on $\mathbb{G}_{a,R_{\mathrm{red}}}$.

These groups vanish if and only if $\mathrm{Spec} R_{\mathrm{red}}$ is seminormal. In particular, it does not vanish for $R = \mathbb{Q}[x, y]/(y^2 - x^3)$.

As a result, if G has a unipotent subgroup, the stacky Cartier dual $G_{\mathrm{dR}}^{\vee}$ is *mysterious*...

In my paper “A Moduli Space of Character Sheaves”, I give a simpler construction of the dual of $[\widehat{G} \rightarrow G]$ defined by Thomas last time.

Denoting it by $G_{\mathrm{dR}}^{\triangleright}$, I construct a natural map

$$G_{\mathrm{dR}}^{\triangleright} \rightarrow G_{\mathrm{dR}}^{\vee}$$

which is an isomorphism if and only if G is a semiabelian variety.

As a result, if G has a unipotent subgroup, the stacky Cartier dual $G_{\mathrm{dR}}^{\vee}$ is *mysterious*...

In my paper “A Moduli Space of Character Sheaves”, I give a simpler construction of the dual of $[\widehat{G} \rightarrow G]$ defined by Thomas last time.

Denoting it by $G_{\mathrm{dR}}^{\triangleright}$, I construct a natural map

$$G_{\mathrm{dR}}^{\triangleright} \rightarrow G_{\mathrm{dR}}^{\vee}$$

which is an isomorphism if and only if G is a semiabelian variety.

As a result, if G has a unipotent subgroup, the stacky Cartier dual $G_{\mathrm{dR}}^{\vee}$ is *mysterious*...

In my paper “A Moduli Space of Character Sheaves”, I give a simpler construction of the dual of $[\widehat{G} \rightarrow G]$ defined by Thomas last time.

Denoting it by $G_{\mathrm{dR}}^{\triangleright}$, I construct a natural map

$$G_{\mathrm{dR}}^{\triangleright} \rightarrow G_{\mathrm{dR}}^{\vee}$$

which is an isomorphism if and only if G is a semiabelian variety.

This operation $\mathcal{G} \mapsto \mathcal{G}^\vee$ exists in extreme generality, and gives *the right results* whenever there's no $\mathbb{G}_a$ in sight. However, it can be difficult to compute.

On the other hand, there is a different operation $\mathcal{G} \mapsto \mathcal{G}^\triangleright$ that only exists when $\mathcal{G}$ is the stack associated to a 1-motive in the sense of Laumon. However, it is easy to compute and always gives *the right result*.

This operation $\mathcal{G} \mapsto \mathcal{G}^\vee$ exists in extreme generality, and gives *the right results* whenever there's no $\mathbb{G}_a$ in sight. However, it can be difficult to compute.

On the other hand, there is a different operation $\mathcal{G} \mapsto \mathcal{G}^\triangleright$ that only exists when $\mathcal{G}$ is the stack associated to a 1-motive in the sense of Laumon. However, it is easy to compute and always gives *the right result*.

This operation $\mathcal{G} \mapsto \mathcal{G}^\vee$ exists in extreme generality, and gives *the right results* whenever there's no $\mathbb{G}_a$ in sight. However, it can be difficult to compute.

On the other hand, there is a different operation $\mathcal{G} \mapsto \mathcal{G}^\triangleright$ that only exists when $\mathcal{G}$ is the stack associated to a 1-motive in the sense of Laumon. However, it is easy to compute and always gives *the right result*.

The Fourier–Mukai transform

Main definitions

Let $\mathcal{G}$ be a *flat*² commutative group stack. The evaluation map

$$\mathcal{G} \times \mathcal{G}^\vee \rightarrow \mathrm{B}\mathbb{G}_m$$

induces a **Poincaré bundle** $\mathcal{P}$ on $\mathcal{G} \times \mathcal{G}^\vee$.

This gives rise to the Fourier–Mukai transform

$$\begin{aligned} \mathrm{FT}_{\mathcal{G}} : D_{\mathrm{qc}}(\mathcal{G}) &\rightarrow D_{\mathrm{qc}}(\mathcal{G}^\vee) \\ M &\mapsto \mathrm{pr}_{2,*}(\mathcal{P} \otimes \mathrm{pr}_1^* M), \end{aligned}$$

where pr_i are the projections from $\mathcal{G} \times \mathcal{G}^\vee$.

²Every 1-motive in the sense of Laumon is flat.

Main definitions

Let $\mathcal{G}$ be a *flat*² commutative group stack. The evaluation map

$$\mathcal{G} \times \mathcal{G}^\vee \rightarrow \mathrm{B}\mathbb{G}_m$$

induces a **Poincaré bundle** $\mathcal{P}$ on $\mathcal{G} \times \mathcal{G}^\vee$.

This gives rise to the Fourier–Mukai transform

$$\begin{aligned} \mathrm{FT}_{\mathcal{G}} : D_{\mathrm{qc}}(\mathcal{G}) &\rightarrow D_{\mathrm{qc}}(\mathcal{G}^\vee) \\ M &\mapsto \mathrm{pr}_{2,!}(\mathcal{P} \otimes \mathrm{pr}_1^* M), \end{aligned}$$

where pr_i are the projections from $\mathcal{G} \times \mathcal{G}^\vee$.

²Every 1-motive in the sense of Laumon is flat.

Convolution

Denoting by $m: \mathcal{G} \times \mathcal{G} \rightarrow \mathcal{G}$ the group law, we have a natural *convolution* functor

$$\begin{aligned} - * - : D_{qc}(\mathcal{G}) \times D_{qc}(\mathcal{G}) &\rightarrow D_{qc}(\mathcal{G}) \\ (M, N) &\mapsto m_!(M \boxtimes N). \end{aligned}$$

The following result becomes *abstract non-sense* using the formalism of correspondences:

Proposition

The operation $*$ defines a symmetric monoidal structure on $D_{qc}(\mathcal{G})$ such that

$$\mathrm{FT}_{\mathcal{G}}: (D_{qc}(\mathcal{G}), *) \rightarrow (D_{qc}(\mathcal{G}^{\vee}), \otimes)$$

is a symmetric monoidal functor.

Convolution

Denoting by $m: \mathcal{G} \times \mathcal{G} \rightarrow \mathcal{G}$ the group law, we have a natural *convolution* functor

$$\begin{aligned} - * - : D_{qc}(\mathcal{G}) \times D_{qc}(\mathcal{G}) &\rightarrow D_{qc}(\mathcal{G}) \\ (M, N) &\mapsto m_!(M \boxtimes N). \end{aligned}$$

The following result becomes *abstract non-sense* using the formalism of correspondences:

Proposition

The operation $*$ defines a symmetric monoidal structure on $D_{qc}(\mathcal{G})$ such that

$$FT_{\mathcal{G}}: (D_{qc}(\mathcal{G}), *) \rightarrow (D_{qc}(\mathcal{G}^{\vee}), \otimes)$$

is a symmetric monoidal functor.

The Laumon transform

Let $\mathcal{G}$ be the stack associated to a Laumon 1-motive. Denoting by ℓ the natural map $\mathcal{G}^\triangleright \rightarrow \mathcal{G}^\vee$, we define the **Laumon transform** $\mathrm{LT}_{\mathcal{G}}$ as

$$\mathrm{D}_{\mathrm{qc}}(\mathcal{G}) \xrightarrow{\mathrm{FT}_{\mathcal{G}}} \mathrm{D}_{\mathrm{qc}}(\mathcal{G}^\vee) \xrightarrow{\ell^*} \mathrm{D}_{\mathrm{qc}}(\mathcal{G}^\triangleright).$$

This is still a symmetric monoidal functor, since ℓ^* is. It agrees with the expected Fourier transforms in all examples that I know.

Laumon claims that $\mathrm{LT}_{\mathcal{G}}$ is an **equivalence of categories**. This sounds very reasonable, as it holds in every example, but he did not prove it.

I *believe* that I know how to do it, but I honestly did not have the time to write it carefully in time for this talk.

The Laumon transform

Let $\mathcal{G}$ be the stack associated to a Laumon 1-motive. Denoting by ℓ the natural map $\mathcal{G}^\triangleright \rightarrow \mathcal{G}^\vee$, we define the **Laumon transform** $\mathrm{LT}_{\mathcal{G}}$ as

$$\mathrm{D}_{\mathrm{qc}}(\mathcal{G}) \xrightarrow{\mathrm{FT}_{\mathcal{G}}} \mathrm{D}_{\mathrm{qc}}(\mathcal{G}^\vee) \xrightarrow{\ell^*} \mathrm{D}_{\mathrm{qc}}(\mathcal{G}^\triangleright).$$

This is still a symmetric monoidal functor, since ℓ^* is. It agrees with the expected Fourier transforms in all examples that I know.

Laumon claims that $\mathrm{LT}_{\mathcal{G}}$ is an equivalence of categories. This sounds very reasonable, as it holds in every example, but he did not prove it.

I believe that I know how to do it, but I honestly did not have the time to write it carefully in time for this talk.

The Laumon transform

Let $\mathcal{G}$ be the stack associated to a Laumon 1-motive. Denoting by ℓ the natural map $\mathcal{G}^\triangleright \rightarrow \mathcal{G}^\vee$, we define the **Laumon transform** $\mathrm{LT}_{\mathcal{G}}$ as

$$\mathrm{D}_{\mathrm{qc}}(\mathcal{G}) \xrightarrow{\mathrm{FT}_{\mathcal{G}}} \mathrm{D}_{\mathrm{qc}}(\mathcal{G}^\vee) \xrightarrow{\ell^*} \mathrm{D}_{\mathrm{qc}}(\mathcal{G}^\triangleright).$$

This is still a symmetric monoidal functor, since ℓ^* is. It agrees with the expected Fourier transforms in all examples that I know.

Laumon claims that $\mathrm{LT}_{\mathcal{G}}$ **is an equivalence of categories**. This sounds very reasonable, as it holds in every example, but he did not prove it.

I *believe* that I know how to do it, but I honestly did not have the time to write it carefully in time for this talk.

The Laumon transform

Let $\mathcal{G}$ be the stack associated to a Laumon 1-motive. Denoting by ℓ the natural map $\mathcal{G}^\triangleright \rightarrow \mathcal{G}^\vee$, we define the **Laumon transform** $\mathrm{LT}_{\mathcal{G}}$ as

$$D_{\mathrm{qc}}(\mathcal{G}) \xrightarrow{\mathrm{FT}_{\mathcal{G}}} D_{\mathrm{qc}}(\mathcal{G}^\vee) \xrightarrow{\ell^*} D_{\mathrm{qc}}(\mathcal{G}^\triangleright).$$

This is still a symmetric monoidal functor, since ℓ^* is. It agrees with the expected Fourier transforms in all examples that I know.

Laumon claims that $\mathrm{LT}_{\mathcal{G}}$ **is an equivalence of categories**. This sounds very reasonable, as it holds in every example, but he did not prove it.

I believe that I know how to do it, but I honestly did not have the time to write it carefully in time for this talk.

The Laumon transform

Let $\mathcal{G}$ be the stack associated to a Laumon 1-motive. Denoting by ℓ the natural map $\mathcal{G}^\triangleright \rightarrow \mathcal{G}^\vee$, we define the **Laumon transform** $\mathrm{LT}_{\mathcal{G}}$ as

$$D_{\mathrm{qc}}(\mathcal{G}) \xrightarrow{\mathrm{FT}_{\mathcal{G}}} D_{\mathrm{qc}}(\mathcal{G}^\vee) \xrightarrow{\ell^*} D_{\mathrm{qc}}(\mathcal{G}^\triangleright).$$

This is still a symmetric monoidal functor, since ℓ^* is. It agrees with the expected Fourier transforms in all examples that I know.

Laumon claims that $\mathrm{LT}_{\mathcal{G}}$ **is an equivalence of categories**. This sounds very reasonable, as it holds in every example, but he did not prove it.

I *believe* that I know how to do it, but I honestly did not have the time to write it carefully in time for this talk.

The moduli space of character sheaves

Henceforth, let k be a field of characteristic zero and G be a commutative connected algebraic group over k .

Definition

Let S be a k -scheme. A **character sheaf on G relative to S** is a line bundle $\mathcal{L}$ on G_S equipped with a flat connection ∇ relative to S satisfying

$$m_S^*(\mathcal{L}, \nabla) \simeq (\mathcal{L}, \nabla) \boxtimes (\mathcal{L}, \nabla).$$

In the absolute case $S = \operatorname{Spec} k$, we call such an object a **character sheaf on G** .

We denote by $H_m^1(G_{\text{dR}} \times S, \mathbb{G}_m)$ the group of isomorphism classes of character sheaves on G relative to S .

Henceforth, let k be a field of characteristic zero and G be a commutative connected algebraic group over k .

Definition

Let S be a k -scheme. A **character sheaf on G relative to S** is a line bundle $\mathcal{L}$ on G_S equipped with a flat connection ∇ relative to S satisfying

$$m_S^*(\mathcal{L}, \nabla) \simeq (\mathcal{L}, \nabla) \boxtimes (\mathcal{L}, \nabla).$$

In the absolute case $S = \operatorname{Spec} k$, we call such an object a **character sheaf on G** .

We denote by $H_m^1(G_{\text{dR}} \times S, \mathbb{G}_m)$ the group of isomorphism classes of character sheaves on G relative to S .

Henceforth, let k be a field of characteristic zero and G be a commutative connected algebraic group over k .

Definition

Let S be a k -scheme. A **character sheaf on G relative to S** is a line bundle $\mathcal{L}$ on G_S equipped with a flat connection ∇ relative to S satisfying

$$m_S^*(\mathcal{L}, \nabla) \simeq (\mathcal{L}, \nabla) \boxtimes (\mathcal{L}, \nabla).$$

In the absolute case $S = \operatorname{Spec} k$, we call such an object a **character sheaf on G** .

We denote by $H_m^1(G_{\text{dR}} \times S, \mathbb{G}_m)$ the group of isomorphism classes of character sheaves on G relative to S .

Is $G_{\mathrm{dR}}^\triangleright$ a moduli space of character sheaves?

The Laumon dual $G_{\mathrm{dR}}^\triangleright$ has the nice property that $D_{\mathrm{qc}}(G_{\mathrm{dR}}^\triangleright)$ is *what it should be* (the target of the Fourier–Mukai transform).

(For $G = \mathbb{G}_a$, we have $G_{\mathrm{dR}}^\triangleright \simeq \mathbb{G}_{a,\mathrm{dR}}$ and so $D_{\mathrm{qc}}(G_{\mathrm{dR}}^\triangleright) \simeq D_{\mathrm{qc}}(\mathcal{D}_{\mathbb{G}_a})$.)

Moreover, it does parametrize character sheaves: for a seminormal k -scheme S , we have a functorial isomorphism

$$G_{\mathrm{dR}}^\triangleright(S) \simeq H_m^1(G_{\mathrm{dR}} \times S, \mathbb{G}_m).$$

It is *not*, however, representable by a scheme or algebraic space.

(Recall that $\mathbb{G}_{a,\mathrm{dR}} \simeq \mathbb{G}_a/\widehat{\mathbb{G}}_a$.)

Is $G_{\mathrm{dR}}^\triangleright$ a moduli space of character sheaves?

The Laumon dual $G_{\mathrm{dR}}^\triangleright$ has the nice property that $D_{\mathrm{qc}}(G_{\mathrm{dR}}^\triangleright)$ is *what it should be* (the target of the Fourier–Mukai transform).

(For $G = \mathbb{G}_a$, we have $G_{\mathrm{dR}}^\triangleright \simeq \mathbb{G}_{a,\mathrm{dR}}$ and so $D_{\mathrm{qc}}(G_{\mathrm{dR}}^\triangleright) \simeq D_{\mathrm{qc}}(\mathcal{D}_{\mathbb{G}_a})$.)

Moreover, it does parametrize character sheaves: for a seminormal k -scheme S , we have a functorial isomorphism

$$G_{\mathrm{dR}}^\triangleright(S) \simeq H_m^1(G_{\mathrm{dR}} \times S, \mathbb{G}_m).$$

It is *not*, however, representable by a scheme or algebraic space.
(Recall that $\mathbb{G}_{a,\mathrm{dR}} \simeq \mathbb{G}_a/\widehat{\mathbb{G}}_a$.)

Is $G_{\mathrm{dR}}^\triangleright$ a moduli space of character sheaves?

The Laumon dual $G_{\mathrm{dR}}^\triangleright$ has the nice property that $D_{\mathrm{qc}}(G_{\mathrm{dR}}^\triangleright)$ is *what it should be* (the target of the Fourier–Mukai transform).

(For $G = \mathbb{G}_a$, we have $G_{\mathrm{dR}}^\triangleright \simeq \mathbb{G}_{a,\mathrm{dR}}$ and so $D_{\mathrm{qc}}(G_{\mathrm{dR}}^\triangleright) \simeq D_{\mathrm{qc}}(\mathcal{D}_{\mathbb{G}_a})$.)

Moreover, it does parametrize character sheaves: for a seminormal k -scheme S , we have a functorial isomorphism

$$G_{\mathrm{dR}}^\triangleright(S) \simeq H_m^1(G_{\mathrm{dR}} \times S, \mathbb{G}_m).$$

It is *not*, however, representable by a scheme or algebraic space.
(Recall that $\mathbb{G}_{a,\mathrm{dR}} \simeq \mathbb{G}_a/\widehat{\mathbb{G}}_a$.)

Is $G_{\mathrm{dR}}^\triangleright$ a moduli space of character sheaves?

The Laumon dual $G_{\mathrm{dR}}^\triangleright$ has the nice property that $D_{\mathrm{qc}}(G_{\mathrm{dR}}^\triangleright)$ is *what it should be* (the target of the Fourier–Mukai transform).

(For $G = \mathbb{G}_a$, we have $G_{\mathrm{dR}}^\triangleright \simeq \mathbb{G}_{a,\mathrm{dR}}$ and so $D_{\mathrm{qc}}(G_{\mathrm{dR}}^\triangleright) \simeq D_{\mathrm{qc}}(\mathcal{D}_{\mathbb{G}_a})$.)

Moreover, it does parametrize character sheaves: for a seminormal k -scheme S , we have a functorial isomorphism

$$G_{\mathrm{dR}}^\triangleright(S) \simeq H_m^1(G_{\mathrm{dR}} \times S, \mathbb{G}_m).$$

It is *not*, however, representable by a scheme or algebraic space.

(Recall that $\mathbb{G}_{a,\mathrm{dR}} \simeq \mathbb{G}_a / \widehat{\mathbb{G}}_a$.)

The moduli space of character sheaves

By somewhat surgically taken away the formal groups inside of $G_{\mathrm{dR}}^{\triangleright}$, one obtains a sheaf that *is* representable.

Theorem (R., '26)

There exists a commutative connected group algebraic space G^b satisfying $\dim G \leq \dim G^b \leq 2 \dim G$ and a natural isomorphism

$$G^b(S) \simeq H_m^1(G_{\mathrm{dR}} \times S, \mathbb{G}_m)$$

for every seminormal k -scheme S .

Questions?