

Quasicrystals in Wonderland

Peter Stollmann

Chemnitz University of Technology

AIMS conference May 2008

Quasicrystals in
Wonderland

Peter Stollmann

Quasicrystals?

Aperiodic order

Hamiltonians

Dynamical

Spectral

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Measures

Application

Conclusion



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Outline

- ▶ Quasicrystals?
 - ▶ Mathematical models of aperiodic order
 - ▶ Hamiltonians
- ▶ Dynamical systems
- ▶ Spectral properties: The Wonderland theorem.

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Based on collaboration with D. Lenz.

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What these creatures really are is not yet negotiated.
However there's enough evidence to speculate about the question ...
... as was done in the September 2005 issue of the Notices.

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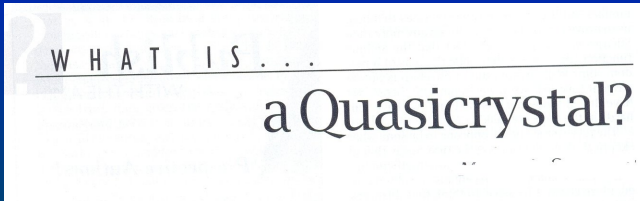
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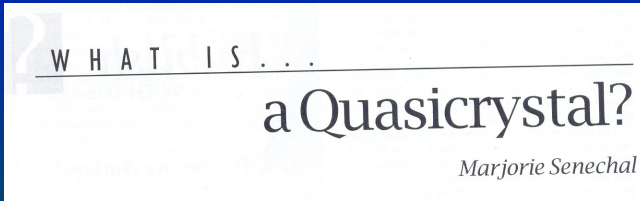
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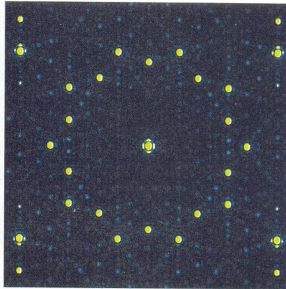
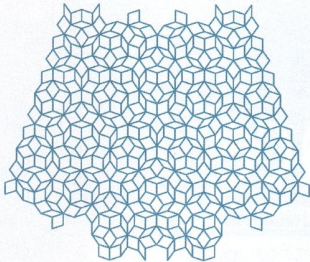


Figure 1. (a). A patch of a Penrose tiling. (b). The diffraction diagram of the vertex set V of (a) is essentially discrete; thus F is an aperiodic crystal, according to the new definition.

As a rule of thumb quasicrystals exhibit:

- ▶ Sharp diffraction peaks - usually coming with long range order.
- ▶ Forbidden symmetries - excluding translation invariance.

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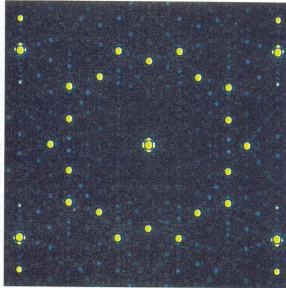
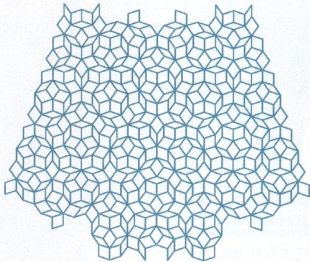


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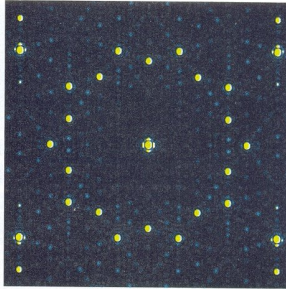
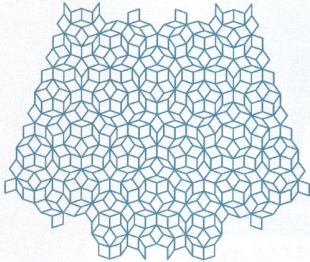


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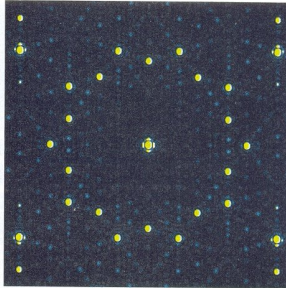
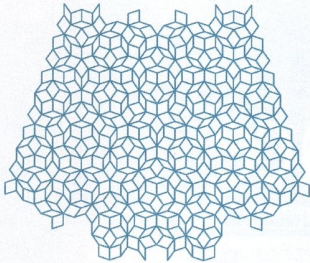


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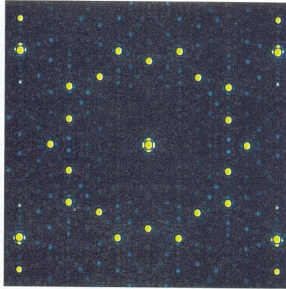
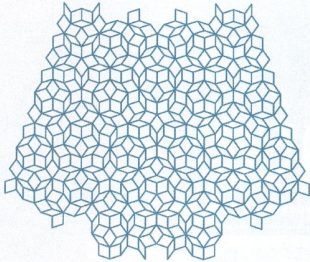


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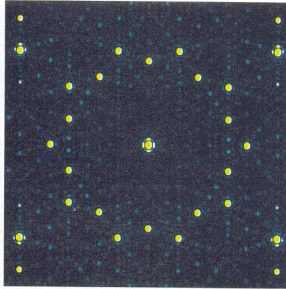
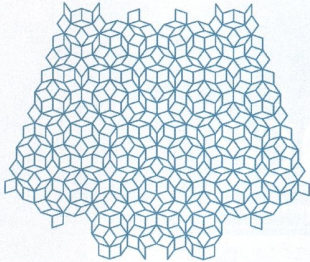


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Mathematical models for aperiodic order

Aperiodic order can mathematically be described by tilings:

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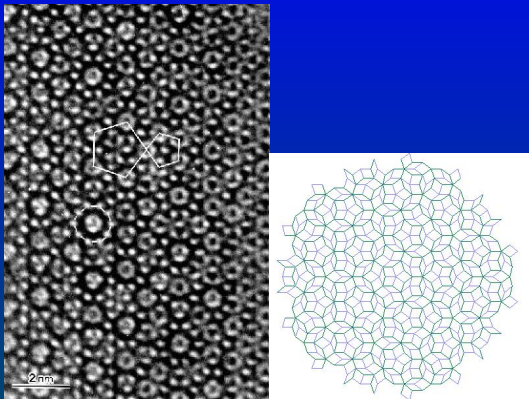


Figure: A real quasicrystal and the Penrose tiling

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Delone (Delaunay) sets

An alternative to tilings are Delone sets.

$\omega \subset \mathbb{R}^d$ is called a Delone set, if there exist $r, R \in \mathbb{R}$ such that

- ▶ $\forall x, y \in \omega, x \neq y : U_r(x) \cap U_r(y) = \emptyset,$
- ▶ $\bigcup_{x \in \omega} B_R(x) = \mathbb{R}^d.$

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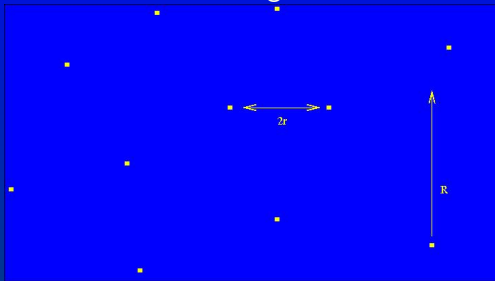
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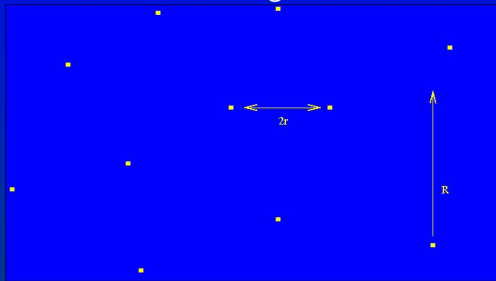
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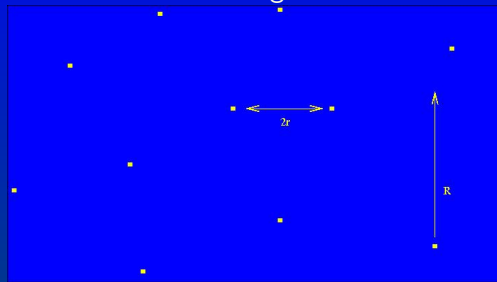
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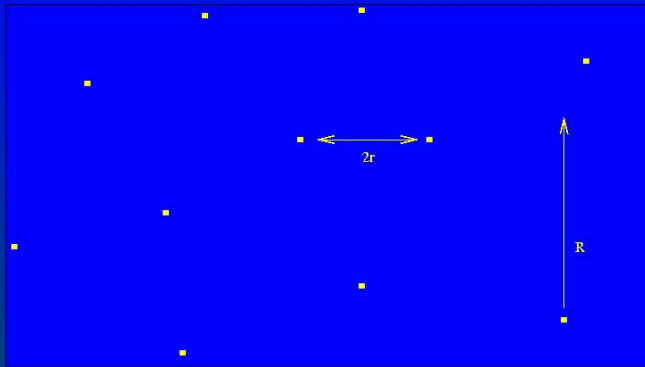
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Delone sets



By $\mathbb{D}_{r,R}(\mathbb{R}^d) = \mathbb{D}_{r,R}$ we denote the set of all (r, R) -sets; it is a compact metric space in the natural topology.

$\mathbb{D}(\mathbb{R}^d) = \bigcup_{0 < r \leq R} \mathbb{D}_{r,R}(\mathbb{R}^d)$ is the set of all Delone sets.

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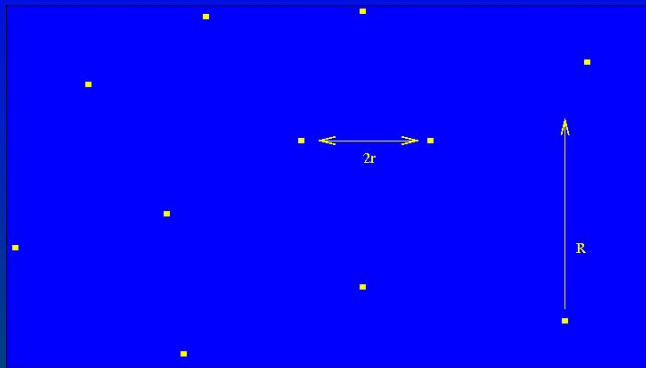
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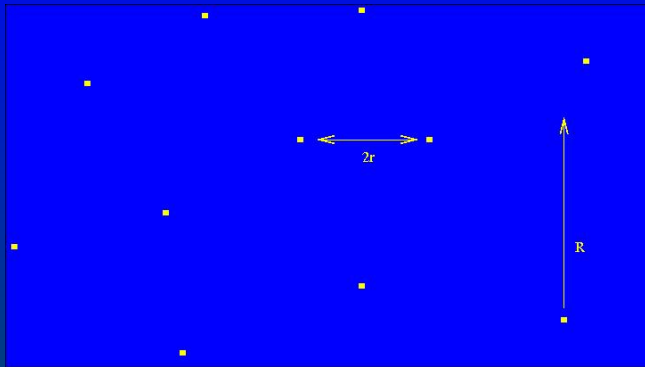
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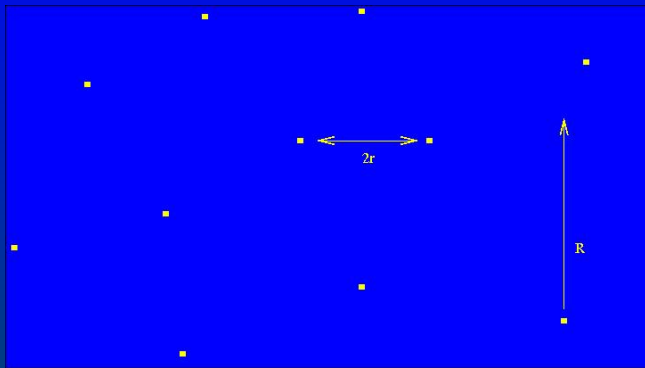
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Hamiltonians: continuum models

The basic idea is very simple: at each point of a Delone set ω an ion is sitting, whose potential is given by v . This leads to the Hamiltonian

$$H(\omega) := -\Delta + \sum_{x \in \omega} v(\cdot - x)$$

The potential

$$V_\omega = \sum_{x \in \omega} v(\cdot - x)$$

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Hamiltonians: continuum models

If the Delone set ω is periodic, then $H(\omega)$ describes a crystal. If we choose the point set ω as the points of a Poisson process (typically no Delone set) then $H(\omega)$ describes a disordered solid. If ω is aperiodically ordered, then $H(\omega)$ can be used to describe electronic properties of a quasicrystal.

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Hamiltonians: continuum models

If the Delone set ω is periodic, then $H(\omega)$ describes a crystal. If we choose the point set ω as the points of a Poisson process (typically no Delone set) then $H(\omega)$ describes a disordered solid. If ω is aperiodically ordered, then $H(\omega)$ can be used to describe electronic properties of a quasicrystal.

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Hamiltonians

We are interested in the Schrödinger equation

$$\psi'(t) = -iH(\omega)\psi(t) \quad (SE)$$

it describes the time evolution of a wave function $\psi(t)$.

Spectral properties of $H(\omega)$ can be translated into qualitative properties of solutions of (SE).

The specific form of (dis-)order is encoded in $H(\omega)$.

It will be very useful to consider a whole collection $(H(\omega), \omega \in \Omega)$ at the same time, for physical reasons and for analytical reasons.

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Delone dynamical systems

... simply consist of a translation invariant, compact set $\Omega \subset \mathbb{D}(\mathbb{R}^d)$, on which the group $T_t : \mathbb{R}^d \rightarrow \mathbb{R}^d (t \in \mathbb{R}^d)$ of translations acts; we denote such a system by (Ω, T) .

We interpret such a DDS (Ω, T) as a model for a certain type of (dis-)order. Ergodic properties of (Ω, T) reflect combinatorial properties of the elements $\omega \in \Omega$ and vice versa. Moreover, spectral properties of the $H(\omega)$ are sometimes related to ergodic properties of the DDS. E.g.

$$\begin{array}{c} (\Omega, T) \text{ minimal} \\ \Downarrow \\ \sigma(H(\omega)) = \sigma(H(\omega')) \text{ for all } \omega, \omega' \in \Omega. \end{array}$$

Minimality and unique ergodicity are equivalent to certain combinatorial properties of the ω 's.

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Spectral properties

For a DDS (Ω, T) that describes aperiodic order one is tempted to expect purely singular continuous spectrum and this has been verified in some classes of discrete examples in one dimension (quasiperiodic Hamiltonians, substitution potentials) as well as continuum models in one dimension, see the talk of D. Lenz. However in higher dimensions there are only very few rigorous results :-)

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Spectral properties: continuum models

A very modest step has been taken in showing that generically in the topological sense singular continuous spectrum occurs.

Theorem (D. Lenz and P. S., *Duke Math. J.*, 2006)

Let $r, R > 0$ with $2r < R$ and $v \geq 0$, $v \neq 0$. Then there exists an open $\emptyset \neq U \subset \mathbb{R}$ and a dense G_δ -set $\Omega_{sc} \subset \mathbb{D}_{r,R}$ such that for every $\omega \in \Omega_{sc}$ the spectrum of $H(\omega)$ contains U and is purely singular continuous in U .

This follows from a variant of Barry Simon's Wonderland Theorem and uses heavily the spectral properties of periodic operators.

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Back to Wonderland

Fix a separable Hilbert space \mathfrak{H} , consider the space $\mathfrak{S} = \mathfrak{S}(\mathfrak{H})$ of self-adjoint operators in \mathfrak{H} with the *strong resolvent topology* τ_{srs} .

Theorem (D. Lenz and P. S., *Duke Math. J.*, 2006)

Let (X, ρ) be a complete metric space and $H : (X, \rho) \rightarrow (\mathfrak{S}, \tau_{srs})$ a continuous mapping. Assume that, for an open set $U \subset \mathbb{R}$,

- (1) the set $X_1 = \{x \in X \mid \sigma_{pp}(H(x)) \cap U = \emptyset\}$ is dense in X ,
- (2) the set $X_2 = \{x \in X \mid \sigma_{ac}(H(x)) \cap U = \emptyset\}$ is dense in X ,
- (3) the set $X_3 = \{x \in X \mid U \subset \sigma(H(x))\}$ is dense in X .

Then, their intersection

$$\{x \in X \mid U \subset \sigma(H(x)), \sigma_{ac}(H(x)) \cap U = \emptyset, \sigma_{pp}(H(x)) \cap U = \emptyset\}$$

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Fix a separable Hilbert space \mathfrak{H} , consider the space $\mathfrak{S} = \mathfrak{S}(\mathfrak{H})$ of self-adjoint operators in \mathfrak{H} with the *strong resolvent topology* τ_{srs} .

Theorem (D. Lenz and P. S., *Duke Math. J.*, 2006)

Let (X, ρ) be a complete metric space and $H : (X, \rho) \rightarrow (\mathfrak{S}, \tau_{srs})$ a continuous mapping. Assume that, for an open set $U \subset \mathbb{R}$,

- (1) the set $X_1 = \{x \in X \mid \sigma_{pp}(H(x)) \cap U = \emptyset\}$ is dense in X ,
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Analysis in spaces of measures

Fix S , a locally compact, σ -compact, separable metric space.

Consider $\mathcal{M}_+(S)$, the set of positive, regular Borel measures.

$\mu \in \mathcal{M}_+(S)$ *diffusive* or *continuous*: $\iff \mu(\{x\}) = 0$ for every $x \in S$.

$\mu \perp \nu$, *mutually singular*: $\iff \exists C \subset S$ such that $\mu(C) = 0 = \nu(S \setminus C)$.

Theorem (D. Lenz and P. S., *Duke Math. J.*, 2006)

Let S be as above. Then

- (1) The set $\mathcal{M}_c(S) := \{\mu \in \mathcal{M}_+(S) \mid \mu \text{ is diffusive}\}$ is a G_δ -set in $\mathcal{M}_+(S)$.
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(1) The set $\mathcal{M}_c(S) := \{\mu \in \mathcal{M}_+(S) \mid \mu \text{ is diffusive}\}$ is a G_δ -set in $\mathcal{M}_+(S)$.

(2) For any $\lambda \in \mathcal{M}_+(S)$, the set $\{\mu \in \mathcal{M}_+(S) \mid \mu \perp \lambda\}$ is a G_δ -set in $\mathcal{M}_+(S)$.

(3) For any closed $F \subset S$ the set $\{\mu \in \mathcal{M}_+(S) \mid F \subset \text{supp}(\mu)\}$ is a G_δ -set in $\mathcal{M}_+(S)$.

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Analysis in spaces of measures

Fix S , a locally compact, σ -compact, separable metric space.
Consider $\mathcal{M}_+(S)$, the set of positive, regular Borel measures.

$\mu \in \mathcal{M}_+(S)$ *diffusive* or *continuous*: $\iff \mu(\{x\}) = 0$ for every $x \in S$.

$\mu \perp \nu$, *mutually singular*: $\iff \exists C \subset S$ such that $\mu(C) = 0 = \nu(S \setminus C)$.

Theorem (D. Lenz and P. S., *Duke Math. J.*, 2006)

Let S be as above. Then

- (1) The set $\mathcal{M}_c(S) := \{\mu \in \mathcal{M}_+(S) \mid \mu \text{ is diffusive}\}$ is a G_δ -set in $\mathcal{M}_+(S)$.
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Proof of generic appearance of singular continuous spectrum using the Wonderland theorem. If $\rho \in \mathbb{D}_{r,R}$ is *crystallographic*, i.e.,

$$\text{Per}(\rho) := \{t \in \mathbb{R}^d : \rho = t + \rho\}$$

is a lattice, then $H(\rho)$ is periodic. Consequently, $H(\rho)$ has purely absolutely continuous spectrum.

Since $2r < R$, there exist crystallographic $\gamma, \tilde{\gamma}$ such that $U := \sigma(H(\gamma))^\circ \setminus \sigma(H(\tilde{\gamma})) \neq \emptyset$.

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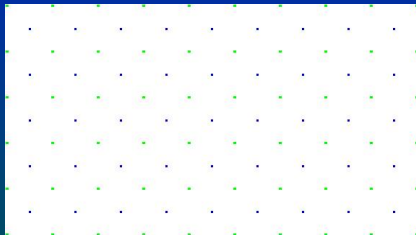


Figure: crystallographic γ (green) and $\tilde{\gamma}$ (green+blue)

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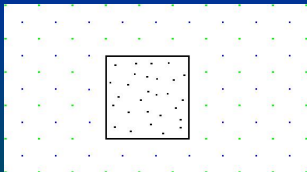


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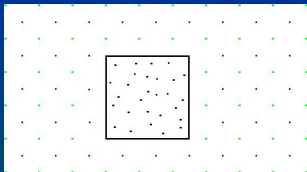


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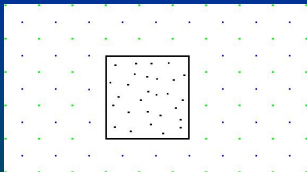


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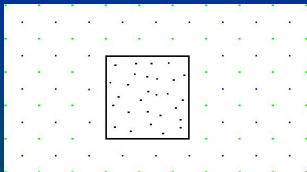


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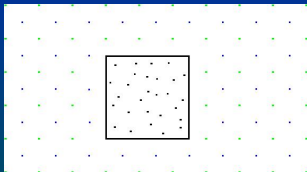


Figure: ω_n^1

Given $\omega \in \mathbb{D}_{r,R}$, consider

$\omega_n^1 \in \mathbb{D}_{r,R}$ s.t.

$$\omega_n^1 \cap [-n, n]^d = \omega \cap [-n, n]^d \quad \text{and}$$

$$\omega_n^1 \cap ([-n - R, n + R]^d)^c =$$

$$\tilde{\gamma} \cap ([-n - R, n + R]^d)^c.$$

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- ▶ $\mathcal{M}_c(S)$ and $\mathcal{M}_s(S)$ are G_δ -sets, for polish S .
- ▶ This implies the Wonderland theorem and the fact that generic measures are singular continuous in “nice spaces”.
- ▶ A particular example is given by “geometric disorder” (= Delone Hamiltonians) for which we can prove that purely singular continuous components turn up, generically.

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