Lecture 3 - Rotations and Symmetries

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Active vs. Passive Rotations

Active Rotations

An active rotation is a mapping of the Euclidean space onto itself that keeps at least one point and all distances invariant and preserves orientation.

Passive Rotations

A passive rotation is a coordinate transform from one right handed, orthonormal coordinate system into another one.

Improper Rotations

An improper rotation is a rotation that switches between left handed and right handed coordinate systems. the matrix $\mathbf{M} = \begin{pmatrix} | & | & | \\ \vec{v_1} & \vec{v_2} & \vec{v_3} \\ | & | & | \end{pmatrix}$ rotates $\vec{e_1} \mapsto \vec{v_1}, \ \vec{e_2} \mapsto \vec{v_2}, \ \vec{e_3} \mapsto \vec{v_3}$

M transforms coordinates from the reference frame $(\vec{v}_1, \vec{v}_2, \vec{v}_3)$ into the reference frame $(\vec{e}_1, \vec{e}_2, \vec{e}_3)$

 $\mathbf{\tilde{M}}=-\mathbf{M}$ additionally mirrors all vectors at the origin

by axis angle

```
v = vector3d(1,0,0)
```

```
w = 90*degree
```

```
r = rotation.byAxisAngle(v,w)
```

```
r = <u>rotation</u>
```

Bunge Euler angles in degree phi1 Phi phi2 Inv. 0 90 0 0

by axis angle

```
v = vector3d(1,0,0)
```

```
w = 90*degree
```

```
r = rotation.byAxisAngle(v,w)
```

by Euler angles

```
r = rotation . byEuler(0,0,pi/2)
r = rotation
Bunge Euler angles in degree
phi1 Phi phi2 Inv.
90 0 0 0
```

by axis angle

```
v = vector3d(1,0,0)
```

```
w = 90*degree
```

```
r = rotation.byAxisAngle(v,w)
```

by Euler angles

```
r = rotation.byEuler(0,0,pi/2)
```

by a rotation matrix

```
\mathsf{M} = \begin{bmatrix} 1 & 0 & 0; & 0 & 0 & -1; & 0 & 1 & 0 \end{bmatrix}
```

```
r = rotation.byMatrix(M)
```

r = <u>rotation</u>

```
Bunge Euler angles in degree
phi1 Phi phi2 Inv.
0 90 0 0
```

by axis angle

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v = vector3d(1,0,0)
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by a rotation matrix

```
\mathsf{M} = \begin{bmatrix} 1 & 0 & 0; & 0 & 0 & -1; & 0 & 1 & 0 \end{bmatrix}
```

r = rotation.byMatrix(M)

by pairs of vectors

u1 = vector3d.Z; v1 = u1

$$u2 = vector3d.X; v2 = vector3d.Y$$

r = rotation.map(u1,v1,u2,v2)

by axis angle

```
v = vector3d(1,0,0)
```

```
w = 90*degree
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```
r = rotation.byAxisAngle(v,w)
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by Euler angles

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r = rotation.byEuler(0,0,pi/2)
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by a rotation matrix

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r = rotation.byMatrix(M)

by pairs of vectors

u1 = vector3d.Z; v1 = u1

$$u2 = vector3d.X; v2 = vector3d.Y$$

r = rotation.map(u1,v1,u2,v2)

r = rotation.fit(u, v)

by axis angle

```
v = vector3d(1,0,0)
```

- w = 90*degree
- r = rotation.byAxisAngle(v,w)

by Euler angles

```
r = rotation.byEuler(0,0,pi/2)
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by a rotation matrix

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\mathsf{M} = \begin{bmatrix} 1 & 0 & 0; & 0 & 0 & -1; & 0 & 1 & 0 \end{bmatrix}
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r = rotation.byMatrix(M)

by pairs of vectors

```
u1 = vector3d.Z; v1 = u1
```

- $u2 = \textbf{vector3d}.X; \ v2 = \textbf{vector3d}.Y$
- r = rotation.map(u1,v1,u2,v2)

```
r = rotation.fit(u, v)
```

import rotations

```
r = rotation.load('file.txt',...
'ColumnNames',...
{ 'phi1', 'Phi', 'phi2'})
```

r = <u>rotation</u> size: 1 x 100

by axis angle v = vector3d(1,0,0)w = 90 * degreer = rotation.byAxisAngle(v,w)by Euler angles r = rotation . byEuler(0,0,pi/2)by a rotation matrix $\mathsf{M} = \begin{bmatrix} 1 & 0 & 0; & 0 & 0 & -1; & 0 & 1 & 0 \end{bmatrix}$ r = rotation.byMatrix(M) by pairs of vectors

u1 = vector3d.Z; v1 = u1

- u2 = vector3d.X; v2 = vector3d.Y
- r = rotation.map(u1,v1,u2,v2)

r = rotation.fit(u, v)

import rotations
r = rotation.load('file.txt',...
'ColumnNames',...
{ 'phi1', 'Phi', 'phi2'})

random rotations

r = rotation.rand(100)

r = <u>rotation</u> size: 1 x 100

by axis angle	import rotations
v = vector3d(1,0,0) w = 90*degree r = rotation.byAxisAngle(v,w)	r = rotation . load ('fil 'ColumnNames', { 'phi1', 'Phi', 'phi2'
by Euler angles r = rotation . byEuler (0,0,pi/2)	random rotations r = rotation . rand(100)
by a rotation matrix	identity and inversion
$M = [1 \ 0 \ 0; \ 0 \ 0 \ -1; \ 0 \ 1 \ 0]$ r = rotation . byMatrix (M)	r = rotation.id r = rotation.inversion
by pairs of vectors	r = <u>rotation</u>
u1 = vector3d.Z; v1 = u1 u2 = vector3d.X; v2 = vector3d.Y r = rotation.map(u1,v1,u2,v2)	Bunge Euler angles in degr phi1 Phi phi2 Inv. 0 0 0 0 0 0 1

= rotation.fit(u, v) r

ad('file.txt',... , . . . ,'phi2'})

in degree

import rotations by axis angle v = vector3d(1,0,0)r = rotation.load('file.txt',... 'ColumnNames',... w = 90 * degree{ 'phi1 ', 'Phi', 'phi2 '}) r = rotation.byAxisAngle(v,w)by Euler angles random rotations r = rotation . byEuler(0, 0, pi/2)r = rotation.rand(100)by a rotation matrix identity and inversion $\mathsf{M} = \begin{bmatrix} 1 & 0 & 0; & 0 & 0 & -1; & 0 & 1 & 0 \end{bmatrix}$ r = rotation.id r = rotation.byMatrix(M) r = rotation.inversion by pairs of vectors a reflextion u1 = vector3d.Z: v1 = u1r = reflection(vector3d.X) $u^2 = vector3d X; v^2 = vector3d Y$ r = rotationr = rotation.map(u1,v1,u2,v2)Bunge Euler angles in degree phi1 Phi phi2 Inv. = **rotation**. **fit**(u, v) 180

Euler Angles

Definition (Euler angles)

Let $\varphi_1, \varphi_2 \in [0, 2\pi]$ and $\Phi \in [0, \pi]$. Then $\varphi_1, \Phi, \varphi_2$ are called the Euler angles of the rotation

$$\mathbf{R}(\varphi_1, \Phi, \varphi_2) = \mathbf{R}_{\vec{Z}, \varphi_1} \mathbf{R}_{\vec{X}, \Phi} \mathbf{R}_{\vec{Z}, \varphi_2}.$$

rot = rotation.byEuler(10*degree,20*degree,30*degree)

- For every rotation **R** there are Euler angles $\varphi_1, \Phi, \varphi_2$ such that $\mathbf{R} = \mathbf{R}(\varphi_1, \Phi, \varphi_2)$.
- ▶ For specific rotations R the Euler angles are not unique, e.g.

$$\mathbf{R}_{\vec{z},\omega} = \mathbf{R}(\varphi_1, \mathbf{0}, \omega - \varphi_1)$$

- Euler angles are the most common way to specify and visualize rotations in texture analysis.
- The ambiguity makes visualization with respect to Euler angles hard to interpret.

Operations with Rotations

vector rotation

v = rot .* u

concatenation

rot = rot1 .* rot2 v = rot .* u v = rot1 * (rot2 * u)

inverse of a rotation / misrotation
inv(rot1)
inv(rot1) .* rot2

basic statistics

mean(rot)
mean(rot, 'weights',w)
std(rot)

unique(rot)

```
extract Euler angles
[phi1,Phi,phi2] = Euler(rot)
extract matrix
rot.matrix
rotation vectors
rot. Rodrigues
rot homochoric
rot.cubochoric
axis / angle
rot.axis, rot.angle
angle(rot1, rot2)
```

quaternion
[a,b,c,d] = double(rot)
quaternion(rot)

Euler angle space rot = rotation.rand plot(rot)

axis angle space **plot** (rot , *'axisAngle '*)

Euler angle φ_2 sections **plotSection** (rot , '*phi2*')

axis angle sections
plotSection(rot, 'axisAngle')



Euler angle space rot = rotation.rand plot(rot)

axis angle space
plot(rot, 'axisAngle')

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axis angle sections
plotSection(rot, 'axisAngle')



Euler angle space
rot = rotation.rand
plot(rot)

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axis angle sections **plotSection** (rot , '*axisAngle* ')



Euler angle space
rot = rotation . rand
plot(rot)

axis angle space **plot**(rot, '*axisAngle*')

Euler angle φ_2 sections **plotSection** (rot , '*phi2* ')

axis angle sections
plotSection(rot, 'axisAngle')



Summary of Rotation Representations

name	notation	space	dimension
matrix	R	$\mathbb{R}^{3 imes 3}$	9
Euler angles	$(\varphi_1, \Phi, \varphi_2)$	$[0,2\pi] imes [0,\pi] imes [0,2\pi]$	3
quaternion	(q_1,q_2,q_3,q_4)	\mathbb{S}^4	4
Rodrigues - Frank	$\tan \frac{\omega}{2} \vec{v}$	\mathbb{R}^3	3
axis angle	$\omega \vec{v}$	\mathbb{B}^3	3
Miller-Bravais Indices	(hkl)[uvw]	$\mathbb{S}^2 imes \mathbb{S}^2$	6

Symmetries

Definition

A symmetry is a transformation that keeps something invariant.

Affine Transformations

Definition

Affine transformations are transformations

$$\mathbf{S}(ec{x}) = \mathbf{R}ec{x} + ec{t}$$

that are compositions of a rotation $\mathbf{R} \in O(3)$ and a translation $\vec{t} \in \mathbb{R}^3$.

The set of all affine transformations in the three dimensional space is called Euclidean motion group and is denoted by SE(3).

Let $S_1(\vec{x}) = R_1 \vec{x} + \vec{t_1}$ and $S_1(\vec{x}) = R_1 \vec{x} + \vec{t_1}$ be two affine transformations. Then their composition

$$\mathbf{S}_2 \circ \mathbf{S}_1(\vec{x}) = \mathbf{S}_2(\mathbf{S}_1(\vec{x})) = \mathbf{R}_2\mathbf{R}_1\vec{x} + \mathbf{R}_2\vec{t}_1 + \vec{t}_2$$

is again an affine transformation.

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is again an affine transformation.

Crystal Symmetries

Definition

The subset $\mathcal{E} \subset SE(3)$ off all affine transformations that keep the atom lattice invariant is called space group of the crystal.

The space group \mathcal{S} together with the composition \circ is a group, since for any two symmetries $S_1, S_2 \in \mathcal{E}$ we have $S_1 \circ S_2 \in \mathcal{E}$.

Definition

Let $S_1, \ldots, S_n \in SE(3)$ arbitrary affine transformations. Then we denote by $\langle S_1, \ldots, S_n \rangle$ the smallest subgroup of SE(3) that contains the transformations S_1, \ldots, S_n and call it the group generated by S_1, \ldots, S_n .

Example

The group generated by the rotation $\mathbf{R}_{ec{z},120^\circ}$ about 120° about the z-axis is

$$\left< \mathbf{R}_{\vec{z},120^{\circ}} \right> = \{ \mathbf{R}_{\vec{z},120^{\circ}}, \mathbf{R}_{\vec{z},120^{\circ}}^2, \mathbf{R}_{\vec{z},120^{\circ}}^3 \} = \{ \mathbf{R}_{\vec{z},0^{\circ}}, \mathbf{R}_{\vec{z},120^{\circ}}, \mathbf{R}_{\vec{z},240^{\circ}} \}$$

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```
Z = vector3d.Z
```

- rot = rotation.byAxisAngle(Z, 120*degree)
- cs = crystalSymmetry.byElements(rot)

```
cs.rot = rotation
size: 3 x 1
Bunge Euler angles in degree
phi1 Phi phi2 Inv.
240 0 0 0
120 0 0 0
0 0 0
```



```
Z = vector3d.Z
```

- rot = rotation.byAxisAngle(Z, 120*degree)
- cs = crystalSymmetry.byElements(rot)

```
add some mirroring
```

```
m = reflection(vector3d.X)
cs = crystalSymmetry.byElements([rot, m])
```

```
cs.rot = rotation
  size: 6 x 1
 Bunge Euler angles in degree
       Phi phi2 Inv.
  phi1
  240
       180
            0
       180
           240
    0
           120 1
  120
      180
      0 0 0
0 0 0
  240
  120
         0
              0
    0
                   0
```



```
Z = vector3d.Z
```

- rot = rotation . by Axis Angle (Z, 120 * degree)
- cs = crystalSymmetry.byElements(rot)

```
add some mirroring
```

- m = reflection(vector3d.X)
- cs = crystalSymmetry . byElements ([rot, m])

```
add the inversion
```

```
cs = cs.add(rotation.inversion)
cs = crystalSymmetry
symmetry : -3m1
elements : 12
a, b, c : 1, 1, 1
reference frame: X||a, Y||b, Z||c
```



```
Z = vector3d.Z
```

- rot = rotation.byAxisAngle(Z, 120*degree)
- cs = crystalSymmetry.byElements(rot)

```
add some mirroring
```

- m = reflection(vector3d.X)
- cs = crystalSymmetry.byElements([rot, m])

```
add the inversion
```

```
cs = cs.add(rotation.inversion)
```

some quasi symmetry

- r2 = rotation.byAxisAngle(Z, 180*degree)
- a5 = vector3d.byPolar(31.7171*degree, 0)
- r5 = rotation.byAxisAngle(a5, 72*degree)
- cs = crystalSymmetry.byElements([r2, r5])



Point Groups

Definition

Let $\mathcal{E} = \{(\mathbf{R}_1, \vec{t}_1), (\mathbf{R}_2, \vec{t}_2), \ldots\}$ be the space group of a crystal structure. Then $\mathcal{P} = \{\mathbf{R}_1, \mathbf{R}_2, \ldots\}$ is a subgroup of O(3) and called the point group of the crystal structure.

- ▶ The space group describes the symmetries of an infinite periodic crystal lattice.
- ▶ The point group describes the symmetries of the finite unit cell.

Goal:

Characterize and describe all possible space groups ${\mathcal E}$ and all possible point groups ${\mathcal P}.$

Result:

There are exactly 230 different space groups and 32 different point groups.

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Symmetry Operations

name	affine transformation	Hermann–Mauguin symbol	graphical symbol
rotational axis	$\mathbf{R}_{\vec{d}, \frac{360^{\circ}}{2}}$	2, 3, 4 ,6	
inversion	$-\mathbf{I}$	$\overline{1}$	0
mirror plane	$-{f R}_{ec n,180}$	$m=\overline{2}$	
rotoinversion axis	$-\mathbf{R}_{ec{d},rac{360^\circ}{2}}$	$\overline{3}, \overline{4}, \overline{6}$	Δ 🔊 🄇
translation	\vec{t}		
screw axis	${f R}_{ec d, rac{360^\circ}{d}}+ec d$	$2_1, \ 3_1, \ 3_2, \ 4_1, 4_2, 4_3$	
	∕ n →	$6_1, 6_2, 6_3, 6_4, 6_5$	
glide plane	$-\mathbf{R}_{ec{d},rac{180^\circ}{n}}+ec{d}$	a, b, c, n, d	

Cyclic Groups

- $\blacktriangleright \ \mathbf{S} \in \mathcal{P} \implies \mathbf{S}^n \in \mathcal{P}, \text{ for all } n \in \mathbb{Z}$
- $\blacktriangleright \ \mathbf{R}_{\vec{d},\frac{1}{m}360^{\circ}} \in \mathcal{P} \implies \mathbf{R}_{\vec{d},\frac{n}{m}360^{\circ}} \in \mathcal{P}, \ n = 0, \dots, m-1.$
- Only rotational axes of order 2, 3, 4, 6 are compatible with periodic lattices



Dieder Groups

Two two fold symmetry axis \vec{a} , \vec{b} at an angle generate a perpendicular symmetry axis



Tetragonal and Cubic Symmetry

- \vec{a} *m*-fold symmetry axis, m > 2
- \blacktriangleright \vec{b} *n*-fold symmetry axis
- $\blacktriangleright \implies \mathbf{R}_{\vec{a},\frac{k}{m}2\pi}\vec{b}, \ k=1,\ldots,m \text{ are } n\text{-fold symmetry axes}$
- $\blacktriangleright \implies \mathbf{R}_{\vec{b},\frac{k}{n}2\pi}\vec{a}, \ k=1,\ldots,n \text{ are } m\text{-fold symmetry axes}$
- $\blacktriangleright \implies \mathbf{R}_{\vec{b},\frac{2k+1}{2n}2\pi}\vec{a}, \ k = 0, \dots, n \text{ are 2-fold symmetry axes}$
- assume \vec{a} , \vec{b} have minimum angle in \mathcal{P}
- $\blacktriangleright \implies \mathbf{R}_{\vec{a},\frac{k}{m}2\pi}\vec{b}, \ k = 1, \dots, m \text{ form a regular spherical polygon } P_{\vec{a}}$
- ▶ applying all symmetry operations of P to P_i will cover the whole sphere by disjoint copies of P_i
- \implies the copies of $P_{\vec{a}}$ form a Platonic solid
- $ightarrow \Longrightarrow$ only tetrahedron and cube (octahedron) are relevant



All 11 Enantiomorphic Symmetry Groups



All 11 Laue Symmetry Groups



10 mixed groups



- ▶ 11 purely rotational (enatiomorphic) groups:
 - used in most software,
 - only proper rotations are considered
- ▶ 11 Laue groups (with inversion center, centrosymmetric groups):
 - correct models for most diffraction experiments, e.g. X-ray diffraction, EBSD
 - only few physical properties are not centrosysmmetric e.g., piezoelectricity
- 10 mixed groups
 - without inversion center
 - each with equally many proper and improper symmetry elements
- 32 different point groups
 - do not represent actual symmetries of the atom lattice only modulu translation
- 230 different point groups
 - The translation vectors always coincide with the screw axes.
 - completely described in International Tables for Crystallography, 2016

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Defining Crystal Symmetries in MTEX

cs = crystalSymmetry('m-3m') % by international symbol cs = crystalSymmetry('Oh') % by Schoenflies notation

cs = crystalSymmetry('Fm-3m') % by space group

```
% import CIF file
cs = crystalSymmetry.load('quartz.cif')
```

% download from Crystallography Open Database cs = crystalSymmetry.load('5000036')

cs.**properGroup** cs.**Laue**

cs.rot

plotb2east
plot(cs)

The 14 Bravais lattices

Question: Which lattices are compatible with the symmetry groups?

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Compatibility with the points groups leads to the 7 crystal systems:

•triclinic •monoclinic •orthorhombic •trigonal •tetragonal •hexagonal •cubic

The 14 Bravais lattices

Question: Which lattices are compatible with the symmetry groups?

Compatibility with the points groups leads to the 7 crystal systems:

•triclinic •monoclinic •orthorhombic •trigonal •tetragonal •hexagonal •cubic

Compatibility with the space groups leads to the the 14 Bravais lattices which additionally separates how the atoms are aligned with the lattice points:

- primitive
- base centered
- body centered
- ► face centered





A Practical Example

- checkout https://materialsproject.org/materials/mp-2657/#
- download cif file

the axes of the crystal coordinate system \vec{a} , \vec{b} , \vec{c} are always chosen such that

- ▶ the translations $\mathbf{T}_{\vec{a}}$, $\mathbf{T}_{\vec{b}}$, $\mathbf{T}_{\vec{c}}$ are symmetry elements of the space group
- \vec{c} is the axis of highest symmetry (except for monoclinic and 23)
- ▶ \vec{a} or \vec{b} are aligned with the symmetry axes perpendicular to \vec{c}

critical symmetries

monoclinic alignment of the two fold axis: 211, 121, 112, *m*11, 1*m*1, 11*m*, orthorhombic alignment of the two fold axis: 2*mm*, *m*2*m*, *mm*2 trigonal alignment of the two fold axis: 321, 312

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The crystal axes \vec{a} , \vec{b} , \vec{c} can be well defined modulo actions of the symmetry group S.

The axes $(\vec{a}, \vec{b}, \vec{c})$ and $(\mathbf{S}\vec{a}, \mathbf{S}\vec{b}, \mathbf{S}\vec{c})$ are physically indistinguishable for all $\mathbf{S} \in \mathcal{S}$

Two lattice directions

$$ec{d_1} = u_1 ec{a} + v_1 ec{b} + w_1 ec{c} = [u_1 v_1 w_1]$$
 and $ec{d_2} = u_2 ec{a} + v_2 ec{b} + w_2 ec{c} = [u_2 v_2 w_2]$

are called symmetrically equivalent if there is a symmetry operations $\mathbf{S} \in \mathcal{S}$ such that

$$\vec{d}_2 = \mathbf{S}\vec{d}_1.$$

 \triangleright $\langle uvw \rangle$ denotes the set of all lattice directions symmetrically equivalent to [uvw]

- \blacktriangleright $\langle uvw
 angle$ may contain at maximum $|\mathcal{S}|$ different lattice directions
- \blacktriangleright rotational axes have fewer symmetrically equivalent crystal directions, e.g. $\langle 001
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- the quotient between the total number of symmetry elements |S| and the number of directions in (uvw) is called multiplicity of (uvw)

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For most symmetries the symmetrically equivalent directions comes as permutations of the Miller indices

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Analogously the class of symmetrically equivalent lattice planes $\{hk\ell\}$ is defined as the set of all lattice planes $(h_2k_2\ell_2)$ such that there is a symmetry operation $S \in S$ with

$$(h_2k_2\ell_2) = h_2\vec{a}^* + k_2\vec{b}^* + \ell_2\vec{c}^* = \mathbf{S}(h\vec{a}^* + k\vec{b}^* + \ell\vec{c}^*)$$

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Solution: 4 digit Miller indices

(HKIL)
$$H = h, K = k, i = -h - k, L = \ell$$

[UVTW] $U = 2u - v, V = 2v - u, T = -u - v, W = 3w$



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MTEX

```
% define some crystal direction

cs = crystalSymmetry('321',[4.9 4.9 5.4], 'mineral', 'quartz')

h = Miller({1,0,-1,0},{0,0,0,1},cs, 'UVTW')
```

```
% define some crystal plane
h = Miller({1,0,-1,0},{0,0,0,1},cs,'HKIL')
```

```
% find all symmetrically equivalent
hSym = h.symmetrise
unique(h.symmetrise, 'noSymmetry')
```

```
h.multiplicity
```

```
angle(hSym(1),hSym(2))
```

```
angle(hSym(1),hSym(2), 'noSymmetry')
```

The Fundamental Sector

Definition

The fundamental sector is a spherical region which contains from each class of symmetrically equivalent vectors exactly one.



MTEX

```
% the fundamental sector for a given symmetry
sR = cs.fundamentalSector
plot(sR)
```

% check whether we are inside the fundamental region sR.checkInside(h)

```
% define some crystal plane
h = Miller({1,0,-1,0},{0,0,0,1},cs,'HKIL')
h = h.project2FundamentalRegion
% generate vectors within a spherical region
r = vector3d.rand(sR)
```

```
r = equispacedS2Grid(sR)
```