



Parent grain reconstruction in

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Parent grain reconstruction in MTEX

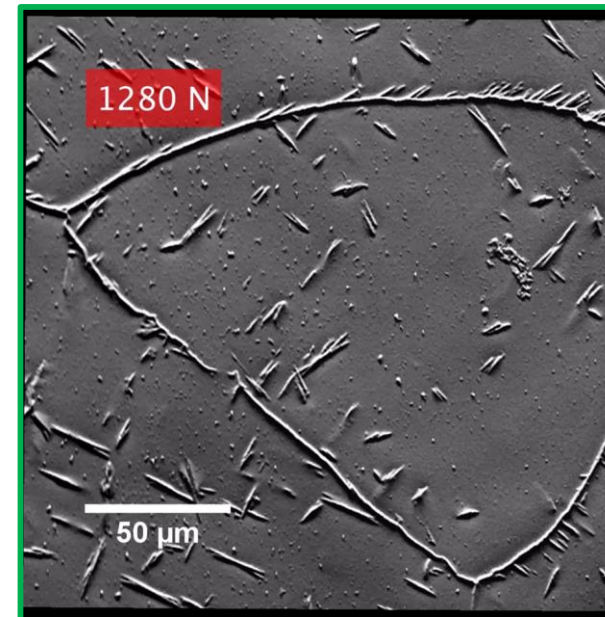
- Introduction
 - *to martensitic transformations*
 - *to parent grain reconstruction*
- MTEX implementation
 - *Example “ $\gamma \rightarrow \alpha'$ transformation in steel”*
- Further application examples
- Conclusion

Introduction – Martensitic transformation

- The martensitic transformation is a technologically important **diffusionless transformation** from a metastable **parent** to a martensitic **child phase**
 - γ -to- ε and γ -to- α' transformations in steels -> *TRIP*
 - β -to- α' and β -to- α'' transformation in titanium alloys -> *TRIP & Shape Memory Effect*



[<http://www.phase-trans.msm.cam.ac.uk/2002/martensite.html>
Professor Toshihiko Koseki, Tokyo University]

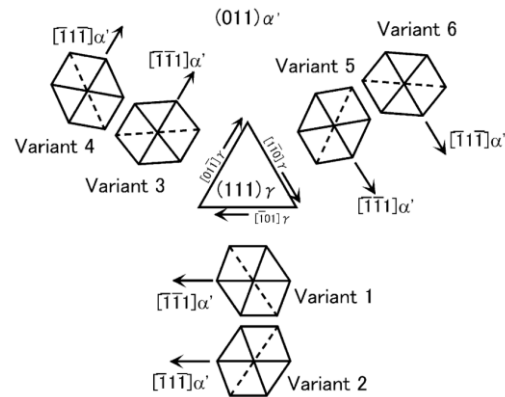


[F. Niessen, A.A. Gazder, D.R.G. Mitchell, E. V. Pereloma, Mater. Sci. Eng. A 802 (2021).

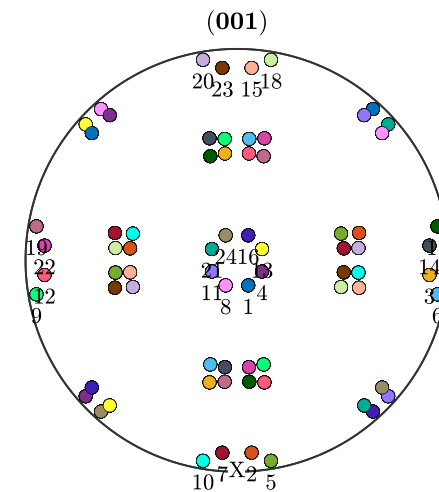
Introduction – Martensitic transformations

- The **orientation relationship** is defined by a set of **parallel planes** and **directions**
 - Kurdjumow-Sachs (K-S): $\{111\}_\gamma \parallel \{110\}_{\alpha'}, \langle 1\bar{1}0 \rangle_\gamma \parallel \langle 1\bar{1}1 \rangle_{\alpha'}$
 - Nishiyama-Wasserman (N-W): $\{111\}_\gamma \parallel \{110\}_{\alpha'}, \langle 0\bar{1}1 \rangle_\gamma \parallel \langle 001 \rangle_{\alpha'}$
 - ...
 - Shoji-Nishiyama (S-N): $\{111\}_\gamma \parallel \{0001\}_\epsilon, \langle 1\bar{1}0 \rangle_\gamma \parallel \langle 11\bar{2}0 \rangle_\epsilon$
- Crystal symmetry leads to the formation of several **orientation variants**

Orientation relationship in steel



Orientation variants in steel

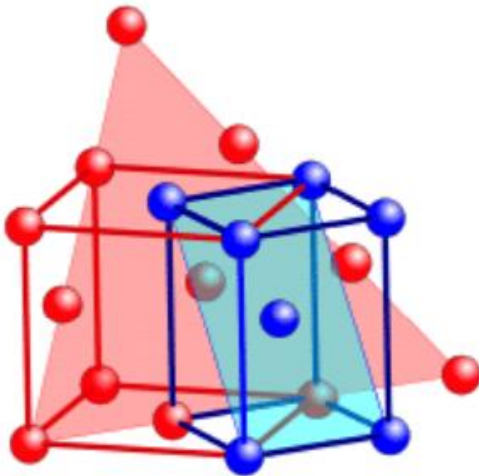


[S. Morito, H. Tanaka, R. Konishi, T. Furuhashi, T. Maki, Acta Mater. 51 (2003) 1789–1799.]

Introduction – Martensitic transformations

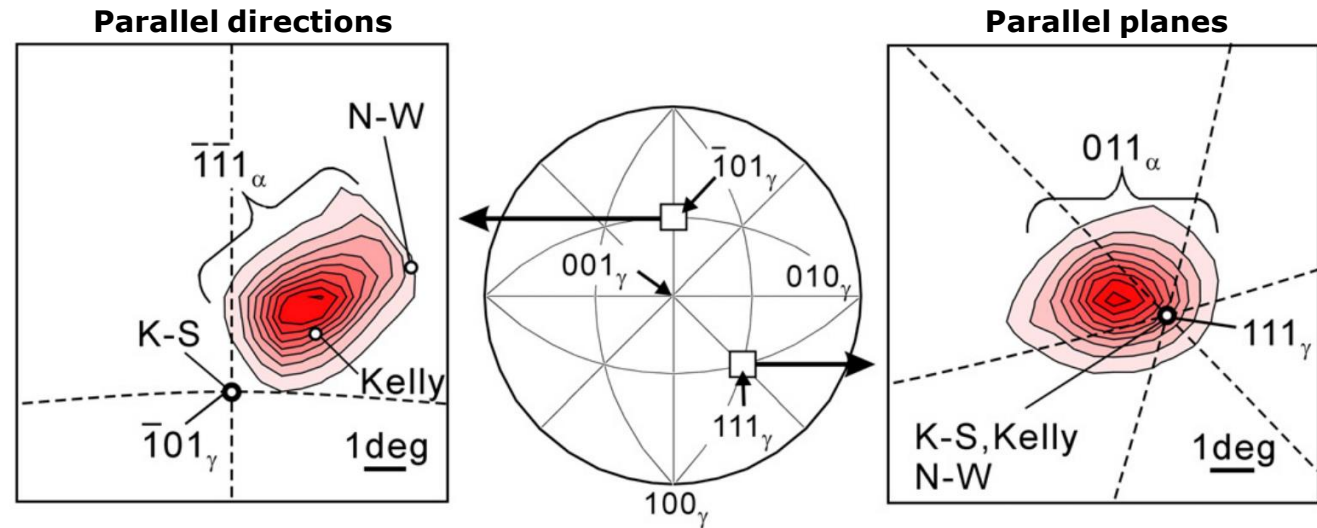
- The **experimentally observed OR** is generally close, but not identical to **rational OR's**
 - In lath martensite in steels it is about **halfway between K-S and N-W**
 - This seemingly marginal difference becomes **important in parent grain reconstruction**

Rational OR



[G. Nolze, Zeitschrift Für Met. 95 (2004) 744–755.]

Experimentally observed OR

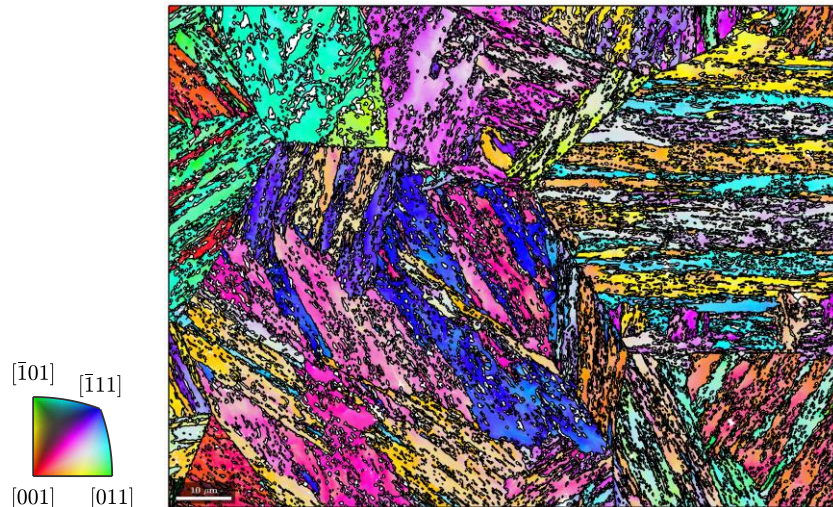


[G. Miyamoto, N. Iwata, N. Takayama, T. Furuhashi, Acta Mater. 58 (2010) 6393–6403]

Introduction – Parent grain reconstruction

- **Parent grain reconstruction** aims at calculating the parent microstructure from the orientations of the child phase
- **One parent** orientation can form **several** child orientation **variants** (up to 24 in steel)
 - Therefore **one child** orientation can have **multiple** potential **parent orientations**
- Goal: Find **sufficient** distinct **child orientations** of the **same prior parent grain** to **calculate the parent orientation**

Martensitic microstructure



α' orientations

Parent phase microstructure

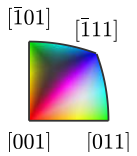


Reconstructed γ orientations

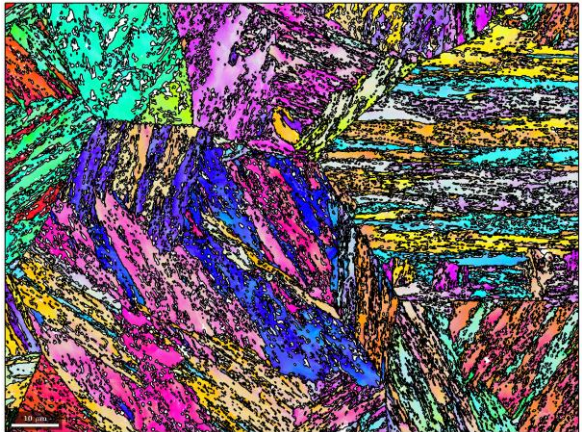
Introduction – Parent grain reconstruction

Why care?!?

- Parent grain reconstruction is **more than an academic exercise** – Two purposes:
 - **Optimizing high-temperature processing** (hot-rolling, forging)
 - **Detailed analysis of the hierarchy of martensitic microstructures**
 - Variant and mechanical analysis



Martensitic microstructure →



α' orientations

OR^{-1}
→

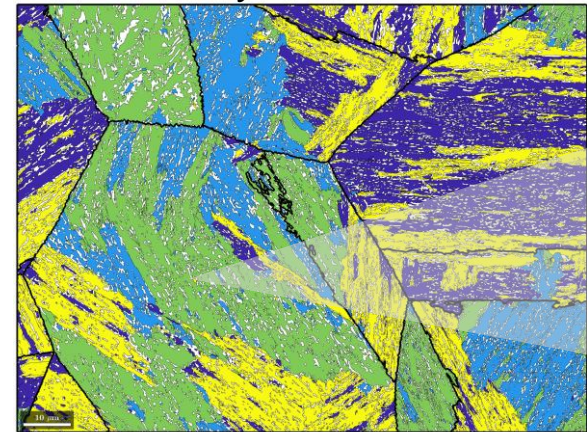
←
 OR

Parent phase microstructure →



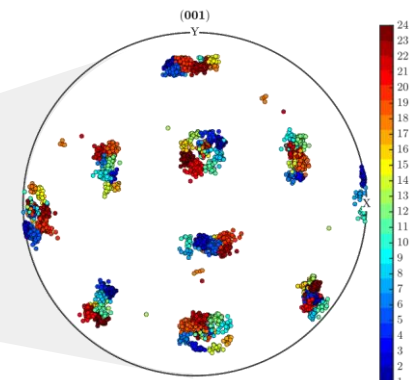
Reconstructed γ orientations

Variant analysis →



Packet map

Local analysis

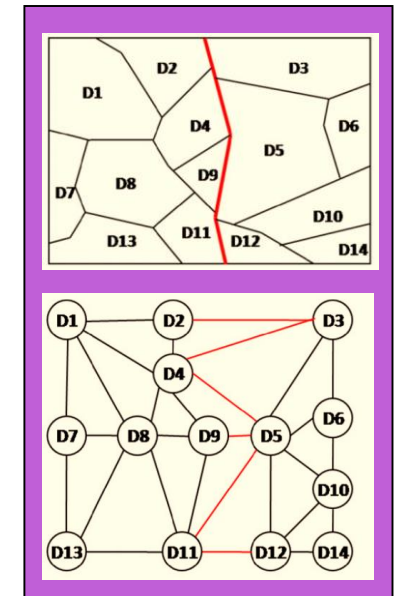


Variant polefigure

Introduction – Parent grain reconstruction

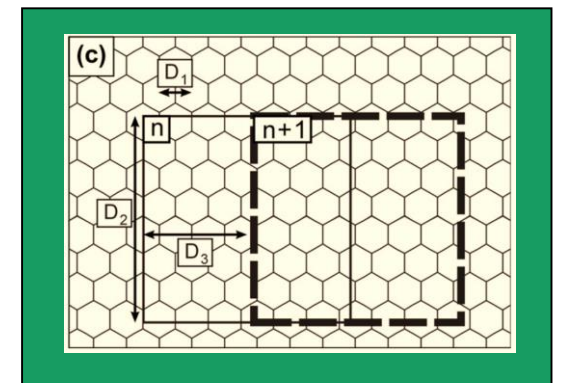
There are **two types of methods** for **parent grain reconstruction**:

- Operations on a **weighted graph** constructed from a **grain map** [1–7]
 - *Computationally efficient*
- Operations on **cropped sections** of the **orientation (EBSD) map** that has been segmented into a **square grid** [8–10]
 - *Claimed to be more accurate on ambiguous orientations (i.e. twins)*



Ref. [2]

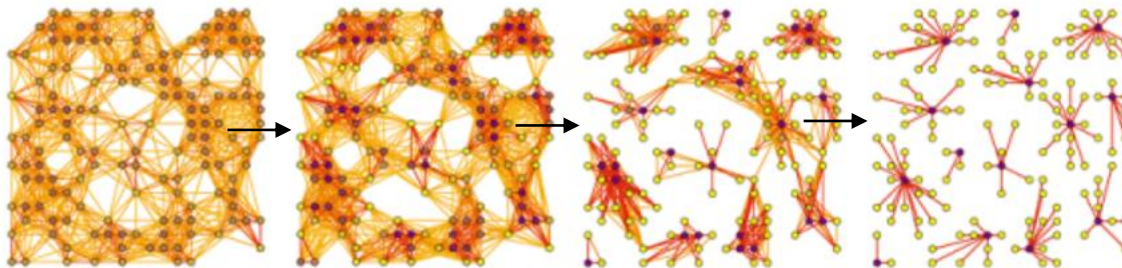
[1] C. Cayron, B. Artaud, L. Briottet, *Mater. Charact.* 57 (2006) 386–401.
 [2] L. Germain, N. Gey, R. Mercier, P. Blaineau, M. Humbert, *Acta Mater.* 60 (2012) 4551–4562.
 [3] E. Gomes, L.A.I. Kestens, in: *17th Int. Conf. Textures Mater. (ICOTOM 17)*, 2015.
 [4] T. Nyyssönen, P. Peura, V.T. Kuokkala, *Metall. Mater. Trans. A* 49 (2018) 6426–6441.
 [5] A.H. Pham, T. Ohba, S. Morito, T. Hayashi, *Mater. Trans.* 56 (2015) 1639–1647.
 [6] C.Y. Huang, H.C. Ni, H.W. Yen, *Materialia* 9 (2020).
 [7] S.K. Giri, A. Durgaprasad, K. V. Manikrishna, C.R. Anoop, S. Kundu, I. Samajdar, *Philos. Mag.* 99 (2019) 699–717.
 [8] G. Miyamoto, N. Iwata, N. Takayama, T. Furuhashi, *Acta Mater.* 58 (2010) 6393–6403.
 [9] N. Bernier, L. Bracke, L. Malet, S. Godet, *Mater. Charact.* 89 (2014) 23–32.
 [10] D. Wang, J. Jin, Q. Li, X. Wang, *Crystals* 9 (2019).



Ref. [8]

Introduction – Parent grain reconstruction

- Most **weighted graph** approaches
 - **first** calculate **all possible parent orientations** for all child orientations
 - **then group child grains** that have a common parent orientation
- Method by *Gomes et al.*¹ and *Nyssonen et al.*²
 - Apply **Markov clustering** algorithm to
 - **first group child grains** and **then** calculate the **common parent orientation**
 - Markov clustering discovers natural groups in graphs by simulating a random walk

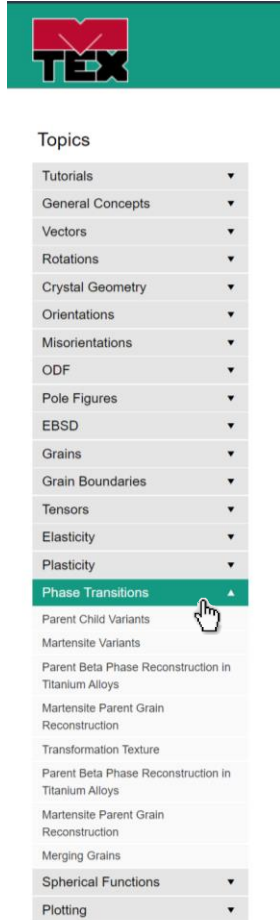


[1] E. Gomes, L.A.I. Kestens, in: *17th Int. Conf. Textures Mater. (ICOTOM 17)*, 2015.

[2] T. Nyssonen, P. Peura, V.T. Kuokkala, *Metall. Mater. Trans. A* 49 (2018) 6426–6441.

MTEX implementation

- Only open and freely available parent reconstruction method -> released in **MTEX 5.6**
- Supports the analysis of **transitions** between **arbitrary parent** and **child** phases
- **Different reconstruction models** can be combined
 - **Boundary based vs. triple point based**
 - **Graph clustering** algorithms
 - **Nucleation based** algorithms
 - Child grain reversion by vote of neighboring child grains
 - **Growth based** algorithms
 - Growth of parent grains into child grains
- Straight forward **local reversion** of bad reconstructions
- **Iterative reconstruction** with increasing threshold

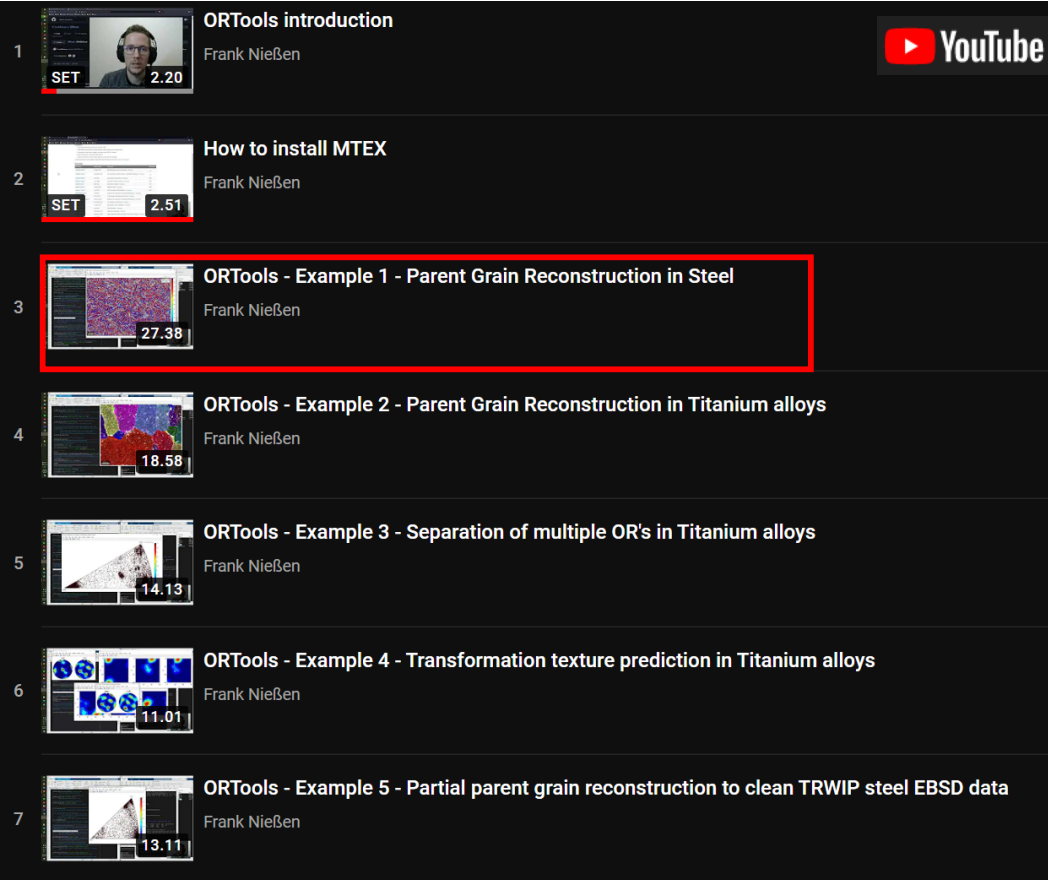


<https://mtextoolbox.github.io/>

ORTools

- Add-on to MTEX focusing on phase transitions
- A function library for OR discovery, OR analysis and the plotting of publication-ready figures of martensitic transformations in MTEX
- The library contains
 - Several automated and preformatted plotting functions
 - Interactive exploration of several OR's in one EBSD map
 - Transformation texture prediction
 - Useful auxiliary functions
 - More to follow soon ...

<https://github.com/frankNiessen/ORTools>



A YouTube playlist titled 'ORTools introduction' by Frank Nießen. The playlist contains seven videos:

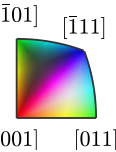
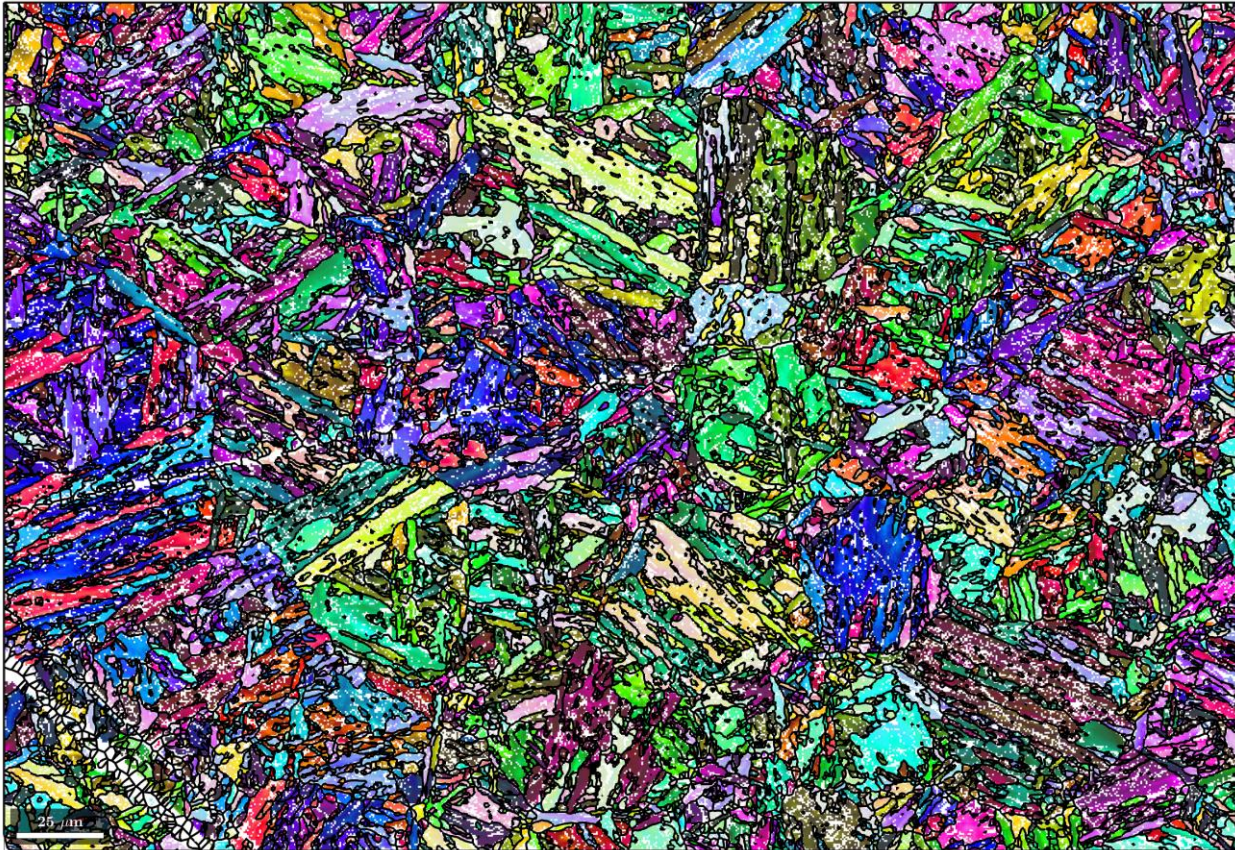
1. ORTools introduction (2:20)
2. How to install MTEX (2:51)
3. ORTools - Example 1 - Parent Grain Reconstruction in Steel (27:38)
4. ORTools - Example 2 - Parent Grain Reconstruction in Titanium alloys (18:58)
5. ORTools - Example 3 - Separation of multiple OR's in Titanium alloys (14:13)
6. ORTools - Example 4 - Transformation texture prediction in Titanium alloys (11:01)
7. ORTools - Example 5 - Partial parent grain reconstruction to clean TRWIP steel EBSD data (13:11)

The third video, 'ORTools - Example 1 - Parent Grain Reconstruction in Steel', is highlighted with a red border.

*Dr. Azdiar Gazder, University of Wollongong

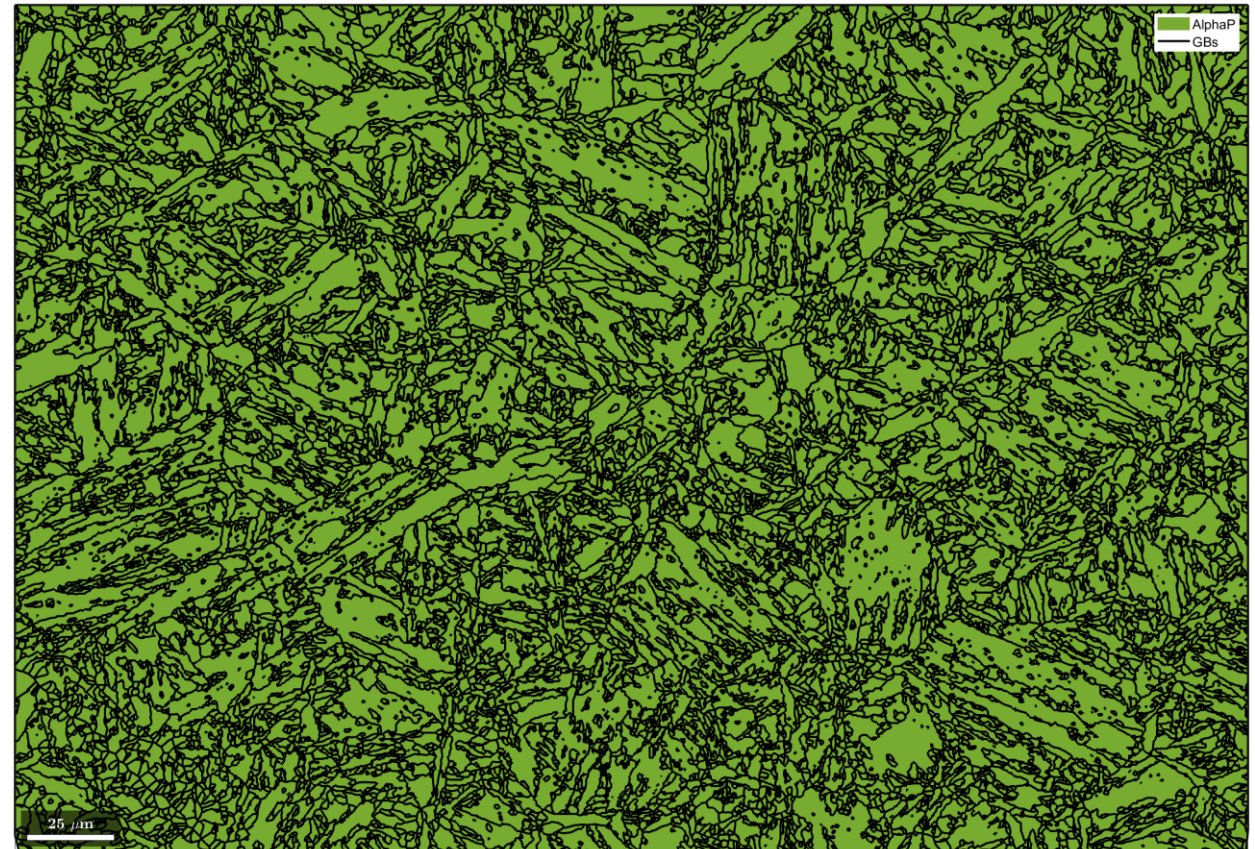
Example 1 – Lath martensite $\gamma \rightarrow \alpha'$

- We want to transfer the EBSD lath martensite microstructure on the left to an austenite microstructure on the right



Example 1 – Initial microstructure

- We have only martensite



Example 1 – Initial microstructure

- The prior austenite grain boundaries are not obvious everywhere
- We have 29% unindexed points



Example 1 – OR determination

Define and refine orientation relationship

```
job = parentGrainReconstructor (ebstd, grains) ;
job.p2c = orientation.KurdjumovSachs;
job.calcParent2Child; %*
```

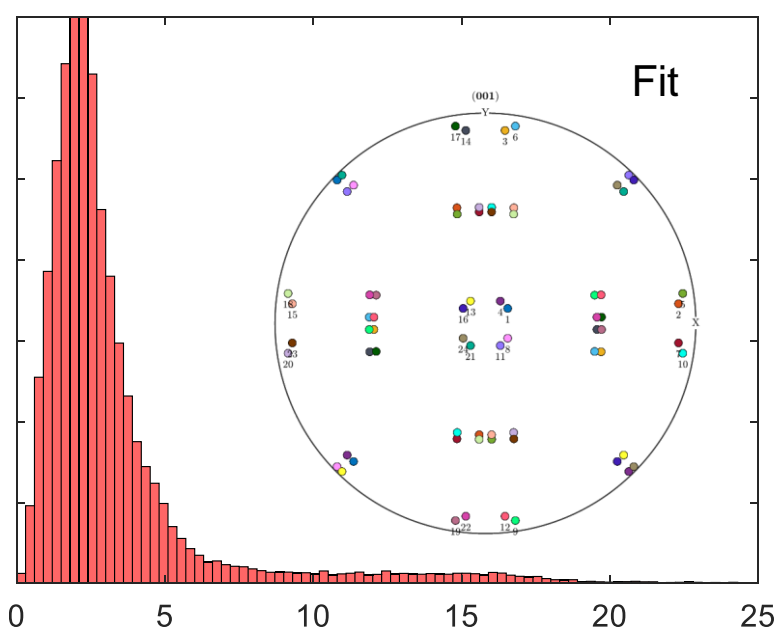
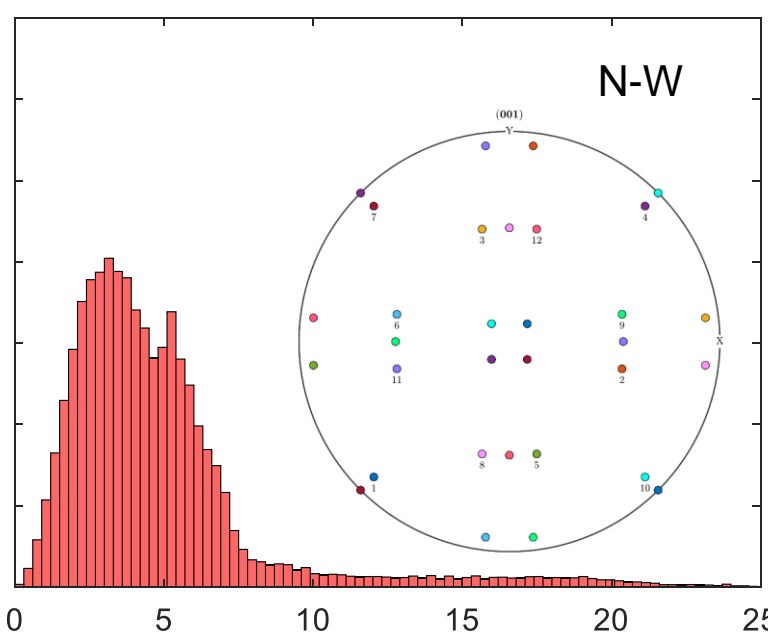
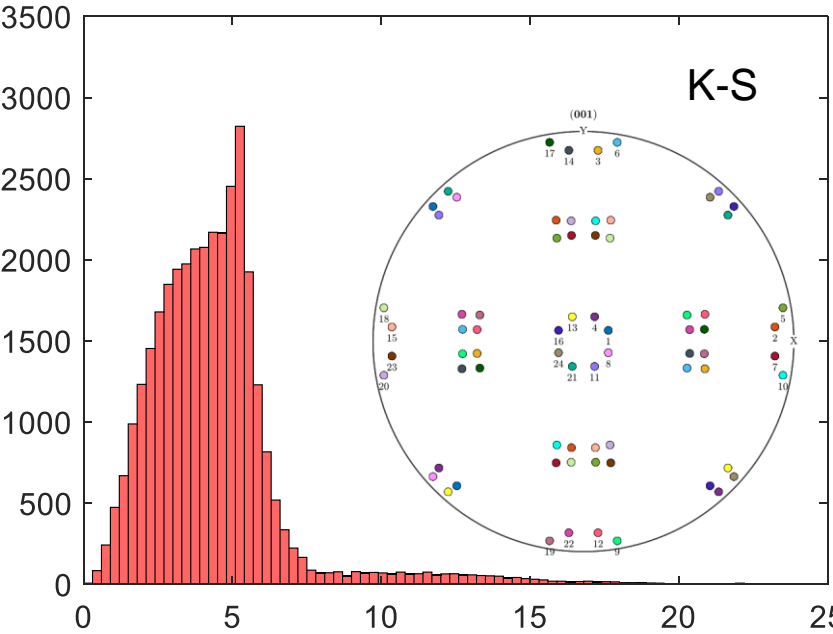
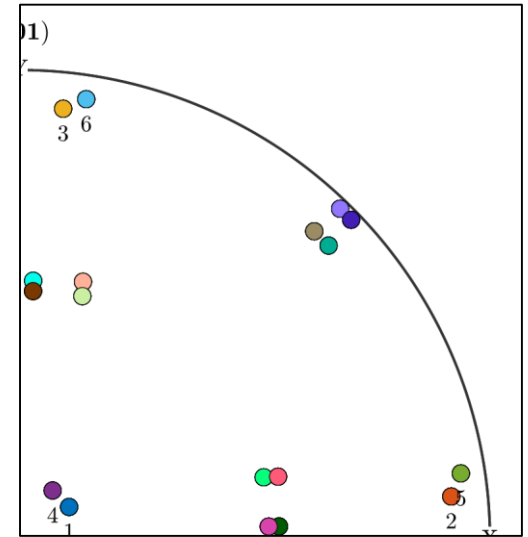
optimizing parent to child orientation relationship
 (335.8° ,10.5° ,65.8°) 3.7
 (345.4° ,10.3° ,60.1°) 2.7
 (356.4° ,9.6° ,50°) 2.7
 ...

Automatic adaption of Morito convention

```
job.variantMap  

=[1 3 5 21 23 19 11 7 9 16 14 18  

  24 22 20 4 2 6 13 15 17 8 12 10]
```



disorientation angle

*[T. Nyyssönen, M. Isakov, P. Peura, V.T. Kuokkala, Metall. Mater. Trans. A 47 (2016) 2587–2590.]

Example 1 – OR inspection

job.parent2ChildInfo

$$\begin{aligned} \text{K-S: } & \{111\}_\gamma \parallel \{110\}_{\alpha'} \quad \langle 1\bar{1}0 \rangle_\gamma \langle 1\bar{1}1 \rangle_{\alpha'} \\ \text{N-W: } & \{111\}_\gamma \parallel \{110\}_{\alpha'} \quad \langle 0\bar{1}1 \rangle_\gamma \langle 001 \rangle_{\alpha'} \end{aligned}$$

-> OR info:

- OR misorientation angle = 44.9863°

-> Parallel planes

- Closest parent plane = (1,-1,0)

- Closest child plane = (1,0,0)

- Ang. dev. from parallel plane relationship from OR = 2.4033°

-> Parallel directions

- Closest parent direction = [1,1,1]

- Closest child direction = [0,1,1]

- Ang. dev. from parallel directions relationship from OR = 0.61727°

-> OR misorientation rotation axes

- Parent rot. axis = [-0.0532,0.0363,0.2655]

- child rot. axis = [-0.0679,0.0463,0.3391]

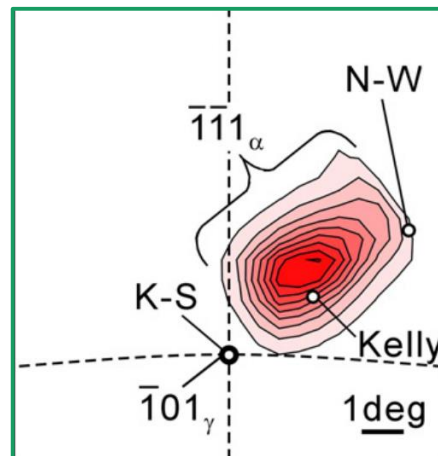
-> Angle & rot. axes of unique variants

- 1: 0.00° / [0.3489,-0.0000,-0.0000]

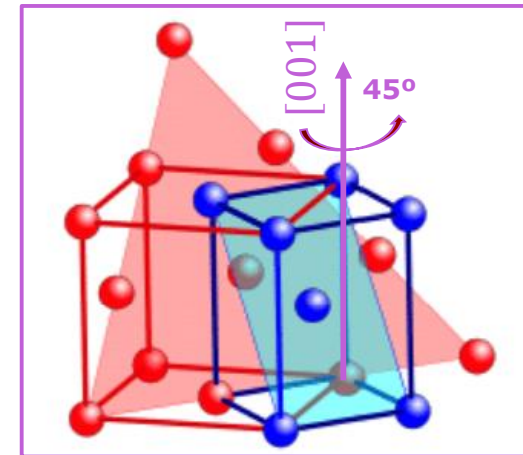
- 2: 60.01° / [-0.1690,0.1747,0.2503]

...

Parallel directions



[G. Miyamoto, N. Iwata, N. Takayama, T. Furuhashi, Acta Mater. 58 (2010) 6393–6403]



[G. Nolze, Zeitschrift Für Met. 95 (2004) 744–755.]

*[T. Nyyssönen, M. Isakov, P. Peura, V.T. Kuokkala, Metall. Mater. Trans. A 47 (2016) 2587–2590.]

Example 1 – OR inspection

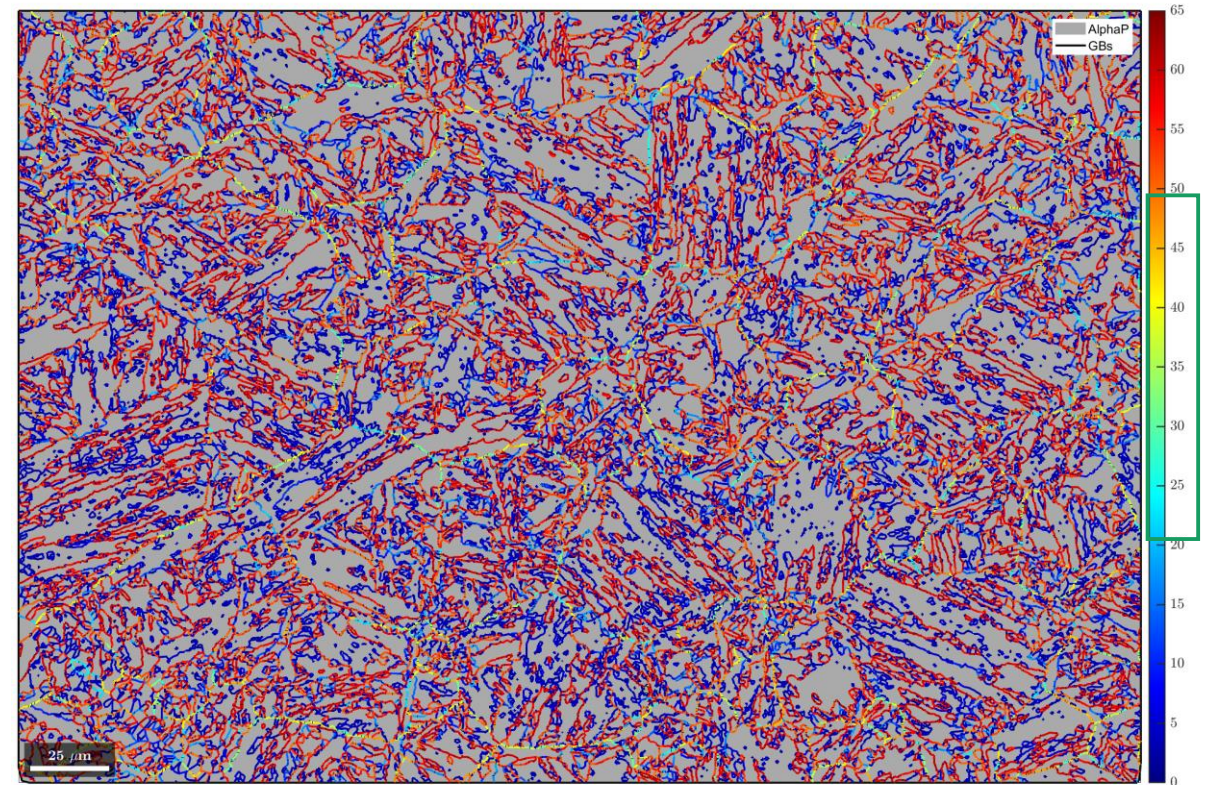
```
job.parent2ChildInfo
```

...

-> Angle & rot. axes of unique variants

- | | |
|--|---|
| - 1: 0.00° / [0.3489,-0.0000,-0.0000] | - 13: 20.69° / [-0.0746,-0.0000,0.3408] |
| - 2: 60.01° / [-0.1690,0.1747,0.2503] | - 14: 50.62° / [-0.2312,0.0918,0.2447] |
| - 3: 60.01° / [-0.1747,0.1690,0.2503] | - 15: 56.21° / [-0.0631,0.2302,0.2545] |
| - 4: 20.38° / [-0.0332,-0.0000,0.3473] | - 16: 4.81° / [-0.1940,-0.0000,0.2900] |
| - 5: 55.17° / [-0.2350,0.0818,0.2445] | - 17: 60.27° / [-0.1910,0.1810,0.2292] |
| - 6: 51.55° / [-0.0705,0.2303,0.2524] | - 18: 55.29° / [-0.2467,0.0015,0.2467] |
| - 7: 14.62° / [-0.1878,0.0223,0.2932] | - 19: 14.62° / [-0.0223,0.1878,0.2932] |
| - 8: 49.96° / [-0.2082,0.1871,0.2082] | - 20: 55.17° / [-0.0818,0.2350,0.2445] |
| - 9: 51.55° / [-0.2303,0.0705,0.2524] | - 21: 49.45° / [-0.2240,0.1463,0.2240] |
| - 10: 17.45° / [-0.2426,0.0633,0.2426] | - 22: 11.56° / [-0.2448,0.0437,0.2448] |
| - 11: 49.63° / [-0.1977,0.1668,0.2342] | - 23: 56.21° / [-0.2302,0.0631,0.2545] |
| - 12: 50.62° / [-0.0918,0.2312,0.2447] | - 24: 49.63° / [-0.1668,0.1977,0.2342] |

No OR-misorientations between 21 and 49°

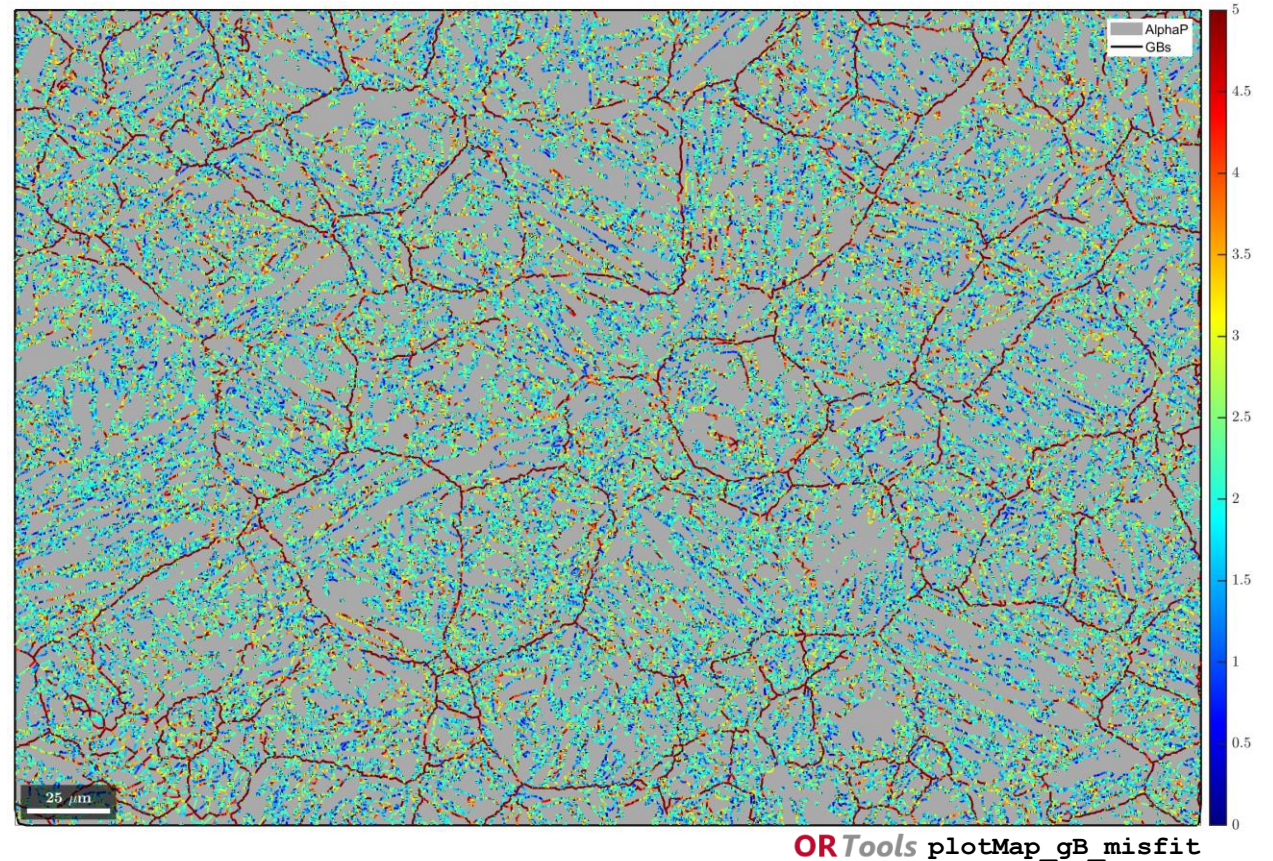
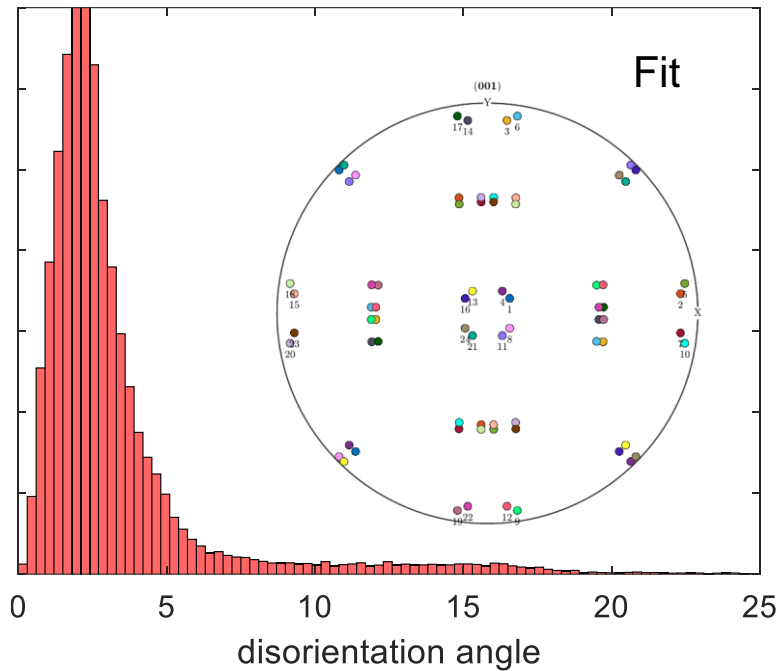


ORTools plotMap_gB_c2c

Example 1 – Disorientation

Plot disorientation on boundaries

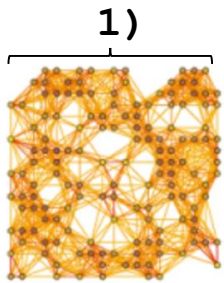
```
[fit,c2cPairs] = job.calcGBFit;
[gB,pairId] = job.grains.boundary.selectByGrainId(c2cPairs);
plot(gB, fit);
```



Example 1 – Graph of OR probability

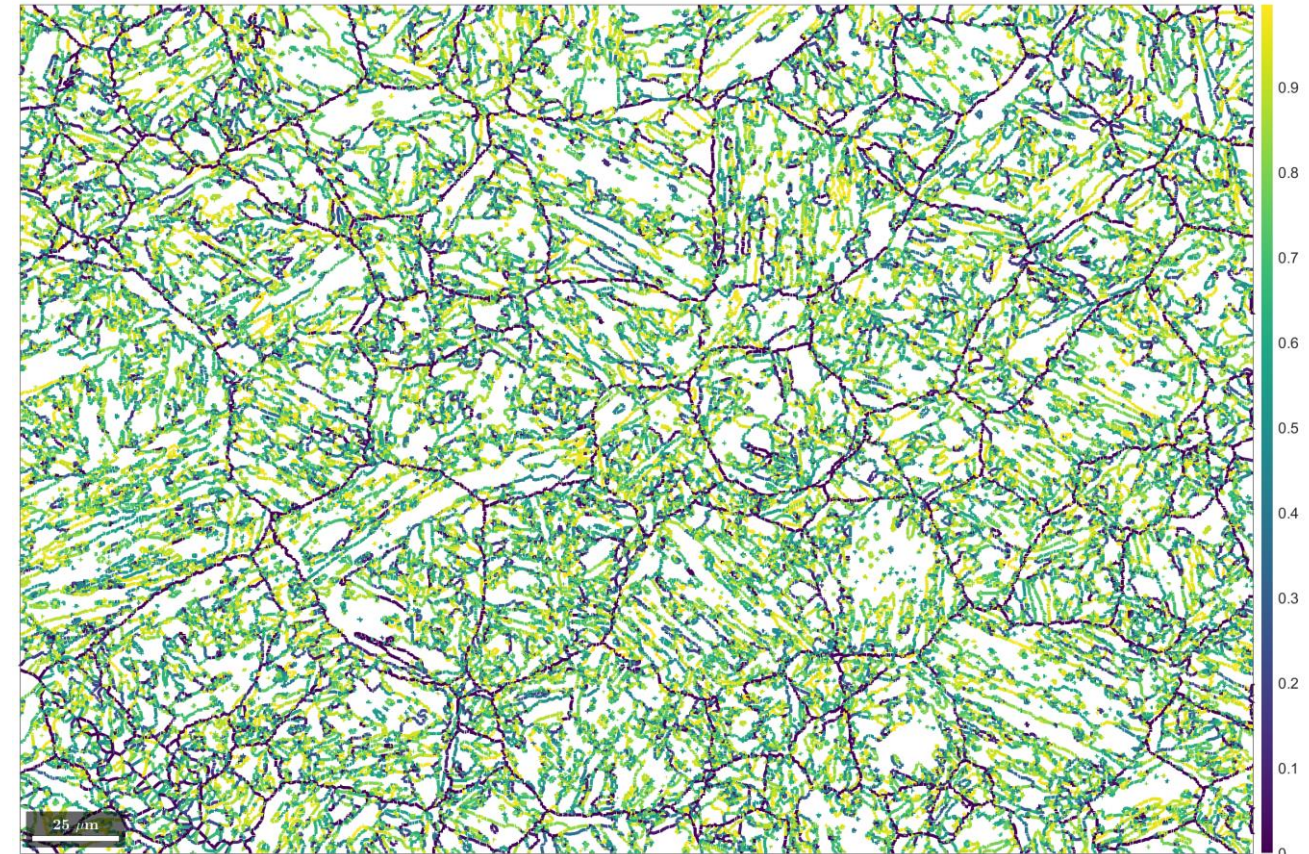
Reconstruct parent orientations from graph

```
% compute parent orientations
1) job.calcGraph
```



Markov Clustering - <https://micans.org/mcl/>

1) Probability that a boundary is an OR boundary (and the associated grains share the same parent grain)



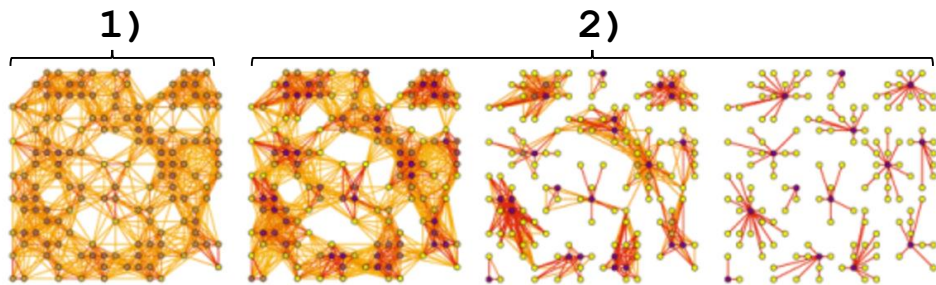
ORTools plotMap_gB_prob

Example 1 – Clustering of the graph

Reconstruct parent orientations from graph

```
% compute parent orientations
1) job.calcGraph
2) job.clusterGraph %*
```

... graph: 19470 grains in 2615 clusters + 2127 single grain clusters



Markov Clustering - <https://micans.org/mcl/>

2) Clusters formed by Markovian clustering algorithm

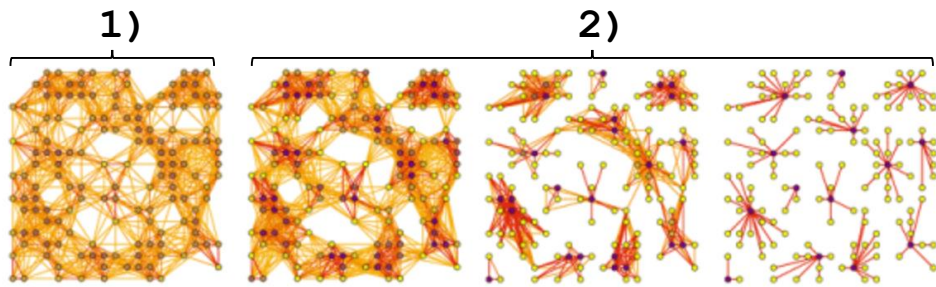


*[T. Nyyssönen, P. Peura, V.T. Kuokkala, Metall. Mater. Trans. A 49 (2018) 6426–6441.]

Example 1 – Parent reconstruction from clusters

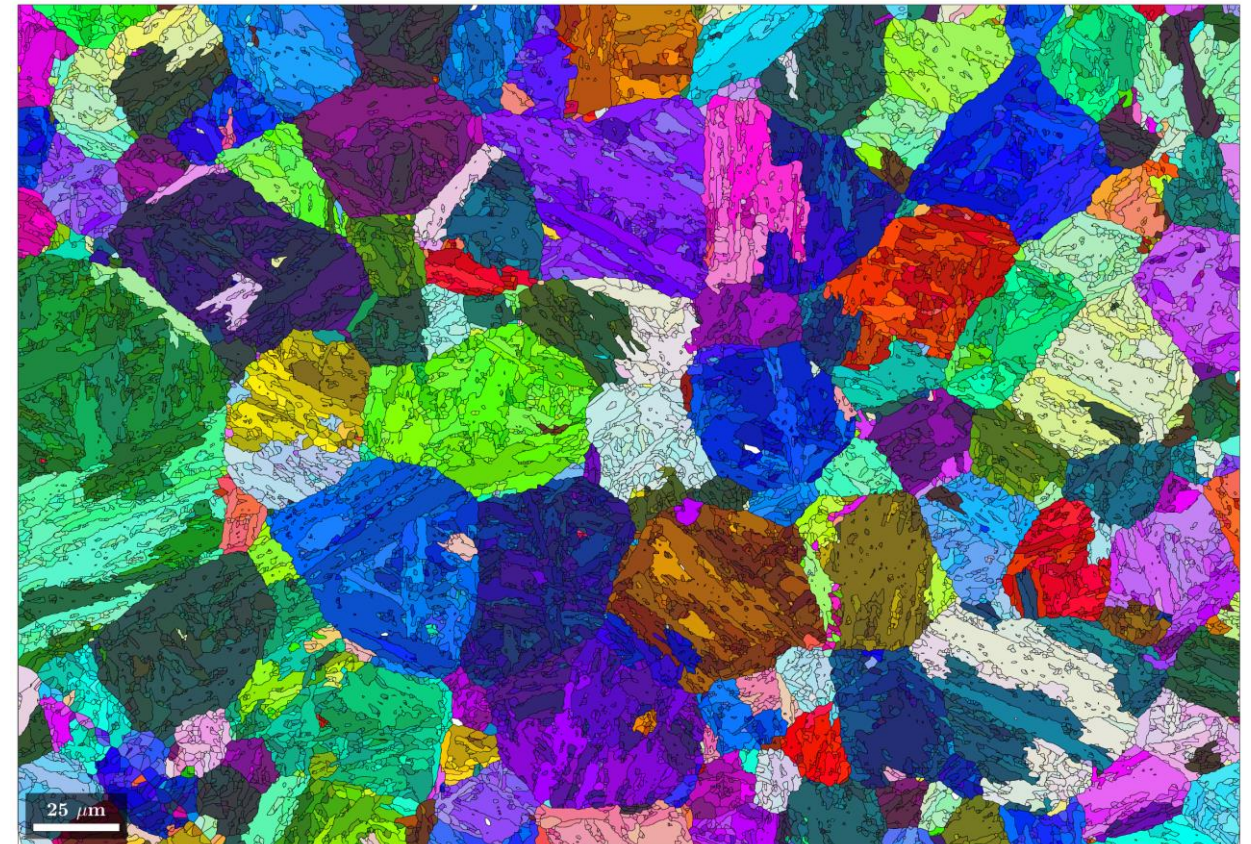
Reconstruct parent orientations from graph

```
% compute parent orientations
1) job.calcGraph
2) job.clusterGraph %*
3) job.calcParentFromGraph
```



Markov Clustering - <https://micans.org/mcl/>

3) Reconstructed clusters



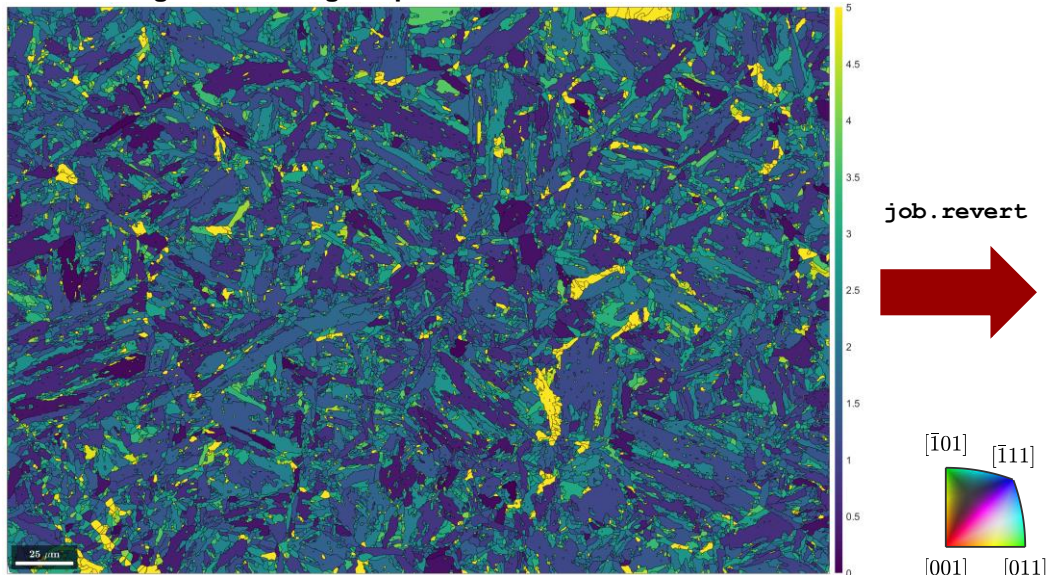
*[T. Nyyssönen, P. Peura, V.T. Kuokkala, Metall. Mater. Trans. A 49 (2018) 6426–6441.]

Example 1 – Reverting bad reconstructions

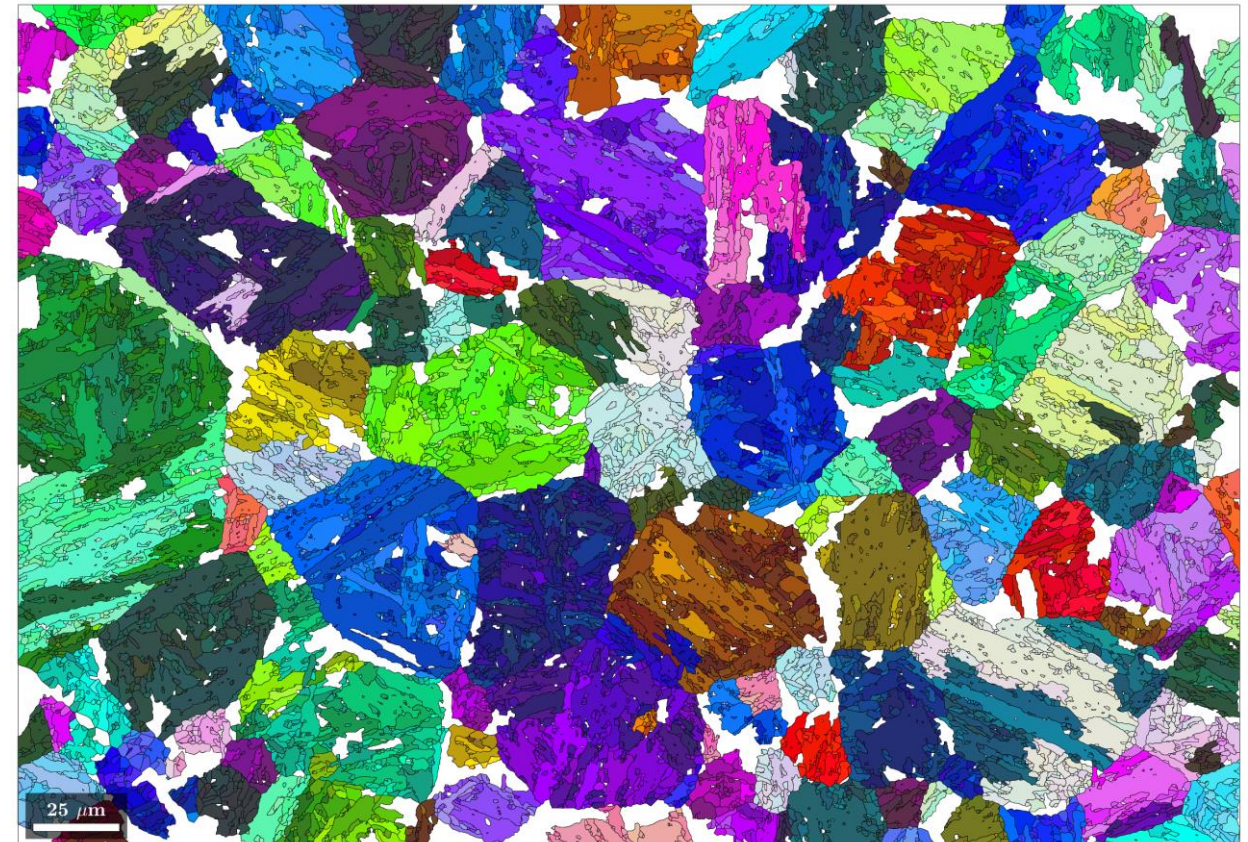
Reconstruct parent orientations from graph

```
% plot fit and revert bad fits
plot(job.grains, job.grains.fit./degree)
job.revert(job.grains.fit > 5)
job.revert(job.grains.clusterSize < 15)
job.calcParentFromGraph
```

Fit of child grains to assigned parent orientation via the OR



3) Reconstructed clusters after removal of bad fits





Example 1 – Growth-based reconstruction

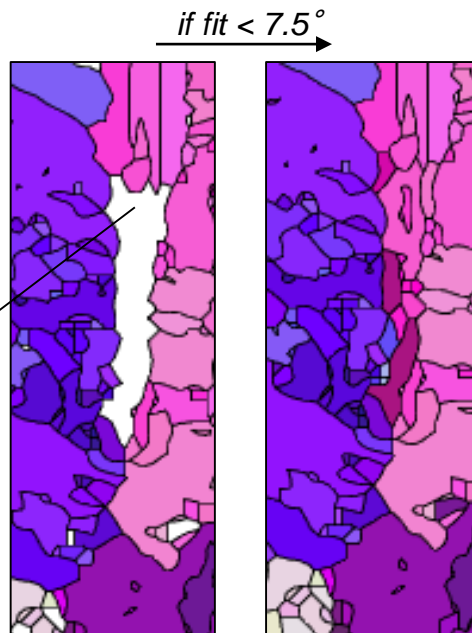
Fill in empty regions with *calcParentFromVote*

```
for k = 1:3 % do this three times
    job.calcGBVotes('noC2C');
    job.calcParentFromVote('minFit', 7.5*degree)
end
```

job.calcGBVotes('noC2C')

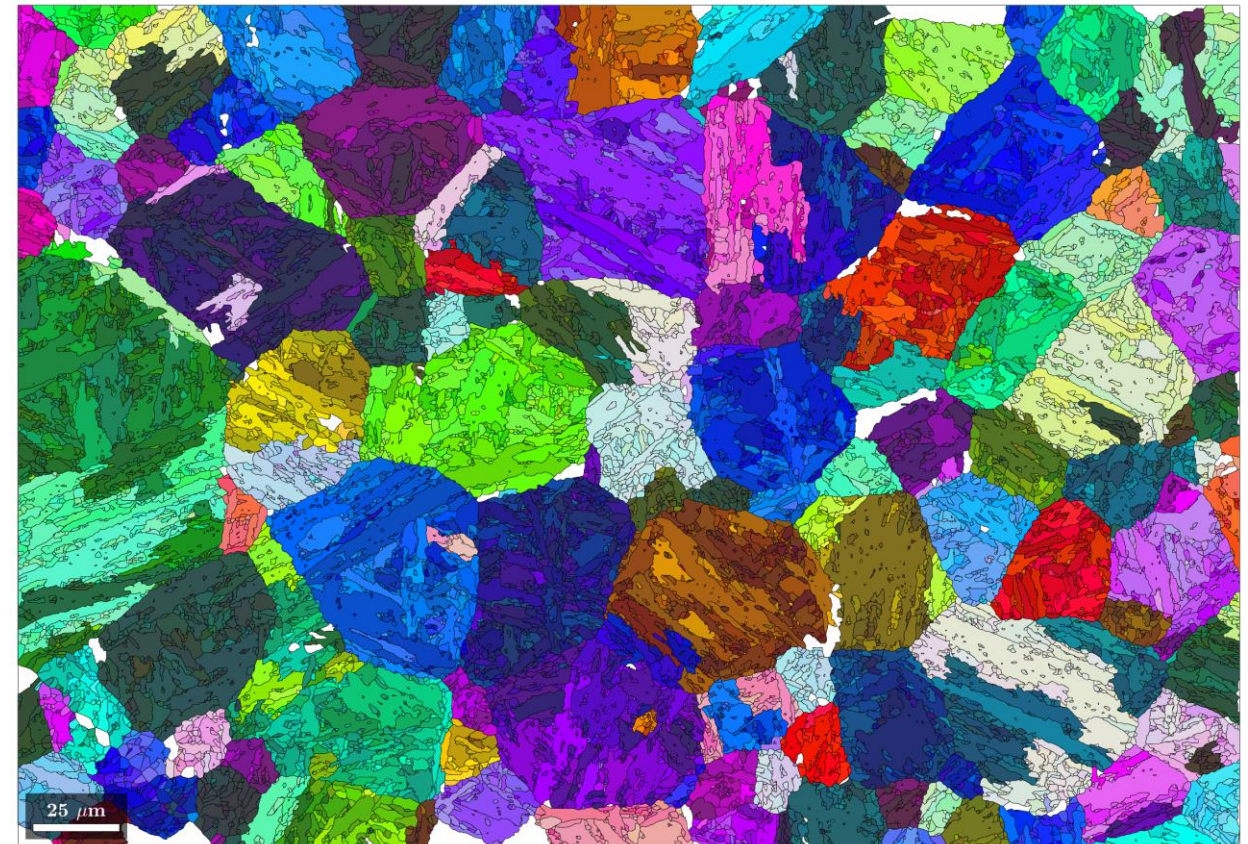
Calculate all parent orientations from a child orientation by applying the OR and find the best fitting parent orientation with the neighboring parent grains

Parent neighbors	Fit
	1.8°
	5.7°



- By iteration ($k = 1:3$), the parent phase grows into the child phase
- This can also be applied in cases when a lot of parent phase is retained

Reconstructed parent grains after application of growth based algorithm



Example 1 – Cleaning of the microstructure

Clean up the map

```
% merge grains with similar orientation and absorb inclusions  
job.mergeSimilar('threshold',7.5*degree);  
job.mergeInclusions('maxSize',50);
```



Comment on computational performance

MTEX is written in MATLAB

- MATLAB is often said to be slow – not generally true!
 - Fortran and C++ are of course faster, but MATLAB uses external libraries
 - This is not true when code is properly vectorized (**MATrixLABoratory**)

Lath martensite example: 486 x 707 EBSD map with 21,600 grains

- Reconstruction of lath martensite in 2 stages: 1 min 16 s (conventional office laptop)
 - Graph construction, clustering, parent calculation, filtering, voting algorithm
- Entire script: 3 min 35 s (<https://github.com/frankNiessen/ORTools#example-1>)
 - Grain reconstruction, OR refinement, Parent grain reconstruction (2 stages), Microstructure cleaning, Variant and packet indexing, Plotting of 13 publication ready figures

Example 1 – Reconstructing the parent EBSD data

Reconstruct the parent EBSD data

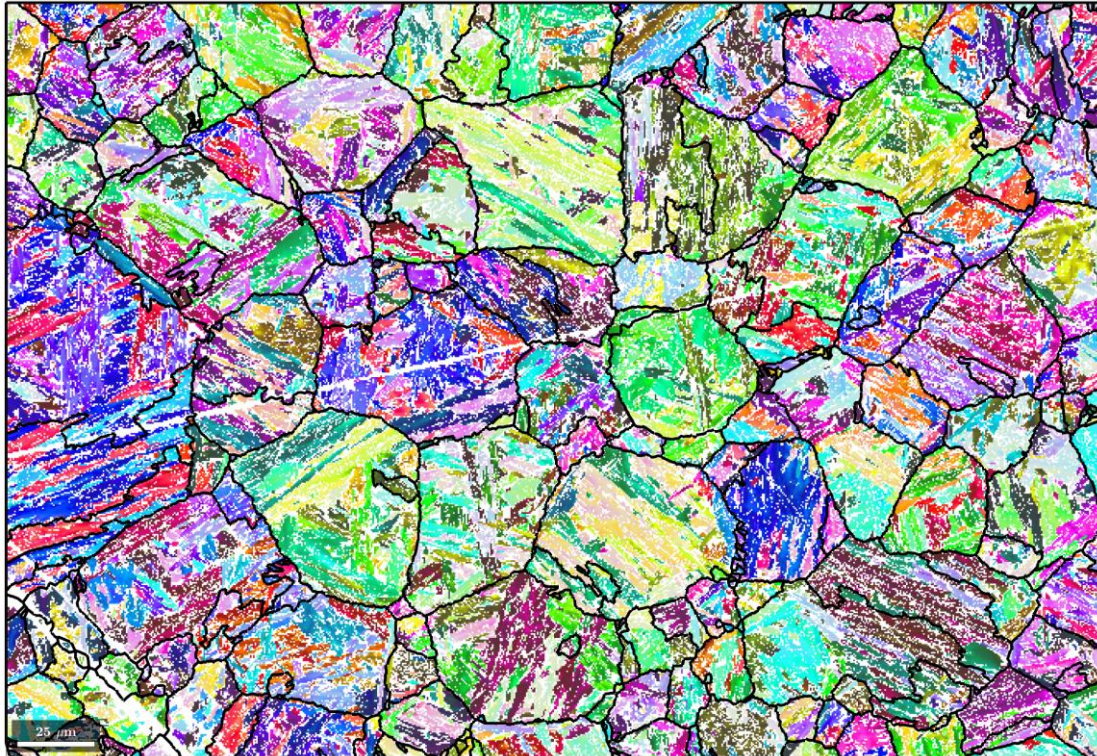
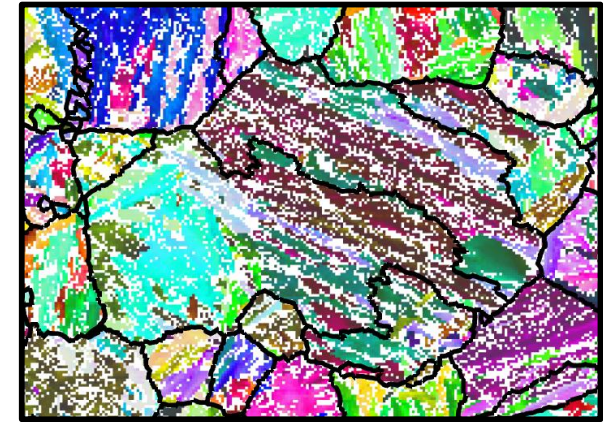
```
% we can now obtain the reconstructed EBSD data
```

```
job.calcParentEBSD
```

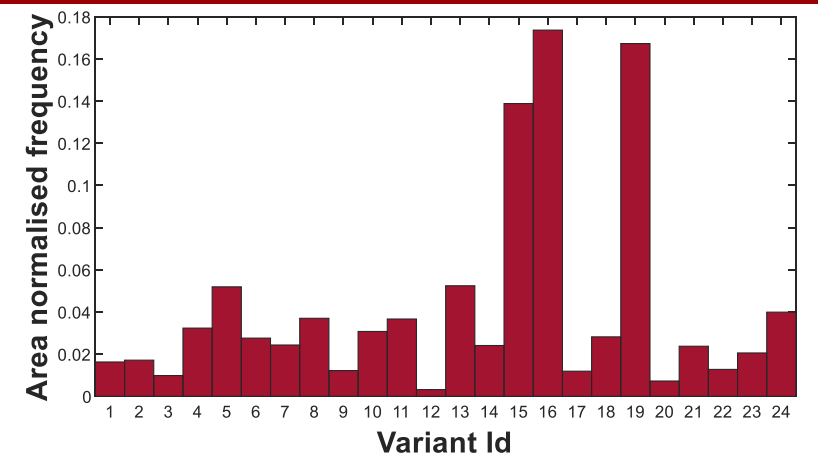


Example 1 – Evaluation

- Generally the agreement looks quite well
- Some grains look unnatural

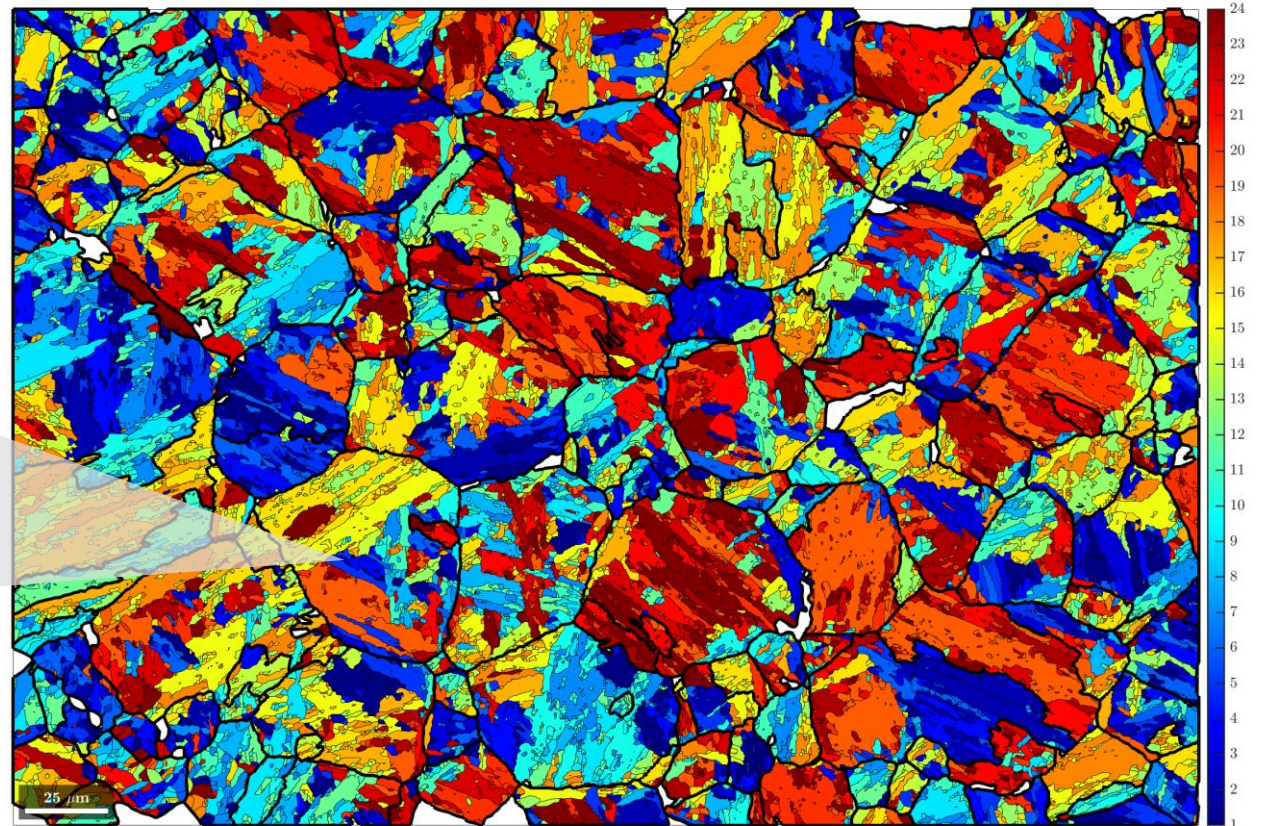
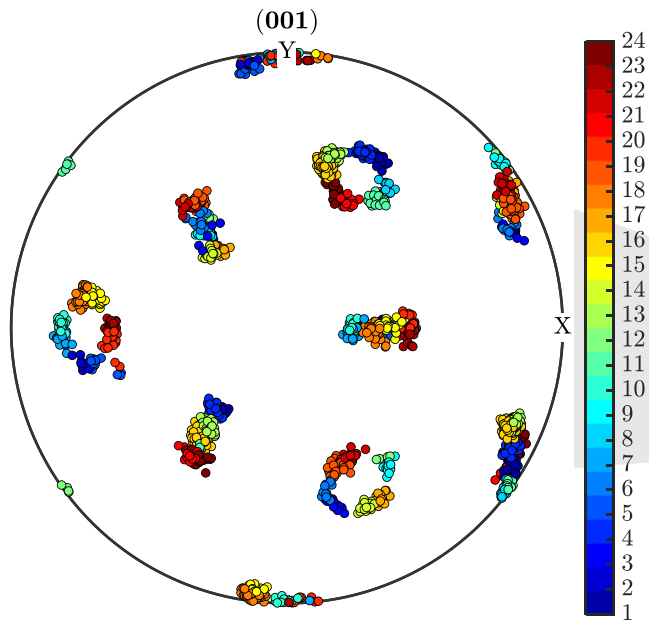


Example 1 – Variant analysis



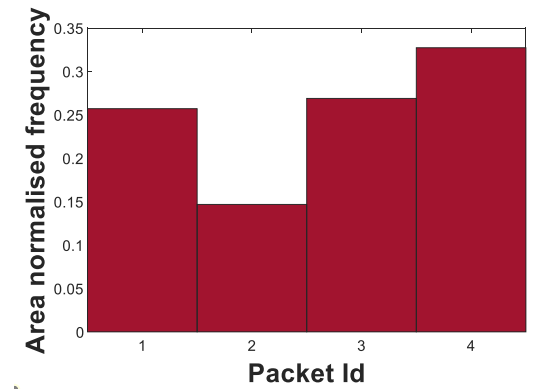
Calculating orientation variant and packet Id's

```
job.calcVariants;
plotMap_variants(job, 'linewidth', 3); %ORTools
```



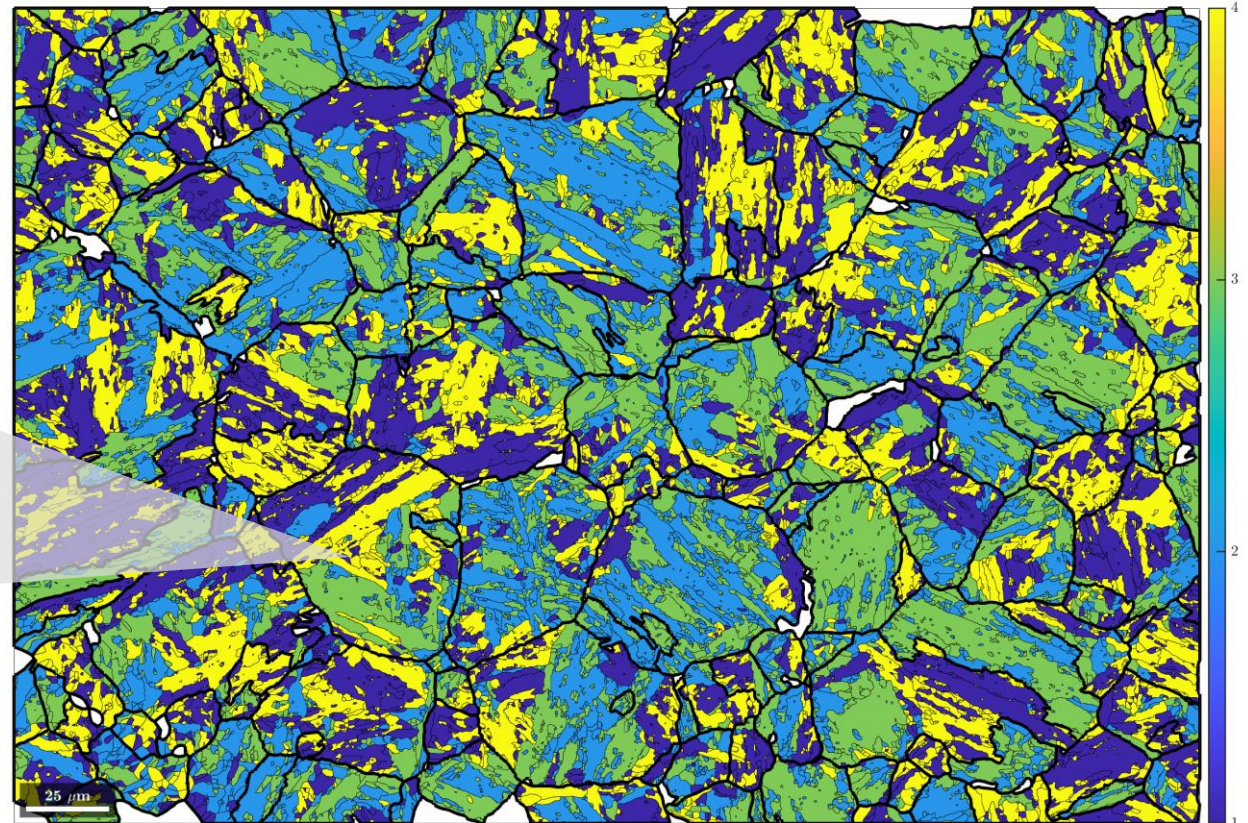
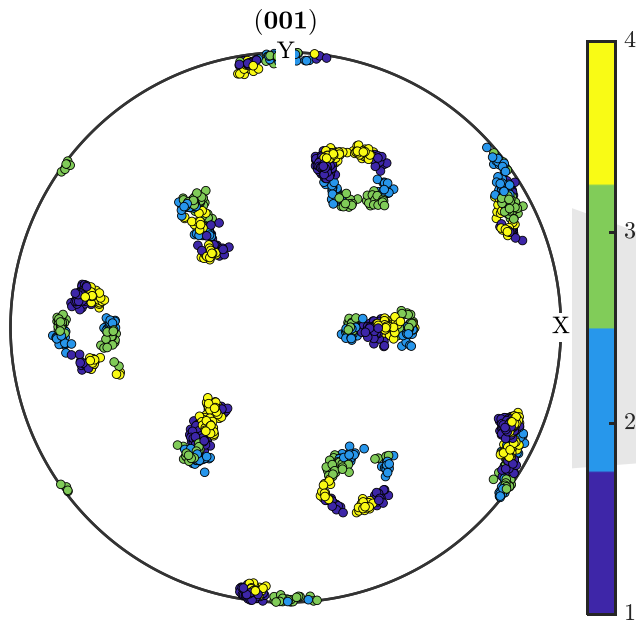
ORTools plotMap_variants

Example 1 – Variant analysis



Calculating orientation variant and packet Id's

```
job.calcVariants;
plotMap_packets(job, 'linewidth', 3); %ORTools
```

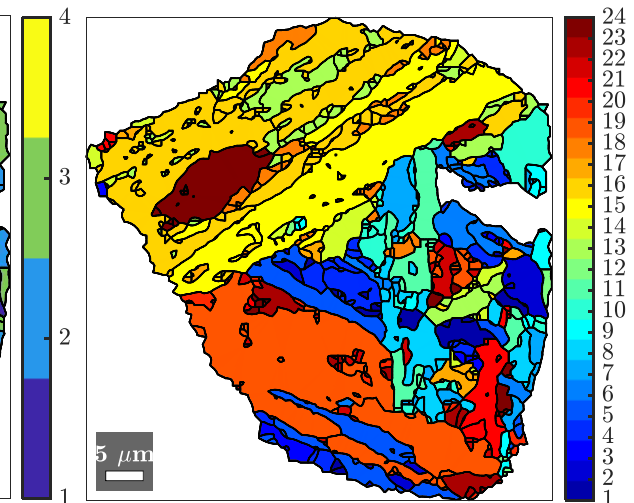
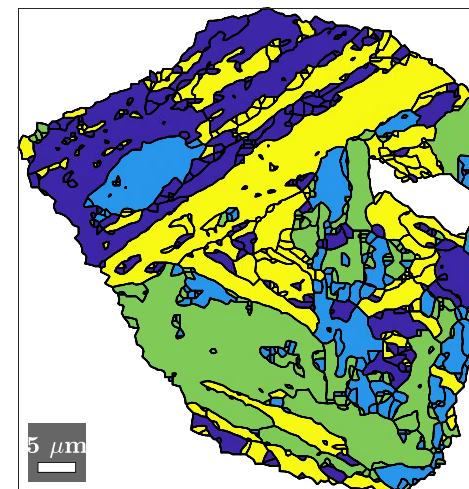
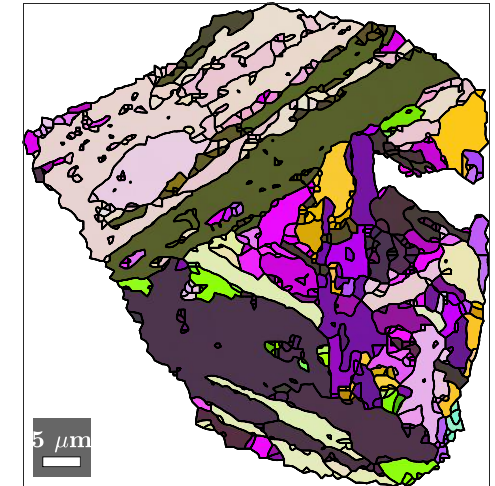
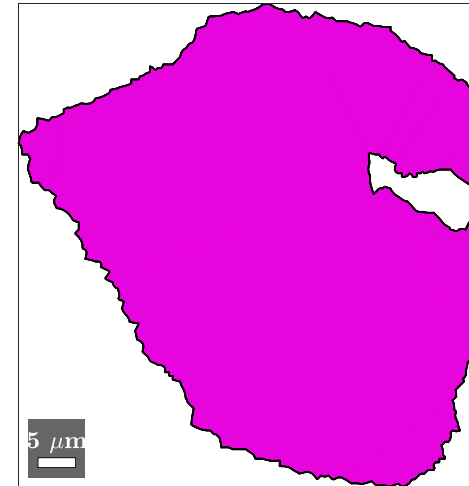
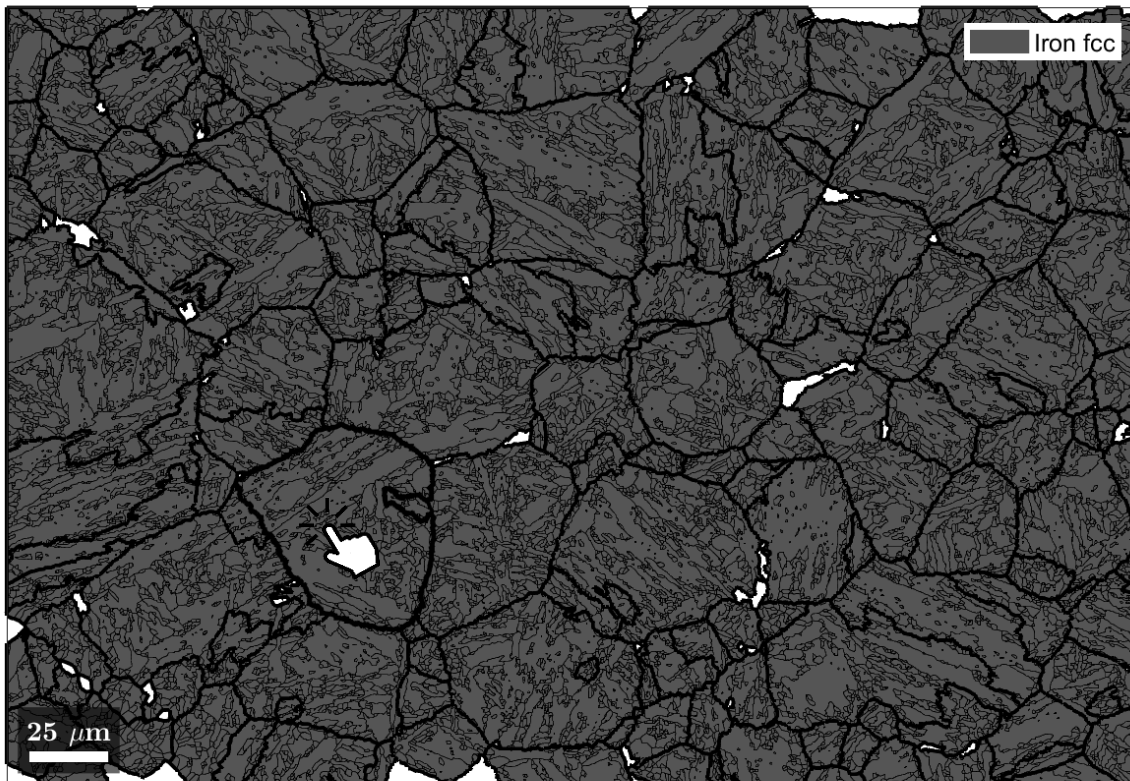


ORTools plotMap_packets

Example 1 – Interactive variant analysis

ORTools grainClick

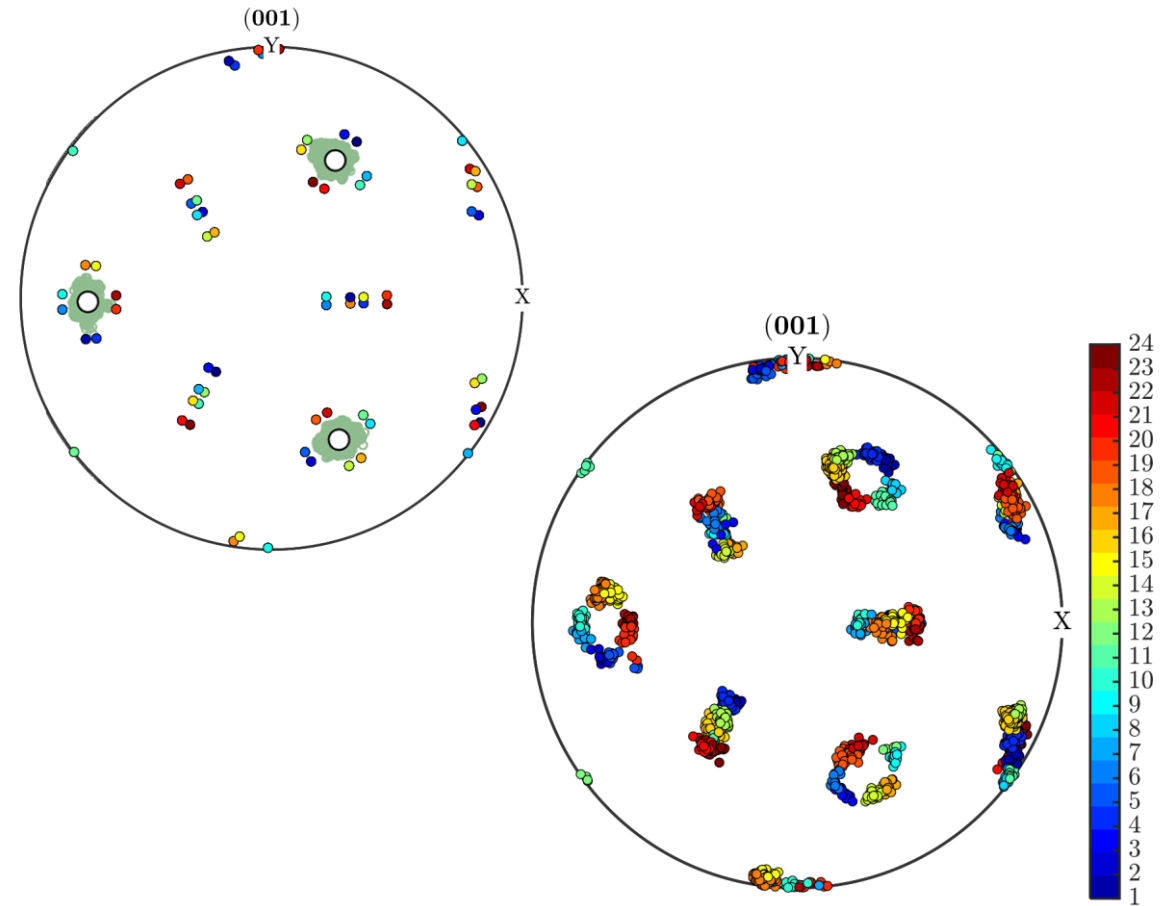
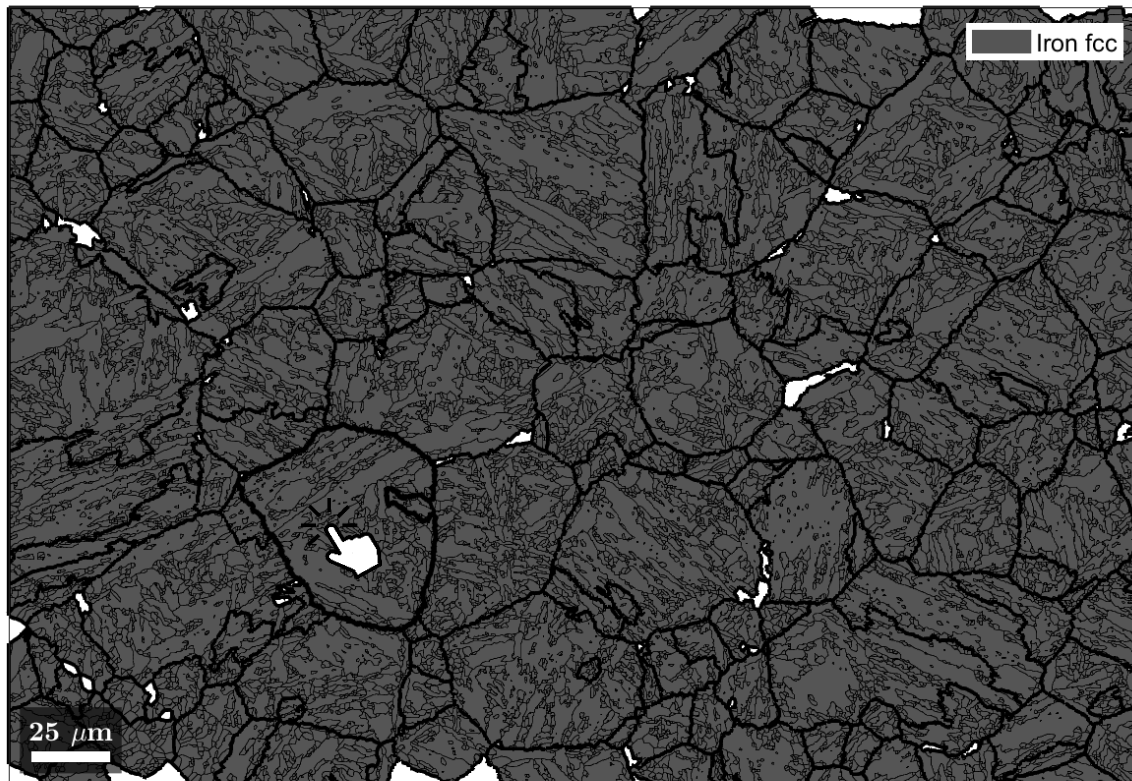
- Interactive grain specific variant analysis



Example 1 – Interactive variant analysis

ORTools grainClick

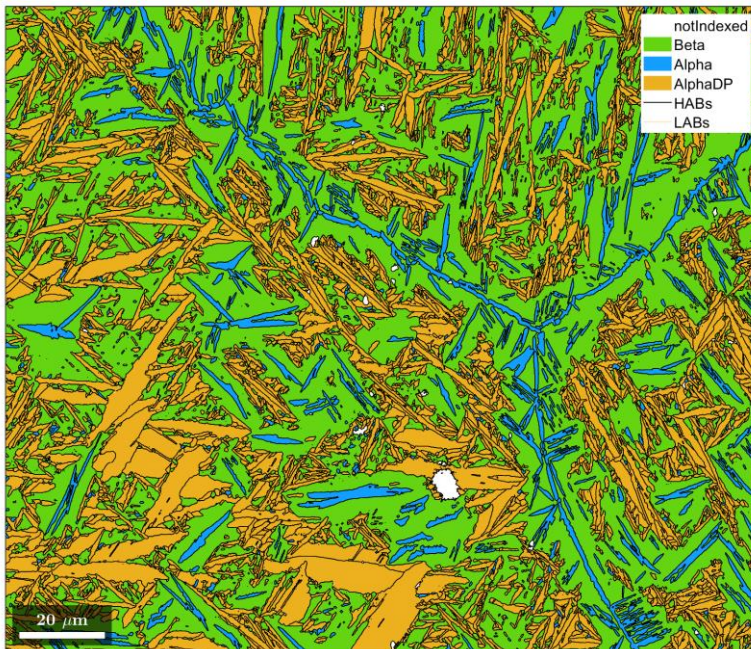
- Interactive grain specific variant analysis



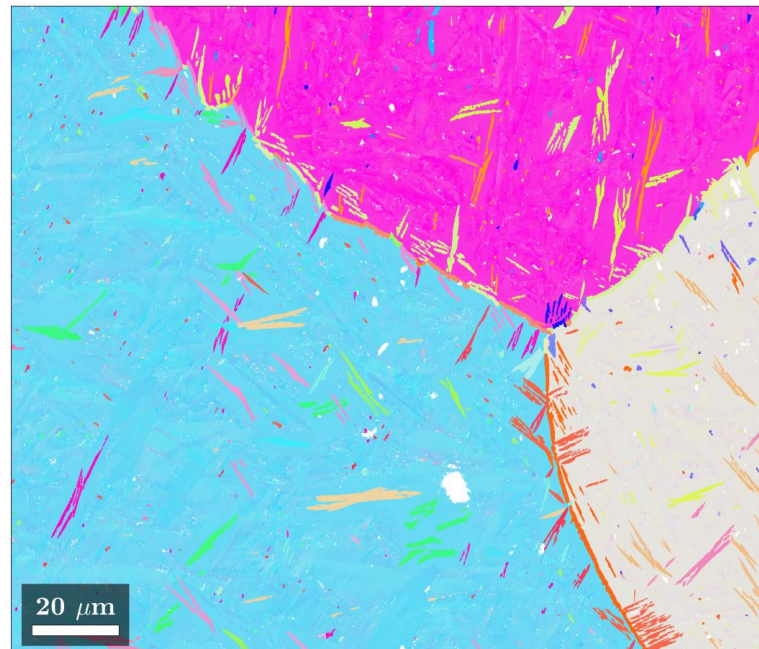
Example 2 – Annealed Ti-10V-2Al-3Fe – $\beta \rightarrow \alpha''$

- α (hcp) + β (bcc) titanium alloy with athermal α'' (orthorhombic) martensite
- The implemented parent grain reconstruction
 - generally **works with any** combination of **parent** and **child** phase
 - **ignores** presence of **additional phases** (here α)

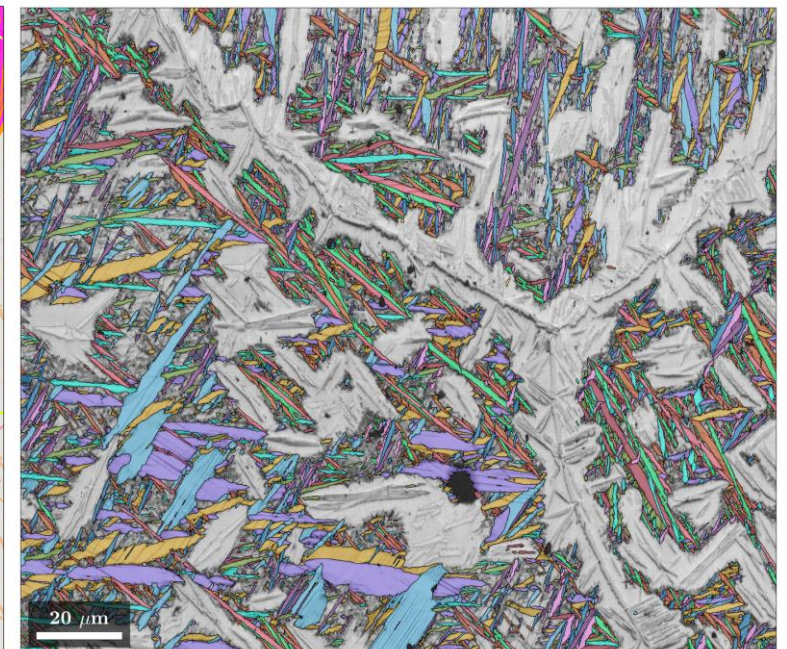
Initial phase map: $\alpha + \beta + \alpha''$



Reconstructed: $\alpha + \beta$

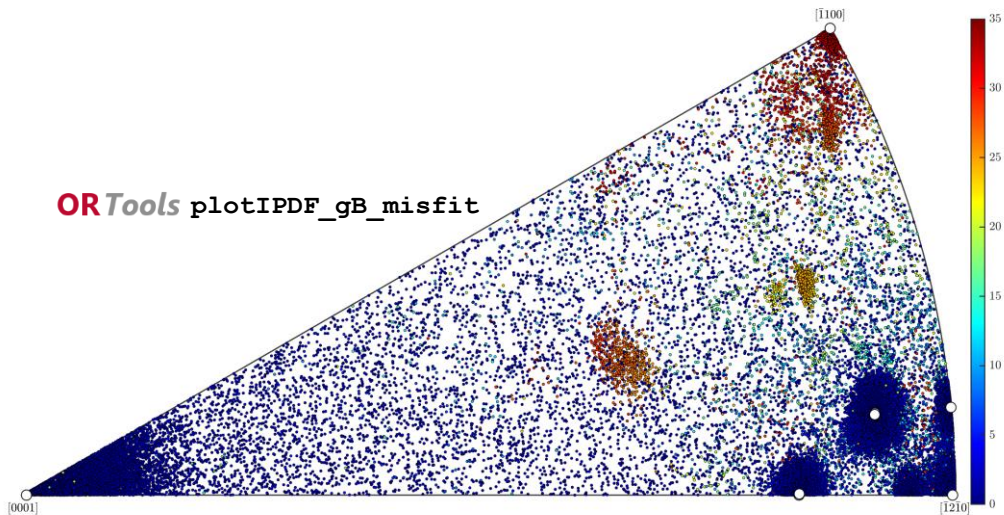


Reconstructed: Individually colored α'' variants

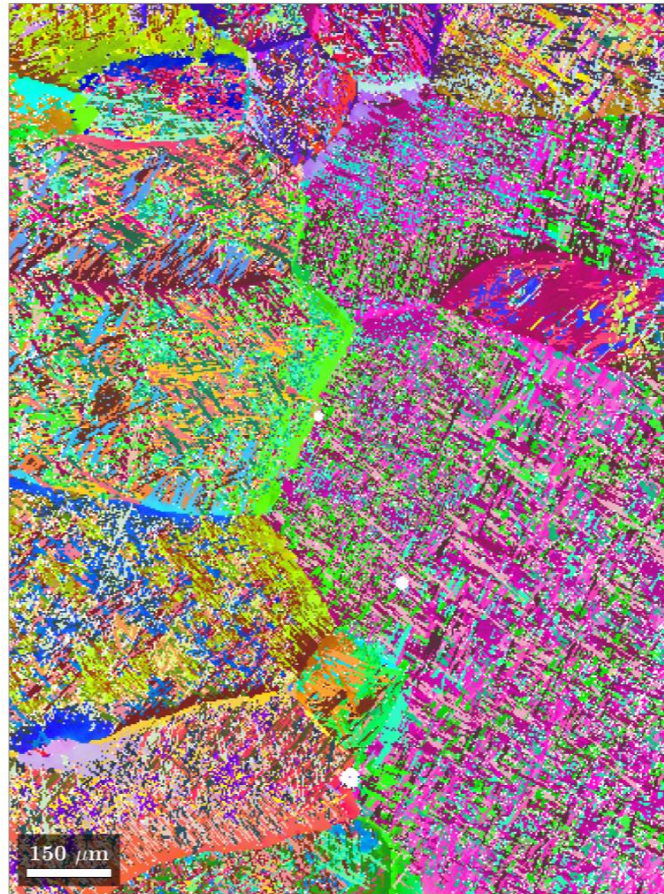


Example 3 – $\beta \rightarrow \alpha$ phase transition in Ti-alloys

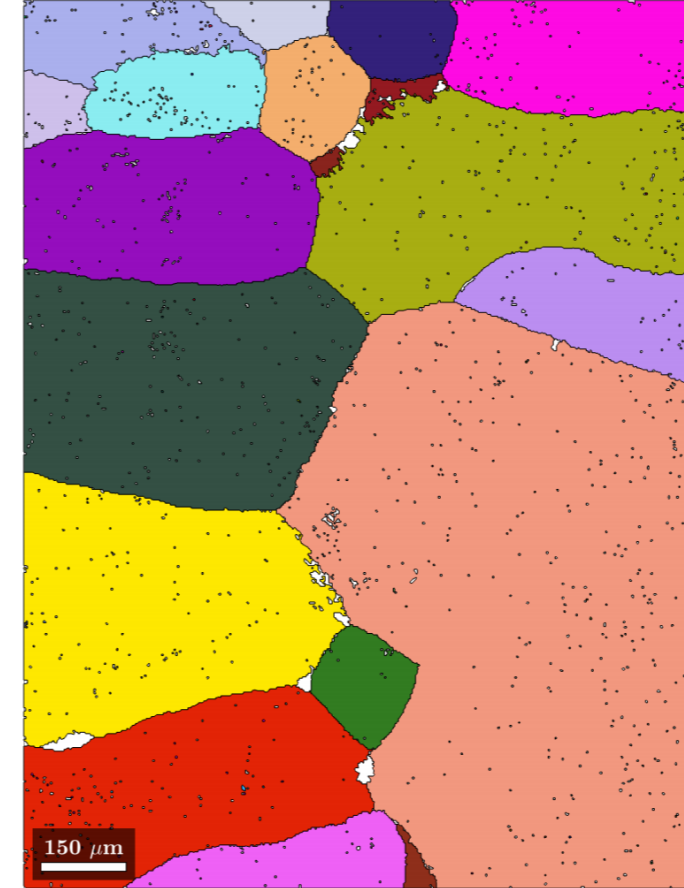
- Low symmetry of α leads to distinct misorientation axes between α grains
- Therefore triple points between α grains can be used for reconstruction of β
- Remaining regions are reconstructed by the growth-based algorithm



Initial phase map: 99.7% α

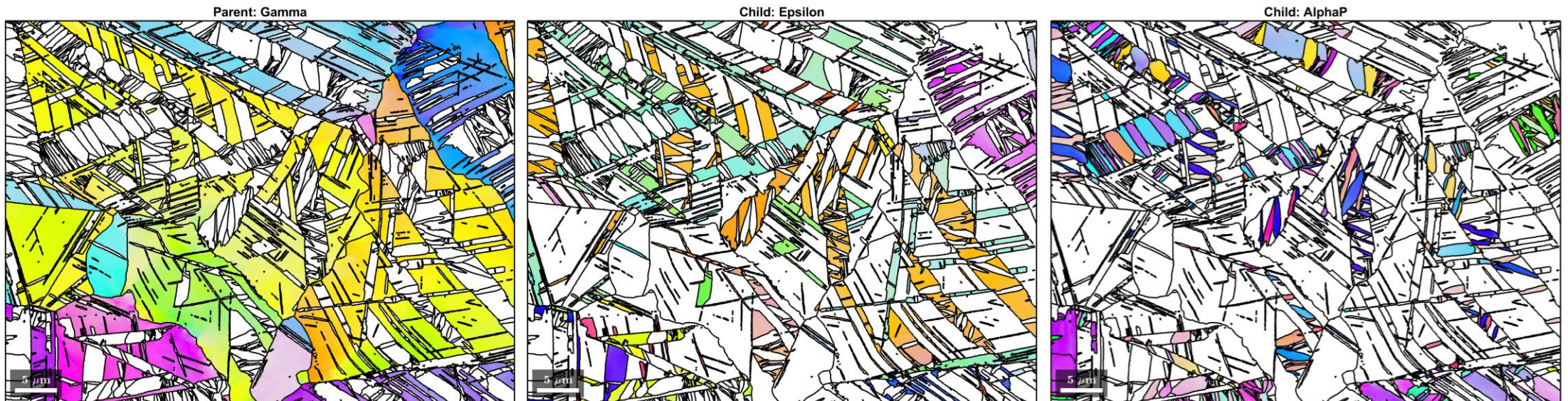


Reconstructed β phase



Example 4 – Cold rolled High-Mn steel – $\gamma \rightarrow \varepsilon \rightarrow \alpha'$

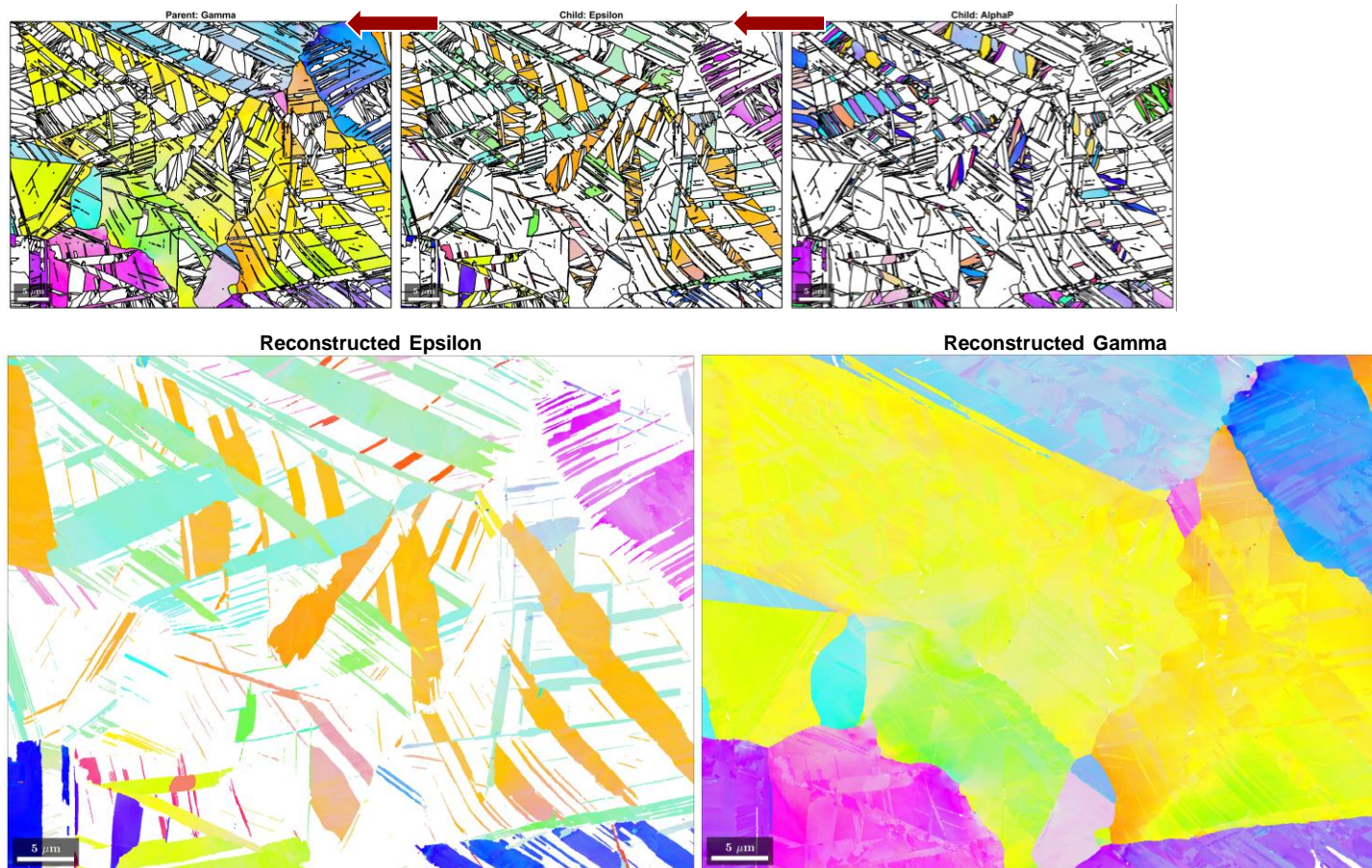
- In 10% cold rolled high Mn steel two martensitic transformations are observed
 - $\gamma \rightarrow \varepsilon$ (fcc \rightarrow hcp): Partially athermal and partially strain-induced
 - $\varepsilon \rightarrow \alpha'$ (hcp \rightarrow bct): Entirely strain-induced



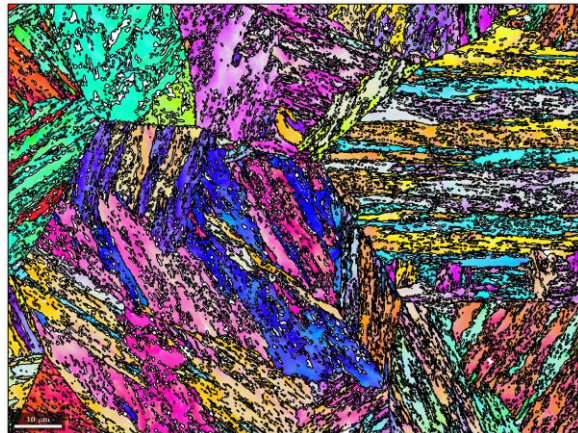
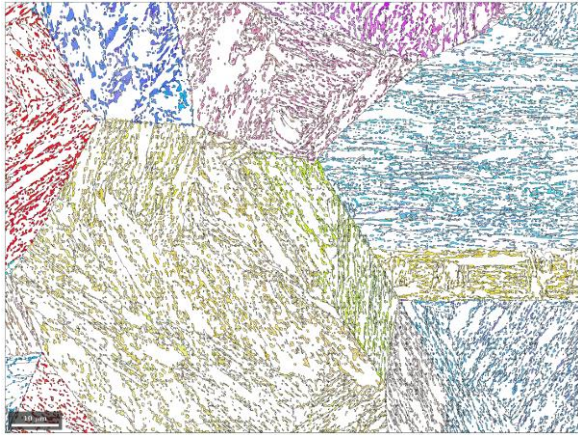
With courtesy to [S. Pramanik, A.A. Gazder, A.A. Saleh, E. V. Pereloma, Mater. Sci. Eng. A 731 (2018) 506–519.]

Example 4 – Cold rolled High-Mn steel – $\gamma \rightarrow \varepsilon \rightarrow \alpha'$

- The implemented algorithm can deal with multiple orders of transformation



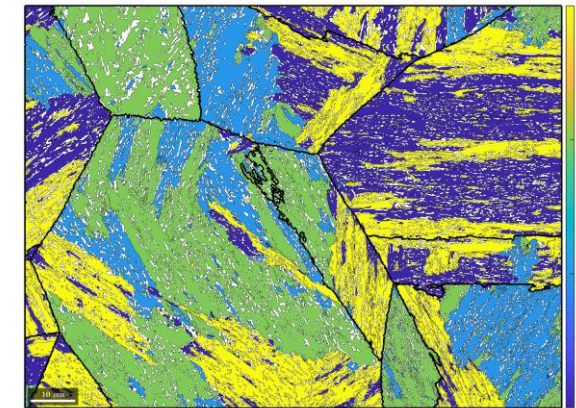
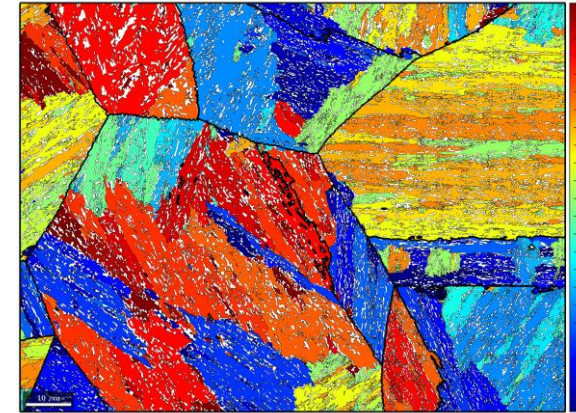
Example 5 – Intercritically annealed martensitic stainless steel



- Growth-based reconstruction from reverted austenite



```
for k = 1:3 % do this three times
    job.calcGBVotes('noC2C');
    job.calcParentFromVote('minFit', 7.5*degree)
end
```



Conclusion

- A versatile framework for analysing phase transitions has been implemented into MTEX 5.6
- The implementation is implemented in a class with modular methods and properties
- Depending on the microstructure, different reconstruction strategies can be chosen
- The reconstruction is automated as much as possible, while maintaining full user control over the reconstruction process
- ORTools is an add-on to MTEX with some additional capabilities for analysis of martensitic microstructures

To-do

- Improving reconstruction accuracy for martensitic microstructures in steel
 - Considering local grain boundary misorientation
 - Experimenting with different clustering algorithms
- Implementation of transformation texture prediction
 - Available work and variant selection analysis
- What do you think needs to be done?