# Lecture 3 - Rotations and Symmetries 

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## Active vs. Passive Rotations

## Active Rotations

An active rotation is a mapping of the Euclidean space onto itself that keeps at least one point and all distances invariant and preserves orientation.

## Passive Rotations

A passive rotation is a coordinate transform from one right handed, orthonormal coordinate system into another one.

## Improper Rotations

An improper rotation is a rotation that switches between left handed and right handed coordinate systems.
the matrix $\mathbf{M}=\left(\begin{array}{ccc}\mid & \mid & \mid \\ \overrightarrow{v_{1}} & \overrightarrow{v_{2}} & \overrightarrow{v_{3}} \\ \mid & \mid & \mid\end{array}\right)$
rotates
$\overrightarrow{e_{1}} \mapsto \overrightarrow{v_{1}}, \overrightarrow{e_{2}} \mapsto \overrightarrow{v_{2}}, \overrightarrow{e_{3}} \mapsto \overrightarrow{v_{3}}$

M transforms coordinates from the reference frame ( $\left.\vec{v}_{1}, \overrightarrow{v_{2}}, \overrightarrow{v_{3}}\right)$ into the reference frame $\left(\vec{e}_{1}, \overrightarrow{e_{2}}, \overrightarrow{e_{3}}\right)$
$\tilde{\mathbf{M}}=-\mathbf{M}$ additionally mirrors all vectors at the origin

## Defining Active Rotations

by axis angle
$\mathrm{v}=\mathrm{vector} 3 \mathbf{d}(1,0,0)$
$\mathrm{w}=90 *$ degree
$r=$ rotation . byAxisAngle ( $v, w)$
$r=$ rotation
Bunge Euler angles in degree
$\begin{array}{rrrr}\text { phi1 } & \text { Phi } & \text { phi2 } & \text { Inv. } \\ 0 & 90 & 0 & 0\end{array}$

## Defining Active Rotations

by axis angle

```
v = vector3d(1,0,0)
w = 90*degree
r = rotation.byAxisAngle(v,w)
```

by Euler angles

```
r = rotation.byEuler(0,0, pi/2)
```

$r=\underline{\text { rotation }}$

```
Bunge Euler angles in degree
phi1
```


## Defining Active Rotations

by axis angle

| v | $=$ vector $3 \mathbf{d}(1,0,0)$ |
| ---: | :--- |
| w | $=90 *$ degree |
| r | $=$ rotation. by AxisAngle $(\mathrm{v}, \mathrm{w})$ |

by Euler angles

```
|r= rotation.byEuler(0,0,pi/2)
```

by a rotation matrix
$\mathrm{M}=\left[\begin{array}{ccccccccc}1 & 0 & 0 ; & 0 & 0 & -1 ; & 0 & 1 & 0\end{array}\right]$
$r=$ rotation.byMatrix (M)
$r=\underline{\text { rotation }}$

```
Bunge Euler angles in degree
phi1
```


## Defining Active Rotations

by axis angle

$$
\begin{aligned}
\mathrm{v} & =\text { vector } 3 \mathbf{d}(1,0,0) \\
\mathrm{w} & =90 * \text { degree } \\
\mathrm{r} & =\text { rotation } . \text { by AxisAngle }(\mathrm{v}, \mathrm{w})
\end{aligned}
$$

by Euler angles

$$
\|=\text { rotation byEuler }(0,0, \mathrm{pi} / 2)
$$

by a rotation matrix

$$
\mathrm{M}=\left[\begin{array}{ccccccccc}
1 & 0 & 0 ; & 0 & 0 & -1 ; & 0 & 1 & 0
\end{array}\right]
$$

$$
r=\text { rotation by Matrix }(M)
$$

by pairs of vectors

$$
u 1=\operatorname{vector} 3 d . Z ; v 1=u 1
$$

$$
u 2=\text { vector } 3 \mathbf{d} . X ; \quad v 2=\text { vector } 3 \mathbf{d} . Y
$$

$$
r=\text { rotation } \cdot \operatorname{map}(u 1, v 1, u 2, v 2)
$$

## Defining Active Rotations

by axis angle

$$
\begin{aligned}
\mathrm{v} & =\text { vector } 3 \mathbf{d}(1,0,0) \\
\mathrm{w} & =90 * \text { degree } \\
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\end{aligned}
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by Euler angles

$$
\| r=\text { rotation } \cdot \text { byEuler }(0,0, \mathrm{pi} / 2)
$$

by a rotation matrix

$$
\mathrm{M}=\left[\begin{array}{ccccccccc}
1 & 0 & 0 ; & 0 & 0 & -1 ; & 0 & 1 & 0
\end{array}\right]
$$

$$
r=\text { rotation by Matrix }(M)
$$

by pairs of vectors

$$
\begin{aligned}
u 1 & =\text { vector } 3 \mathbf{d} \cdot Z ; v 1=u 1 \\
u 2 & =\text { vector } 3 \mathbf{d} \cdot X ; v 2=\text { vector } 3 d . Y \\
r & =\text { rotation } \cdot \operatorname{map}(u 1, v 1, u 2, v 2)
\end{aligned}
$$

$$
\| r=\text { rotation } \cdot \mathbf{f i t}(u, v)
$$

## Defining Active Rotations

by axis angle

$$
\begin{aligned}
\mathrm{v} & =\text { vector } 3 \mathbf{d}(1,0,0) \\
\mathrm{w} & =90 * \text { degree } \\
\mathrm{r} & =\text { rotation } . \text { byAxisAngle }(\mathrm{v}, \mathrm{w})
\end{aligned}
$$

by Euler angles
import rotations

```
r = rotation.load('file.txt' ,...
    'ColumnNames
    {'phi1','Phi','phi2'})
r = rotation 
```

$r=$ rotation $\operatorname{byEuler}(0,0, \mathrm{pi} / 2)$
by a rotation matrix
$\mathrm{M}=\left[\begin{array}{ccccccccc}1 & 0 & 0 ; & 0 & 0 & -1 ; & 0 & 1 & 0\end{array}\right]$
$r=$ rotation.byMatrix (M)
by pairs of vectors

```
u1 = vector3d.Z; v1 = u1
    u2 = vector3d.X; v2 = vector3d.Y
    r = rotation map(u1,v1,u2,v2)
```

    \(r=\) rotation.fit \((u, v)\)
    
## Defining Active Rotations

by axis angle

$$
\begin{aligned}
\mathrm{v} & =\text { vector } 3 \mathbf{d}(1,0,0) \\
\mathrm{w} & =90 * \text { degree } \\
\mathrm{r} & =\text { rotation } . \text { by AxisAngle }(\mathrm{v}, \mathrm{w})
\end{aligned}
$$

by Euler angles
$\| r=$ rotation $\cdot$ byEuler ( $0,0, \mathrm{pi} / 2$ )
by a rotation matrix
import rotations
$r=$ rotation.load('file.txt',. . 'ColumnNames ' , ... \{'phi1', 'Phi', 'phi2'\})
random rotations
$r=$ rotation rand (100)
$\mathrm{r}=\frac{\text { rotation }}{\text { size: } 1 \times 100}$
$\mathrm{M}=\left[\begin{array}{ccccccccc}1 & 0 & 0 ; & 0 & 0 & -1 ; & 0 & 1 & 0\end{array}\right]$
$r=$ rotation.byMatrix (M)
by pairs of vectors

```
u1 = vector3d.Z; v1 = u1
    u2 = vector3d.X; v2 = vector3d.Y
    r = rotation.map(u1,v1,u2,v2)
```

        \(r=\) rotation.fit \((u, v)\)
    
## Defining Active Rotations

by axis angle

$$
\begin{aligned}
\mathrm{v} & =\text { vector } 3 \mathbf{d}(1,0,0) \\
\mathrm{w} & =90 * \text { degree } \\
\mathrm{r} & =\text { rotation } . \text { by AxisAngle }(\mathrm{v}, \mathrm{w})
\end{aligned}
$$

import rotations
$r=$ rotation.load('file.txt',. . 'ColumnNames ' , ... \{'phi1', 'Phi', 'phi2'\})
random rotations
$r=$ rotation $\cdot$ rand (100)
identity and inversion

```
r = rotation.id
r = rotation.inversion
\(r=\) rotation.id
\(r=\) rotation.inversion
```

$r=\underline{\text { rotation }}$
$r=\underline{\text { rotation }}$

by a rotation matrix
$\left.\begin{array}{rl}M & =\left[\begin{array}{llllllll}1 & 0 & 0 ; & 0 & 0 & -1 ; & 0 & 1\end{array}\right. \\ r & =\text { rotation. by Matrix }(M)\end{array}\right]$
by pairs of vectors

$$
\begin{aligned}
u 1 & =\text { vector } 3 \mathbf{d} \cdot Z ; v 1=u 1 \\
u 2 & =\text { vector } 3 \mathbf{d} \cdot X ; v 2=\text { vector } 3 d . Y \\
r & =\text { rotation } \cdot \operatorname{map}(u 1, v 1, u 2, v 2)
\end{aligned}
$$

$r=$ rotation.fit $(u, v)$

## Defining Active Rotations

by axis angle

$$
\begin{aligned}
\mathrm{v} & =\text { vector } 3 \mathbf{d}(1,0,0) \\
\mathrm{w} & =90 * \text { degree } \\
\mathrm{r} & =\text { rotation } . \text { by AxisAngle }(\mathrm{v}, \mathrm{w})
\end{aligned}
$$

import rotations
$r=$ rotation.load('file.txt', $\ldots$ 'ColumnNames ' , ... \{'phi1', 'Phi', 'phi2'\})
random rotations
$r=$ rotation $\cdot$ rand (100)
identity and inversion

```
r = rotation.id
r = rotation.inversion
```


## a reflextion

```
r = reflection(vector3d.X)
r = rotation
```

    Bunge Euler angles in degree
    phi1 Phi phi2 Inv.
        \(\begin{array}{llll}0 & 180 & 0 & 1\end{array}\)
    by pairs of vectors

```
u1 = vector3d.Z; v1 = u1
    u2 = vector3d.X; v2 = vector3d.Y
    r = rotation.map(u1,v1,u2,v2)
```

    \(r=\) rotation.fit \((u, v)\)
    
## Euler Angles

## Definition (Euler angles)

Let $\varphi_{1}, \varphi_{2} \in[0,2 \pi]$ and $\Phi \in[0, \pi]$. Then $\varphi_{1}, \Phi, \varphi_{2}$ are called the Euler angles of the rotation

$$
\mathbf{R}\left(\varphi_{1}, \Phi, \varphi_{2}\right)=\mathbf{R}_{\vec{Z}, \varphi_{1}} \mathbf{R}_{\vec{X}, \Phi} \mathbf{R}_{\vec{Z}, \varphi_{2}}
$$

|rot $=$ rotation.byEuler ( $10 *$ degree, $20 *$ degree, $30 *$ degree $)$

- For every rotation $\mathbf{R}$ there are Euler angles $\varphi_{1}, \Phi, \varphi_{2}$ such that $\mathbf{R}=\mathbf{R}\left(\varphi_{1}, \Phi, \varphi_{2}\right)$.
- For specific rotations R the Euler angles are not unique, e.g.

$$
\mathbf{R}_{\vec{z}, \omega}=\mathbf{R}\left(\varphi_{1}, 0, \omega-\varphi_{1}\right)
$$

- Euler angles are the most common way to specify and visualize rotations in texture analysis.
- The ambiguity makes visualization with respect to Euler angles hard to interpret.


## Operations with Rotations

$$
\begin{aligned}
& \text { vector rotation } \\
& \mathrm{v}=\operatorname{rot} \cdot * \mathrm{u} \\
& \text { concatenation } \\
& \operatorname{rot}=\operatorname{rot} 1 . * \operatorname{rot} 2 \\
& \mathrm{v}=\operatorname{rot} \cdot * \mathrm{u} \\
& \mathrm{v}=\operatorname{rot} 1 *(\operatorname{rot} 2 * u)
\end{aligned}
$$

inverse of a rotation / misrotation

```
inv(rot1)
inv(rot1) .* rot2
```

basic statistics

```
mean(rot)
mean(rot, 'weights',w)
std(rot)
unique(rot)
```

extract Euler angles
$\|$ [phi1, Phi, phi2] = Euler (rot)
extract matrix
|rot.matrix
rotation vectors
rot. Rodrigues
rot.homochoric
rot.cubochoric
axis / angle

```
rot.axis, rot.angle
angle(rot1, rot2)
```

quaternion

```
[a,b,c,d]= double(rot)
quaternion(rot)
```

Visualizing Rotations

Euler angle space
rot $=$ rotation. rand plot(rot)
axis angle space
plot (rot, 'axisAng/e')
Euler angle $\varphi_{2}$ sections
plotSection(rot, 'phi2')
axis angle sections
plotSection (rot, 'axisAng/e')


Visualizing Rotations

Euler angle space

```
rot = rotation.rand
plot(rot)
```

axis angle space
|plot (rot, 'axisAngle')
Euler angle $\varphi_{2}$ sections
plotSection(rot, 'phi2')
axis angle sections
plotSection(rot, 'axisAng/e')


## Visualizing Rotations

Euler angle space

```
rot = rotation.rand
plot(rot)
```

axis angle space
plot (rot, 'axisAng/e')
Euler angle $\varphi_{2}$ sections
plotSection(rot, 'phi2')


## Visualizing Rotations

Euler angle space

```
rot = rotation.rand
plot(rot)
```

axis angle space
plot (rot, 'axisAng/e')
Euler angle $\varphi_{2}$ sections
plotSection(rot, 'phi2')
axis angle sections
plotSection (rot, 'axisAngle')


## Summary of Rotation Representations

| name | notation | space | dimension |
| :---: | :---: | :---: | :---: |
| matrix | $\mathbf{R}$ | $\mathbb{R}^{3 \times 3}$ | 9 |
| Euler angles | $\left(\varphi_{1}, \Phi, \varphi_{2}\right)$ | $[0,2 \pi] \times[0, \pi] \times[0,2 \pi]$ | 3 |
| quaternion | $\left(q_{1}, q_{2}, q_{3}, q_{4}\right)$ | $\mathbb{S}^{4}$ | 4 |
| Rodrigues - Frank | $\tan \frac{\omega}{2} \vec{v}$ | $\mathbb{R}^{3}$ | 3 |
| axis angle | $\omega \vec{v}$ | $\mathbb{B}^{3}$ | 3 |
| Miller-Bravais Indices | $(h k l)[u v w]$ | $\mathbb{S}^{2} \times \mathbb{S}^{2}$ | 6 |

## Symmetries

## Definition

A symmetry is a transformation that keeps something invariant.

## Affine Transformations

## Definition

Affine transformations are transformations

$$
\mathbf{S}(\vec{x})=\mathbf{R} \vec{x}+\vec{t}
$$

that are compositions of a rotation $\mathbf{R} \in O(3)$ and a translation $\vec{t} \in \mathbb{R}^{3}$.
The set of all affine transformations in the three dimensional space is called Euclidean motion group and is denoted by $\mathrm{SE}(3)$.

Let $\mathbf{S}_{1}(\vec{x})=\mathbf{R}_{1} \vec{x}+\vec{t}_{1}$ and $\mathbf{S}_{1}(\vec{x})=\mathbf{R}_{1} \vec{x}+\vec{t}_{1}$ be two affine transformations. Then their composition

$$
\mathrm{S}_{2} \circ \mathrm{~S}_{1}(\vec{x})=\mathrm{S}_{2}\left(\mathrm{~S}_{1}(\vec{x})\right)=\mathrm{R}_{2} \mathbb{R}_{1} \vec{x}+\mathbb{R}_{2} \overrightarrow{t_{1}}+\overrightarrow{t_{2}}
$$

is again an affine transformation.

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$$

is again an affine transformation.

## Crystal Symmetries

## Definition

The subset $\mathcal{E} \subset \mathrm{SE}(3)$ off all affine transformations that keep the atom lattice invariant is called space group of the crystal.

The space group $\mathcal{S}$ together with the composition $\circ$ is a group, since for any two symmetries $\mathbf{S}_{1}, \mathbf{S}_{2} \in \mathcal{E}$ we have $\mathbf{S}_{1} \circ \mathbf{S}_{2} \in \mathcal{E}$.

## Definition

Let $\mathbf{S}_{1}, \ldots, \mathbf{S}_{n} \in S E(3)$ arbitrary affine transformations. Then we denote by $\left\langle\mathbf{S}_{1}, \ldots, \mathbf{S}_{n}\right\rangle$ the smallest subgroup of $S E(3)$ that contains the transformations $\mathbf{S}_{1}, \ldots, \mathbf{S}_{n}$ and call it the group generated by $\mathrm{S}_{1}$,

Example
The groun generated by the rotation $\mathbb{R}_{\bar{z}, 120^{\circ}}$ about $120^{\circ}$ about the $z$-axis is

$$
\left\langle\mathbf{R}_{\vec{z}, 120^{\circ}}\right\rangle=\left\{\mathbf{R}_{\vec{z}, 120^{\circ}}, \mathbf{R}_{\vec{z}, 120^{\circ}}^{2}, \mathbf{R}_{\vec{z}, 120^{\circ}}^{3}\right\}=\left\{\mathbf{R}_{\vec{z}, 0^{\circ}}, \mathbf{R}_{\vec{z}, 120^{\circ}}, \mathbf{R}_{\vec{z}, 240^{\circ}}\right\}
$$

## Crystal Symmetries

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The subset $\mathcal{E} \subset \mathrm{SE}(3)$ off all affine transformations that keep the atom lattice invariant is called space group of the crystal.

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## Definition

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## Example

The group generated by the rotation $\mathbf{R}_{\vec{z}, 120^{\circ}}$ about $120^{\circ}$ about the $\mathbf{z}$-axis is

$$
\left\langle\mathbf{R}_{\vec{z}, 120^{\circ}}\right\rangle=\left\{\mathbf{R}_{\vec{z}, 120^{\circ}}, \mathbf{R}_{\vec{z}, 120^{\circ}}^{2}, \mathbf{R}_{\vec{z}, 120^{\circ}}^{3}\right\}=\left\{\mathbf{R}_{\vec{z}, 0^{\circ}}, \mathbf{R}_{\vec{z}, 120^{\circ}}, \mathbf{R}_{\vec{z}, 240^{\circ}}\right\}
$$

```
Generate Point Groups in MTEX
Z = vector3d.Z
rot = rotation.byAxisAngle(Z, 120*degree)
cs = crystalSymmetry.byElements(rot)
cs.rot = rotation
    #unge Euler angles in degree
    phi1 Phi phi2 Inv.
    240 0 0 0
    120 0 0 0
        0 0 0
```


## Generate Point Groups in MTEX

```
Z = vector3d.Z
rot = rotation.byAxisAngle(Z, 120*degree)
cs = crystalSymmetry.byElements(rot)
```

add some mirroring
$\mathrm{m}=$ reflection (vector $3 \mathrm{~d} . \mathrm{X}$ )
cs = crystalSymmetry.byElements ([rot, m])

```
CS.rot = rotation
    size: 6 x 1
```

    Bunge Euler angles in degree
    phi1 Phi phi2 Inv
        \(\begin{array}{llll}240 & 180 & 0 & 1\end{array}\)
        \(\begin{array}{llll}0 & 180 & 240 & 1\end{array}\)
        \(\begin{array}{llll}120 & 180 & 120 & 1\end{array}\)
        \(240 \quad 0 \quad 0 \quad 0\)
        \(\begin{array}{rrrr}120 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0\end{array}\)
    

## Generate Point Groups in MTEX

```
Z = vector3d.Z
rot = rotation.byAxisAngle(Z, 120*degree)
cs = crystalSymmetry.byElements(rot)
```

add some mirroring

```
m = reflection(vector3d.X)
cs = crystalSymmetry.byElements([rot, m])
```

add the inversion

```
cs = cs.add(rotation.inversion)
cs = crystalSymmetry
```

```
symmetry : -3m1
elements
    : 12
a, b, c : 1, 1, 1
reference frame: X||a, Y||b, Z||c
```



## Generate Point Groups in MTEX

```
Z = vector3d.Z
rot = rotation.byAxisAngle(Z, 120*degree)
cs = crystalSymmetry.byElements(rot)
```


## add some mirroring

```
m = reflection(vector3d.X)
cs = crystalSymmetry.byElements([rot, m])
```

add the inversion
cs = cs.add(rotation.inversion)
some quasi symmetry
r2 $=$ rotation.byAxisAngle ( $Z, \quad 180 *$ degree $)$
a5 $=$ vector3d.byPolar(31.7171*degree, 0 )
r5 $=$ rotation.byAxisAngle (a5, $72 *$ degree)
$\mathrm{cs}=$ crystalSymmetry.byElements ([r2, r5])


## Point Groups

## Definition

Let $\mathcal{E}=\left\{\left(\mathbf{R}_{1}, \vec{t}_{1}\right),\left(\mathbf{R}_{2}, \vec{t}_{2}\right), \ldots\right\}$ be the space group of a crystal structure. Then $\mathcal{P}=\left\{\mathbf{R}_{1}, \mathbf{R}_{2}, \ldots\right\}$ is a subgroup of $O(3)$ and called the point group of the crystal structure.

- The space group describes the symmetries of an infinite periodic crystal lattice.
- The point group describes the symmetries of the finite unit cell.

Goal
Characterize and describe all possible space groups $\mathcal{E}$ and all possible point groups $\mathcal{P}$
Result
There are exactly 230 different space groups and 32 different point groups.

## Point Groups

## Definition

Let $\mathcal{E}=\left\{\left(\mathbf{R}_{1}, \vec{t}_{1}\right),\left(\mathbf{R}_{2}, \vec{t}_{2}\right), \ldots\right\}$ be the space group of a crystal structure. Then $\mathcal{P}=\left\{\mathbf{R}_{1}, \mathbf{R}_{2}, \ldots\right\}$ is a subgroup of $O(3)$ and called the point group of the crystal structure.

- The space group describes the symmetries of an infinite periodic crystal lattice.
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## Goal:

Characterize and describe all possible space groups $\mathcal{E}$ and all possible point groups $\mathcal{P}$.

There are exactly 230 different space groups and 32 different point groups.

## Point Groups

## Definition

Let $\mathcal{E}=\left\{\left(\mathbf{R}_{1}, \vec{t}_{1}\right),\left(\mathbf{R}_{2}, \vec{t}_{2}\right), \ldots\right\}$ be the space group of a crystal structure. Then $\mathcal{P}=\left\{\mathbf{R}_{1}, \mathbf{R}_{2}, \ldots\right\}$ is a subgroup of $O(3)$ and called the point group of the crystal structure.

- The space group describes the symmetries of an infinite periodic crystal lattice.
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## Goal:

Characterize and describe all possible space groups $\mathcal{E}$ and all possible point groups $\mathcal{P}$.

## Result:

There are exactly 230 different space groups and 32 different point groups.

## Symmetry Operations

| name | affine transformation | Hermann－Mauguin symbol | graphical symbol |
| :---: | :---: | :---: | :---: |
| rotational axis | $\mathbf{R}_{\vec{d}, \underline{360}}$ | 2，3，4，6 | $1 \pm \square$ |
| inversion | ${ }_{-}^{n}$ | $\overline{1}$ | － |
| mirror plane | $-\mathbf{R}_{\vec{n}, 180}$ | $m=\overline{2}$ |  |
| rotoinversion axis | $-\mathbf{R}_{\vec{d}, \frac{360^{\circ}}{}}$ | $\overline{3}, \overline{4}, \overline{6}$ | $\Delta ⿴ 囗 大$ |
| translation | t |  |  |
| screw axis | $\mathbf{R}_{\vec{d}, \frac{360^{\circ}}{}}+\vec{d}$ | $2{ }_{1}, 3_{1}, 3_{2}, 4_{1}, 4_{2}, 4_{3}$ |  |
|  |  | $6_{1}, 6_{2}, 6_{3}, 6_{4}, 6_{5}$ |  |
| glide plane | $-\mathbf{R}_{\vec{d}, \frac{180^{\circ}}{n}}+\vec{d}$ | $a, b, c, n, d$ |  |

## Cyclic Groups

- $\mathbf{S} \in \mathcal{P} \Longrightarrow \mathbf{S}^{n} \in \mathcal{P}$, for all $n \in \mathbb{Z}$
- $\mathbf{R}_{\vec{d}, \frac{1}{m} 360^{\circ}} \in \mathcal{P} \Longrightarrow \mathbf{R}_{\vec{d}, \frac{n}{m} 360^{\circ}} \in \mathcal{P}, n=0, \ldots, m-1$.
- Only rotational axes of order 2, 3, 4, 6 are compatible with periodic lattices



## Dieder Groups

Two two fold symmetry axis $\vec{a}, \vec{b}$ at an angle generate a perpendicular symmetry axis
$-\mathbf{R}_{\vec{a}, \pi}, \mathbf{R}_{\vec{b}, \pi} \in \mathcal{P}, \measuredangle(\vec{a}, \vec{b})=\frac{\pi}{n} \Longrightarrow \mathbf{R}_{\vec{a} \times \vec{b}, \frac{2 \pi}{n}} \in \mathcal{P}$


## Tetragonal and Cubic Symmetry

- $\vec{a}$ - $m$-fold symmetry axis, $m>2$
- $\vec{b}$ - $n$-fold symmetry axis
$\downarrow \Longrightarrow \mathbf{R}_{\vec{a}, \frac{k}{m} 2 \pi} \vec{b}, k=1, \ldots, m$ are $n$-fold symmetry axes
$-\Longrightarrow \mathbf{R}_{\vec{b}, \frac{k}{n} 2 \pi} \vec{a}, k=1, \ldots, n$ are $m$-fold symmetry axes
$\triangleright \Longrightarrow \mathbf{R}_{\vec{b}, \frac{2 k+1}{2 n} 2 \pi} \vec{a}, k=0, \ldots, n$ are 2 -fold symmetry axes

$23(T)$
- assume $\vec{a}, \vec{b}$ have minimum angle in $\mathcal{P}$
- $\Longrightarrow \mathbf{R}_{\vec{a}, \frac{k}{m} 2 \pi} \vec{b}, k=1, \ldots, m$ form a regular spherical polygon $P_{\vec{a}}$
- applying all symmetry operations of $\mathcal{P}$ to $P_{\vec{a}}$ will cover the whole sphere by disjoint copies of $P_{\vec{a}}$
- $\Longrightarrow$ the copies of $P_{\vec{a}}$ form a Platonic solid
- $\Longrightarrow$ only tetrahedron and cube (octahedron) are relevant


All 11 Enantiomorphic Symmetry Groups


## All 11 Laue Symmetry Groups

|  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |

## 10 mixed groups



## Summary Symmetry Groups

- 11 purely rotational (enatiomorphic) groups:
- used in most software,
- only proper rotations are considered
- 11 Laue groups (with inversion center, centrosymmetric groups):
- correct models for most diffraction experiments, e.g. X-ray diffraction, EBSD
- only few physical properties are not centrosysmmetric e.g., piezoelectricity
- 10 mixed groups
- without inversion center
- each with equally many proper and improper symmetry elements
- 32 different point groups
- do not represent actual symmetries of the atom lattice - only modulu translation
> 230 different point groups
- The translation vectors always coincide with the screw axes.
- completely described in International Tables for Crystallography, 2016


## Summary Symmetry Groups

- 11 purely rotational (enatiomorphic) groups:
- used in most software,
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- 11 Laue groups (with inversion center, centrosymmetric groups):
- correct models for most diffraction experiments, e.g. X-ray diffraction, EBSD
- only few physical properties are not centrosysmmetric e.g., piezoelectricity
> 10 mixed groups
- without inversion center
- each with equally many proper and improper symmetry elements
- 32 different point groups
- do not represent actual symmetries of the atom lattice - only modulu translation
- 230 different point groups
- The translation vectors always coincide with the screw axes.
- completely described in International Tables for Crystallography, 2016


## Summary Symmetry Groups

- 11 purely rotational (enatiomorphic) groups:
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## Defining Crystal Symmetries in MTEX

```
cs = crystalSymmetry('m-3m') % by international symbol
cs = crystalSymmetry('Oh') % by Schoenflies notation
cs = crystalSymmetry('Fm-3m') % by space group
% import CIF file
cs = crystalSymmetry.load('quartz.cif')
% download from Crystallography Open Database
cs = crystalSymmetry.load('5000036')
```

cs . properGroup
cs. Laue
cs.rot

```
plotb2east
plot(cs)
```


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Question: Which lattices are compatible with the symmetry groups?

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Compatibility with the space groups leads to the the 14 Bravais lattices which additionally separates how the atoms are aligned with the lattice points:

- primitive
- base centered
- body centered
- face centered


## triclinic

$a \neq b \neq c$,
$\alpha \neq \beta \neq \gamma$


## mononclinic

$a \neq b \neq c$,
$\alpha=\gamma=90^{\circ}$
$\beta>90^{\circ}$


$$
\beta \neq 90^{\circ}
$$


orthorhombic
$a \neq b \neq c$,
$\alpha=\beta=90^{\circ}$
$\gamma=90^{\circ}$


## tetragonal

$a=b \neq c$,
$\alpha=\beta=90^{\circ}$
$\gamma=90^{\circ}$


## trigonal

$a=b \neq c$,
$\alpha=\beta=90^{\circ}$
$\gamma=120^{\circ}$

hexagonal
$a=b \neq c$,
$\alpha=\beta=90^{\circ}$
$\gamma=120^{\circ}$

cubic
$a=b=c$,
$\alpha=\beta=90^{\circ}$
$\gamma=90^{\circ}$


## A Practical Example

- checkout https://materialsproject.org/materials/mp-2657/\#
- download cif file


## The Ambiguity of the Crystal Coordinate System

the axes of the crystal coordinate system $\vec{a}, \vec{b}, \vec{c}$ are always chosen such that

- the translations $\mathbf{T}_{\vec{a}}, \mathbf{T}_{\vec{b}}, \mathbf{T}_{\vec{c}}$ are symmetry elements of the space group
- $\vec{c}$ is the axis of highest symmetry (except for monoclinic and 23)
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## critical symmetries

monoclinic alignment of the two fold axis: $211,121,112, m 11,1 m 1,11 m$,

## orthorhombic alignment of the two fold axis: $2 m m, m 2 m, m m 2$

trigonal alignment of the two fold axis: 321,312


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## Symmetrically Equivalent Lattice Directions

The crystal axes $\vec{a}, \vec{b}, \vec{c}$ can be well defined modulo actions of the symmetry group $\mathcal{S}$.
The axes $(\vec{a}, \vec{b}, \vec{c})$ and ( $\mathbf{S} \vec{a}, \mathbf{S} \vec{b}, \mathbf{S} \vec{c}$ ) are physically indistinguishable for all $\mathbf{S} \in \mathcal{S}$
Two lattice directions

$$
\vec{d}_{1}=u_{1} \vec{a}+v_{1} \vec{b}+w_{1} \vec{c}=\left[u_{1} v_{1} w_{1}\right] \text { and } \vec{d}_{2}=u_{2} \vec{a}+v_{2} \vec{b}+w_{2} \vec{c}=\left[u_{2} v_{2} w_{2}\right]
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are called symmetrically equivalent if there is a symmetry operations $\mathbf{S} \in \mathcal{S}$ such that

$$
\vec{d}_{2}=\mathbf{S} \vec{d}_{1} .
$$

- $\langle u v w\rangle$ denotes the set of all lattice directions symmetrically equivalent to [uvw]
- $\langle u v w\rangle$ may contain at maximum $|\mathcal{S}|$ different lattice directions
- rotational axes have fewer symmetrically equivalent crystal directions, e.g.
- the quotient between the total number of symmetry elements $|\mathcal{S}|$ and the number of directions in $\langle u v w\rangle$ is called multiplicity of $\langle u v w\rangle$


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## Symmetrically Equivalent Lattice Planes

For most symmetries the symmetrically equivalent directions comes as permutations of the Miller indices

$$
\text { monoclinic: }\langle u v w\rangle=\langle\bar{u} v \bar{w}\rangle
$$



Analogously the class of symmetrically equivalent lattice planes $\{h k \ell\}$ is defined as the set of all lattice planes $\left(h_{2} k_{2} \ell_{2}\right)$ such that there is a symmetry operation $\mathrm{S} \in \mathcal{S}$ with

| $\left(h_{2} k_{2} \ell_{2}\right)=h_{2} \vec{a}^{*}+k_{2} \vec{b}^{*}+\ell_{2} \vec{c}^{*}=\mathrm{S}\left(h \vec{a}^{*}+k \vec{b}^{*}+\ell \vec{c}^{*}\right)$ |  |  |
| :--- | :---: | :---: |
| reference system | single | symmetrically equivalent |
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Solution: 4 digit Miller indices

$$
\begin{aligned}
(H K I L) & H
\end{aligned}=h, K=k, i=-h-k, L=\ell,
$$



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\begin{aligned}
(H K I L) H & =h, K=k, i=-h-k, L=\ell \\
{[U V T W] } & =2 u-v, V=2 v-u \\
T & =-u-v, W=3 w
\end{aligned}
$$

symmetric planes:

$$
\text { trigonal: }\{H K I L\}=\{K I H L\}=\{I H K L\}
$$

hexagonal:


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Solution: 4 digit Miller indices

$$
\begin{aligned}
& \text { (HKIL) } H=h, K=k, i=-h-k, L=\ell \\
& \text { [UVTW] } U=2 u-v, V=2 v-u \text {, } \\
& T=-u-v, W=3 w
\end{aligned}
$$

symmetric planes:
trigonal: $\{H K I L\}=\{K I H L\}=\{I H K L\}$
hexagonal: $\{H K I L\}=\{K I H L\}=\{I H K L\}=$

$$
\{\overline{H K I} L\}=\{\overline{K I H} L\}=\{\overline{I H K} L\}
$$



## MTEX

## \% define some crystal direction

cs $=$ crystalSymmetry ('321', $\left[\begin{array}{lll}4.9 & 4.9 & 5.4\end{array}\right]$,'mineral', 'quartz')
$\mathrm{h}=\operatorname{Miller}(\{1,0,-1,0\},\{0,0,0,1\}, \mathrm{cs}, \quad$ 'UNTW')
\% define some crystal plane
$\mathrm{h}=\mathrm{Miller}\left(\{1,0,-1,0\},\{0,0,0,1\}, \mathrm{cs},{ }^{\prime} \mathrm{HKIL}\right.$ ')
\% find all symmetrically equivalent
hSym $=$ h.symmetrise
unique (h.symmetrise, 'noSymmetry')
h.multiplicity
angle (hSym (1) , hSym (2) )
angle (hSym (1), hSym (2), 'noSymmetry')

## The Fundamental Sector

## Definition

The fundamental sector is a spherical region which contains from each class of symmetrically equivalent vectors exactly one.


## MTEX

```
% the fundamental sector for a given symmetry
sR = cs.fundamentalSector
plot(sR)
% check whether we are inside the fundamental region
sR.checkInside(h)
% define some crystal plane
h = Miller ({1,0, -1,0},{0,0,0,1},cs ,'HKIL')
h}=\textrm{h}.\mathrm{ project2FundamentalRegion
% generate vectors within a spherical region
r = vector3d.rand(sR)
r = equispacedS2Grid(sR)
```

